

Correlated spectral function from (e,e'p)

Ingo Sick

historical development of nuclear physics

strongly influenced by shell model
existence of Independent Particle states, IP orbits
explains many features of nuclei

tacit assumption

($2j+1$) particles in 'filled' shell
depletion only due to configuration mixing near ϵ_F
can limit space to ± 1 or ± 2 shells
effective N-N interaction compensates
fitted to selected nuclear properties

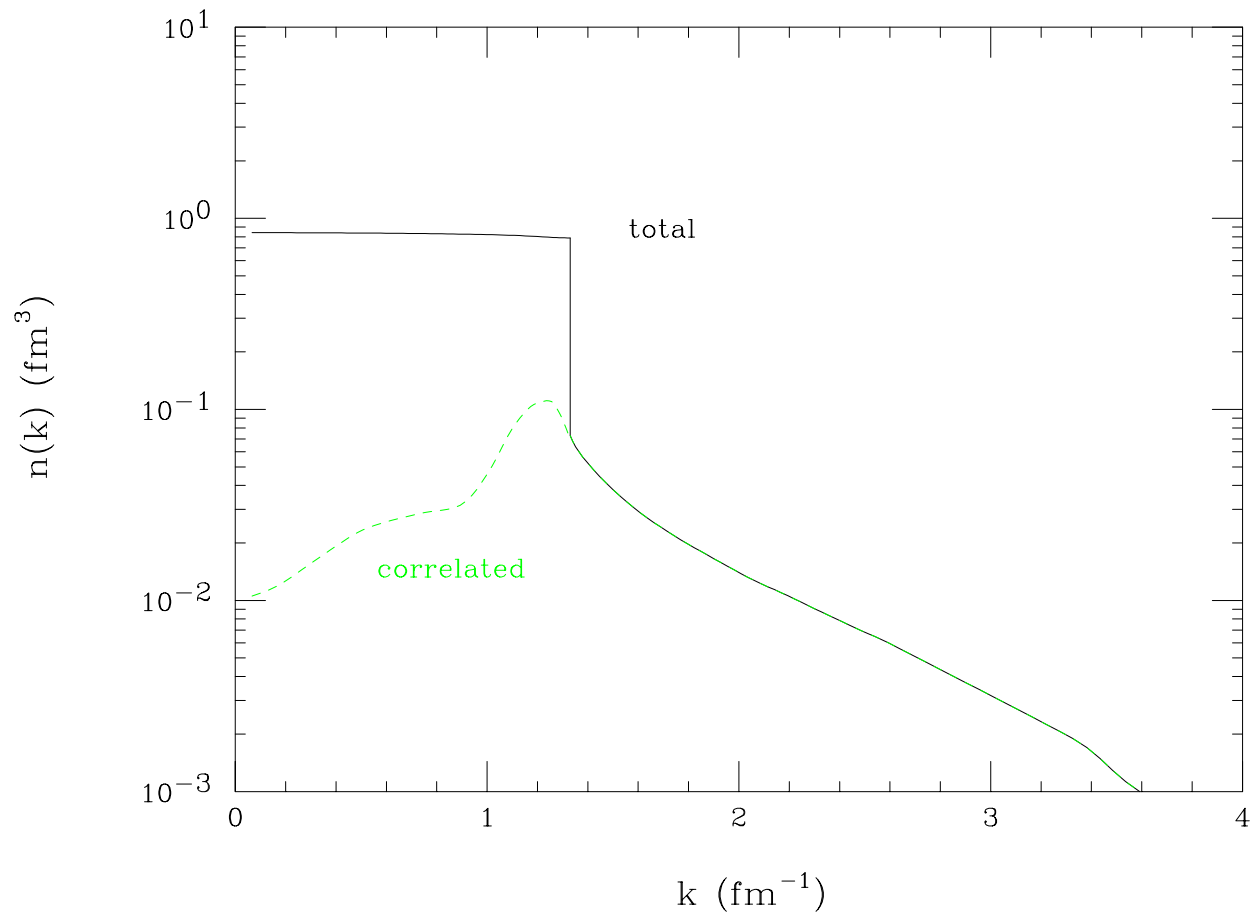
today highly developed technique

several groups worldwide
quite successful

parallel line of thought: study of NM

initially Bethe-Bruckner-Goldstone
later Correlated Basis Function theory, MC
indicate strength way above Fermi edge
indicate tail of $n(k)$ to large k

momentum distribution:



$\sim 20\%$ in correlated region

little impact on theory of finite nuclei

spectroscopic factors seem to agree with SM

change: initiated by (e,e) and (e,e'p)

- magnetic scattering
- density differences of isobars

measure $R^2(r)$

do so in nuclear interior

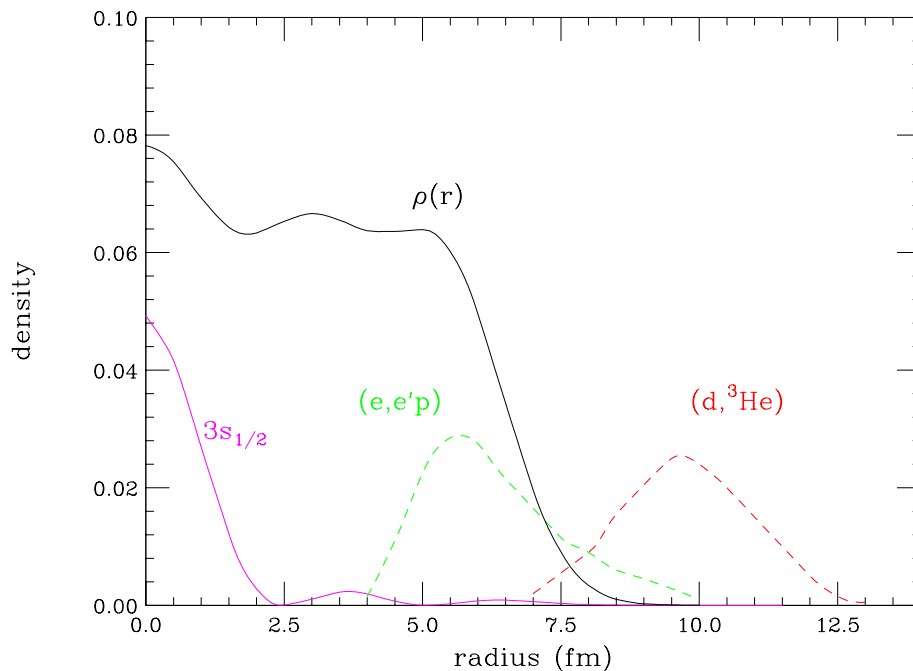
not only in large- r region

there spect. factor sensitive to assumed $R(r)$

$$\Delta s/s \sim 10 * \Delta rms/rms$$

can determine genuine *integral* property

find depletion



- (e,e'p) reactions

measure $R^2(k)$

not as far inside nucleus, but still....

give better integral than transfer reactions

find significant depletion

Where correlated strength in finite nuclei?

→ $S(k, E)$ of ${}^3\text{He}$

- first microscopic spectral function $S(k, E)$

calculated by Dieperink et al

exact Faddeev wave function

includes all NN-correlations

use RSC interaction

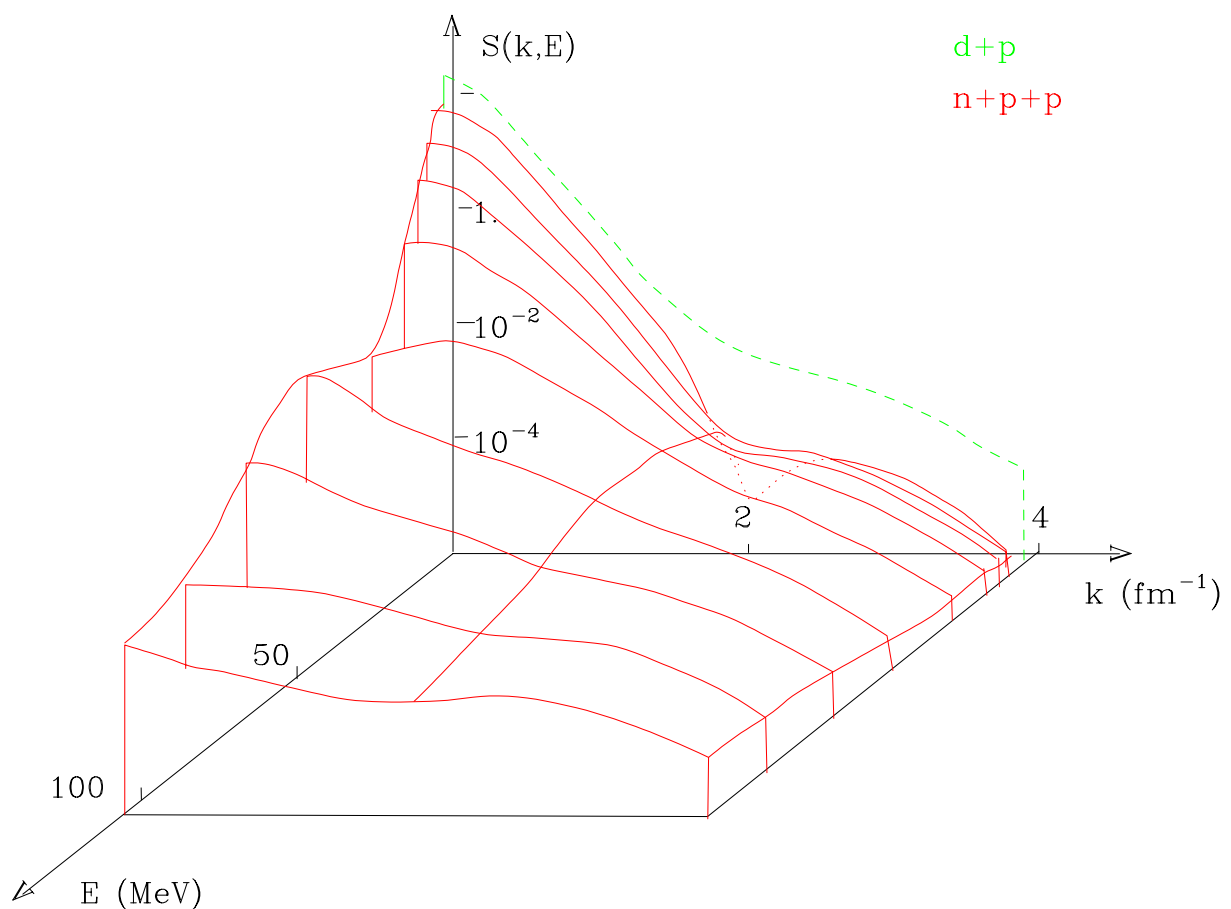
2B-breakup \sim shell-model $n(k)$

3B-breakup \sim correlated strength

due to short-range N-N interaction

for long time only microscopic calculation

${}^3\text{He}$ spectral function



many things to be said:

- shows that correlations give strength at *both* large k and E
- strength *very* spread out, hard to identify experimentally
- explains failure of sum rules $\int ...dE = 2j + 1$
cannot include large E in integral
- failure of Koltun sumrule \nrightarrow 3BF's
- high l have only 8% probability, give 50% to kinetic energy!
- played many games to understand effect of large k , E
in data lacking info on them
- taught me how to think about effects of correlations
 $A=3$ not too "pathological" to teach us

Convincing data on s.p. strength:

(e,e'p), mainly NIKHEF (\rightarrow talk Louk)
high-quality experiments due to large duty factor
increased sophistication treating FSI, ..
dedicated effort

comes together with other information from (e,e)

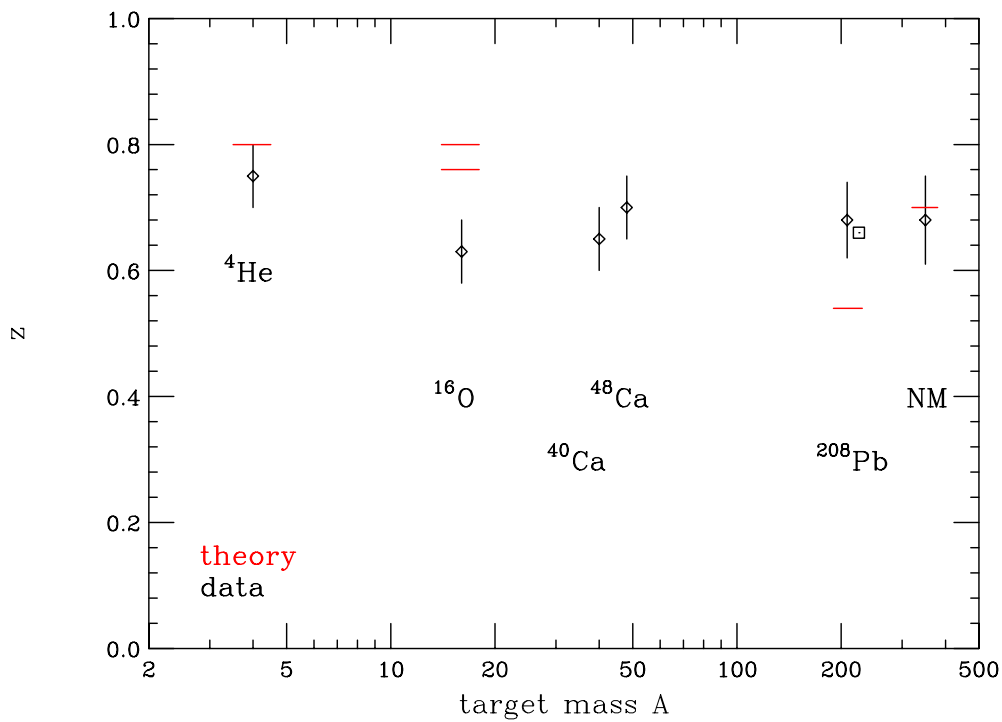
density difference $Pb - Tl$

confirmation of SM orbitals in nuclear interior

BUT: Δ occupation 3s only 0.7

together with $(e, e'p)$, $(d, {}^3He)$:

\rightarrow absolute occupation



main message

in nuclei find orbits \sim independent-particle states

$R(r)$, $R(k)$ as given by IPSM

observed in transitions to *low* E^*

but

single particle states have *partial occupation*

rest of strength at *very large* E^*

Similar to ∞ nuclear matter

overall

shell-model describes only 70% of nucleons

rest outside model space

how can ever get quantitative??

simply use enough parameters?

not satisfactory!

insight for time being lost on SM-community

calculations of ever increasing sophistication
ignoring 20-30% of nucleons!

e.g. review Talmi, 50y of SM, 2002, 275p

not *one* word on 30%

unsatisfactory:

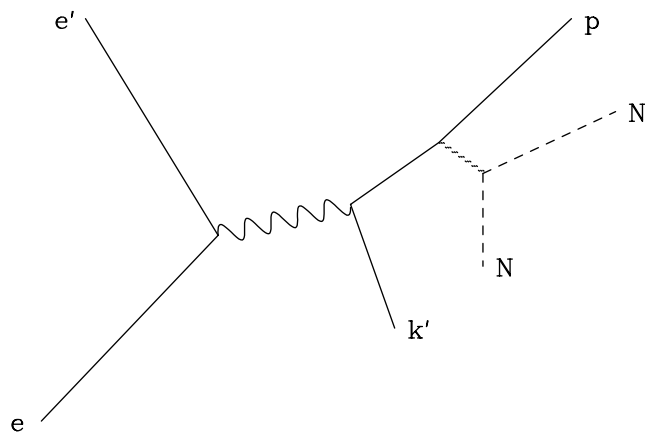
have identified *missing* strength

have fair theoretical understanding

have not seen *correlated* strength

Complications:

- strength spread out over 100-200MeV
very hard to observe
- reaction mechanism
 - at low E , $k_{p'}$ optical potential for FSI OK
 - at large E , $k_{p'}$ more complicated
 - p' not 'swallowed' by $\text{Im}(V)$
 - p' reappears at lower $k_{p'}$
 - simulates large missing energy E
 - covers small genuine strength

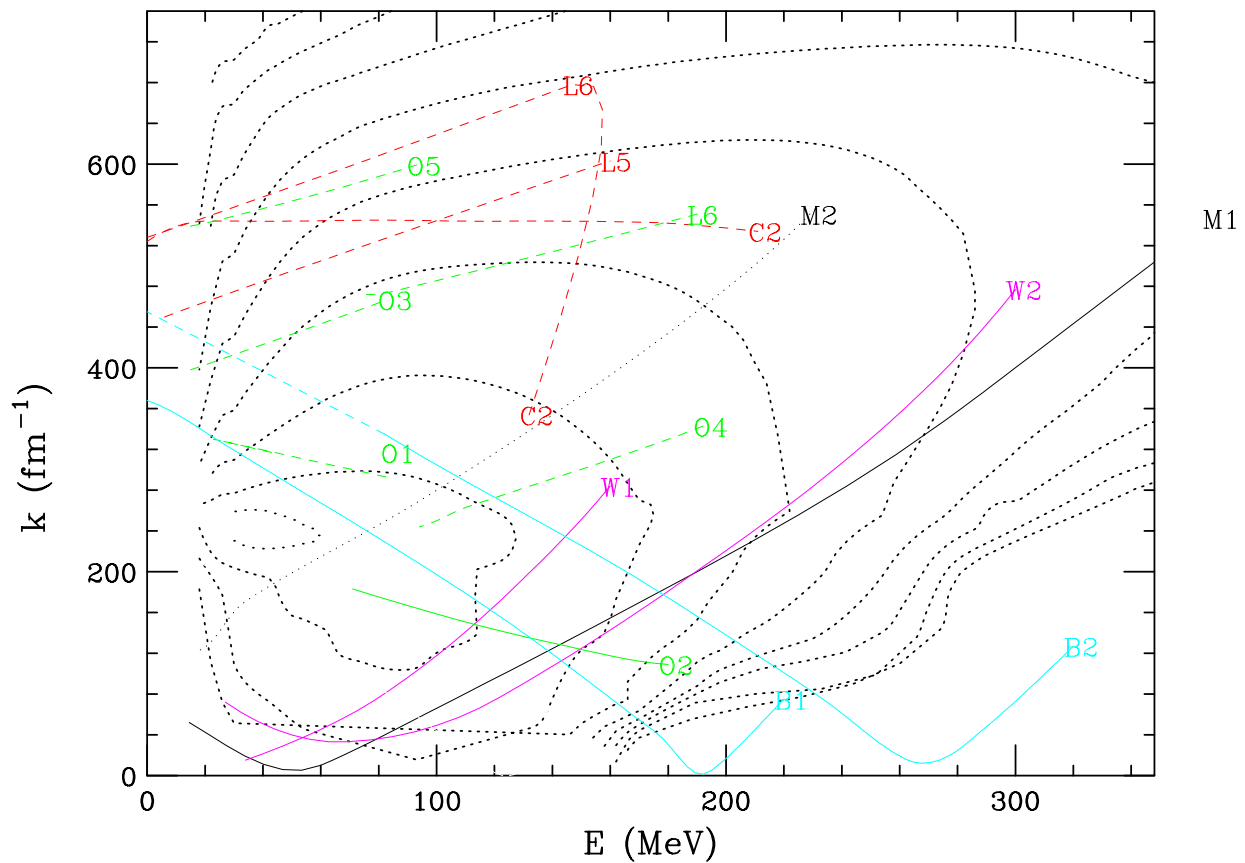


Complications largely ignored

could not do much about
kinematics too constrained by facilities available
not enough energy/momentum available

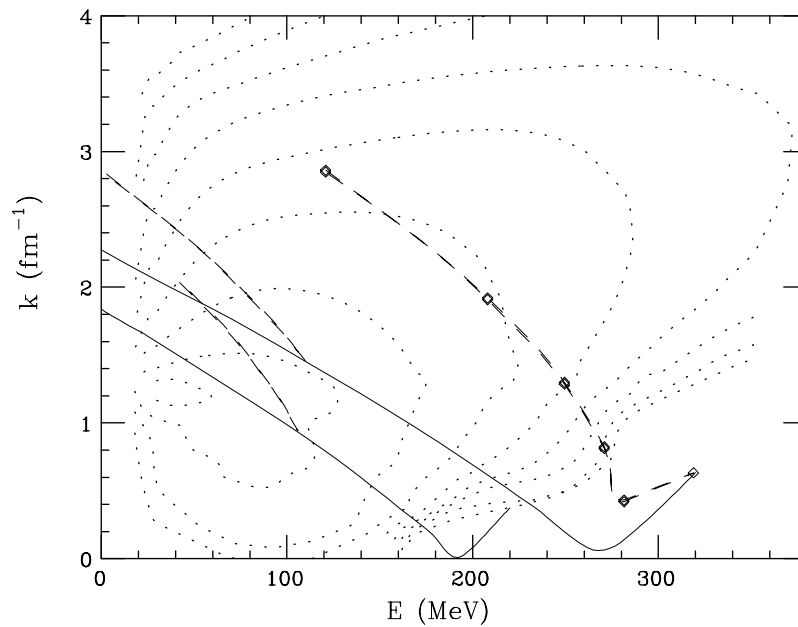
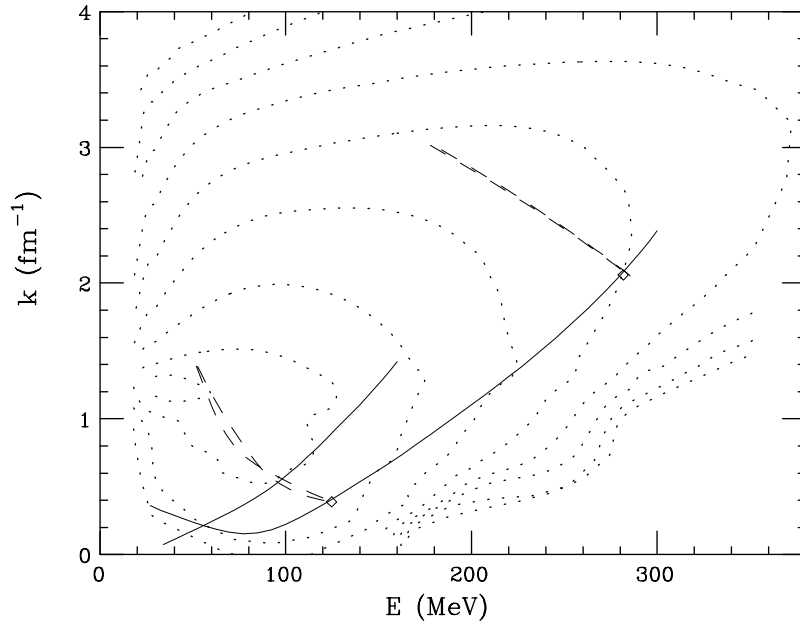
study of all available data

compare experimental $d\sigma/d\omega dE$ to IA using realistic S
(IPSM + NM(ρ) in LDA)
look if exp. \simeq or \gg theory



find

- most experiments give $\sigma_{exp} \gg \sigma_{IA}$
- standard perpendicular kinematics worst, // kinematics best
- understand how $(p, 2p)$ moves strength



consequence:

must do experiment in *parallel* kinematics, at large q ,
(available data are for perpendicular kinematics)
above ridge $E \sim k^2/2m$
to have chance

similar study for π -production contribution

Δ excitation

can give large contribution
mainly from low E' as m_π small

L/T - separation?

Δ -excitation transverse
can suppress by extracting L?

2-step processes:

L/T not possible
do not know intermediate state
cannot reconstruct momentum,..
e.g.: could be out-of-plane
do L/T as were in-plane

L/T extremely limited

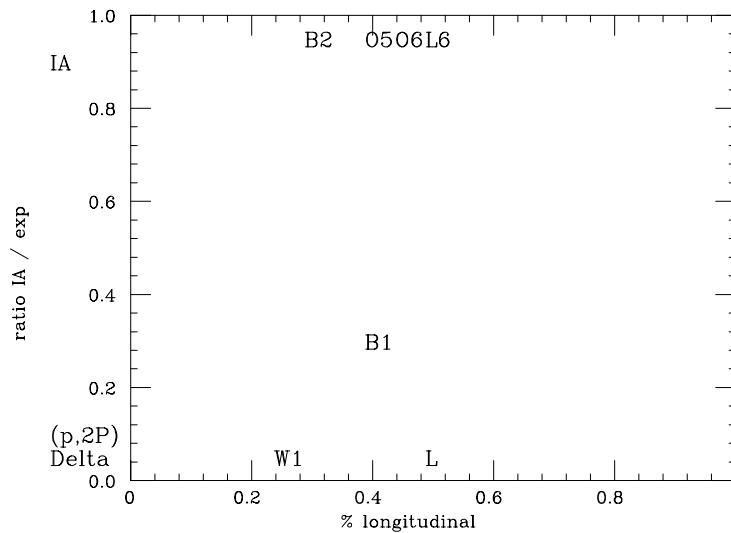
if T factor 5 larger than L error on L huge
known from (e,e'), Coulomb sumrule!
more true for (e,e'p) as more difficult

L/T in existing data

plot σ_{IA}/σ_{exp} versus %L

if T problem, expect ratio closer to 1 for large L

Data:



show *no* correlation

L/T not efficient for reasons mentioned

Conclusion: understand why

some experiments measure $\pm S(k,E)$

others measure multistep reactions

no obvious need for new mechanism

conventional (p,pN)+ Δ -excitation enough

lesson for future

kinematics for measurement of $S(k \gg, E \gg)$

such that $k' \gg k$, $E' > E$

parallel kinematics!

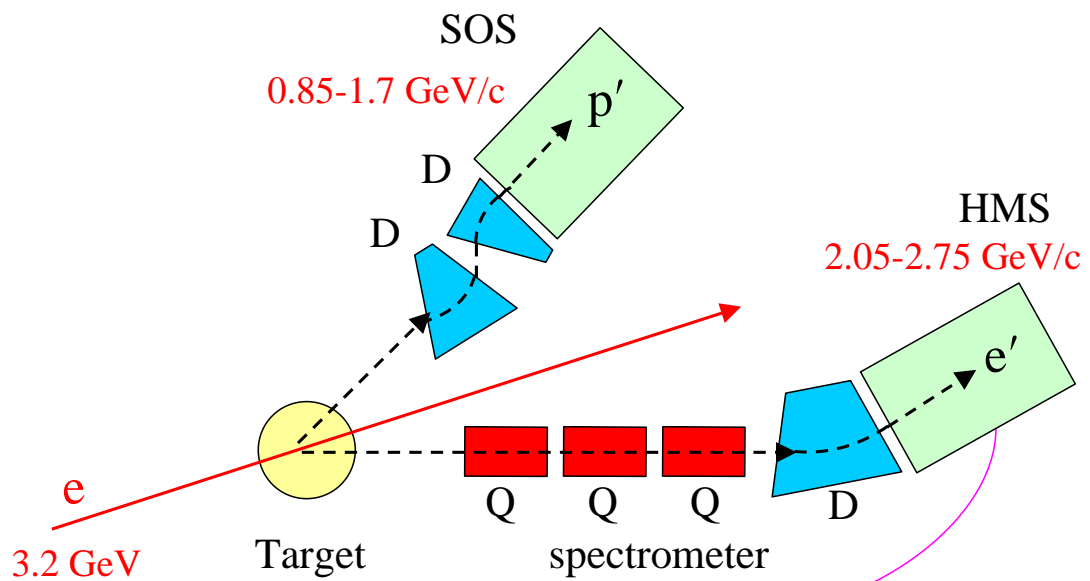
not antiparallel, not perpendicular

not L/T

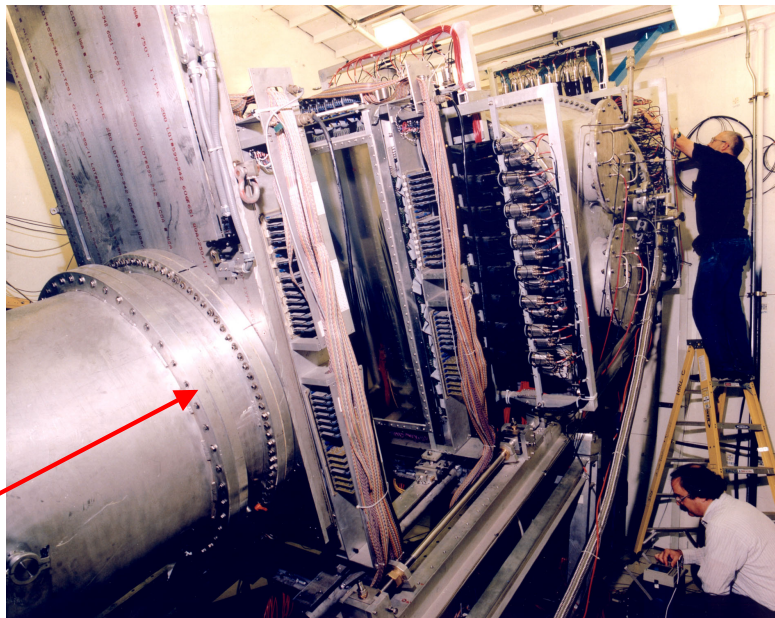
not dip vs. other kinematics

JLAB experiment

Setup in Hall C at Jlab: $(e, e'p)$



Detector stack:



2 drift chambers

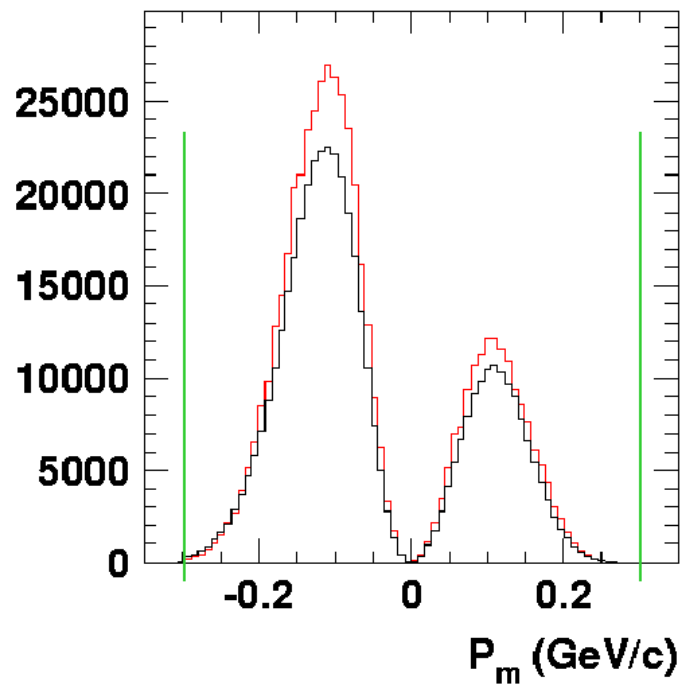
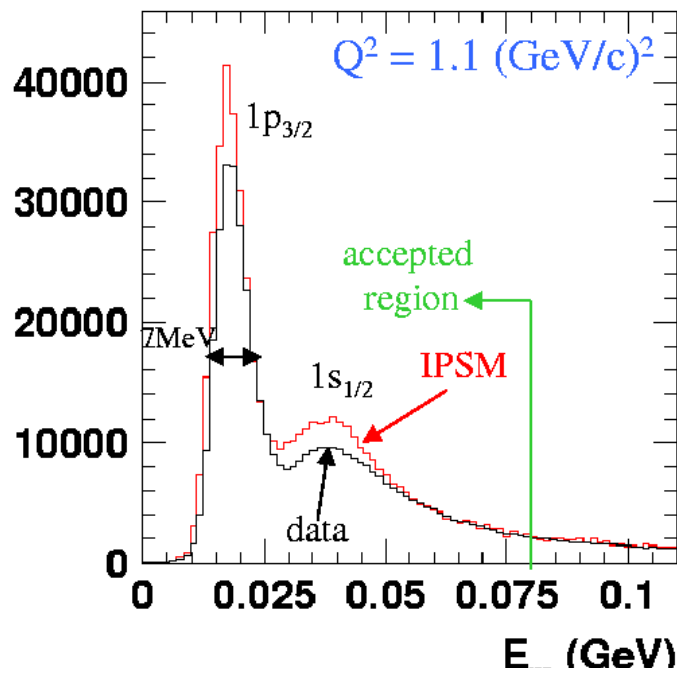
4 scintillator planes

1 Cerenkov

Results from Daniela Rohe

test: s.p.-region

kinematics with same $E_{p'}$ as production runs



use:

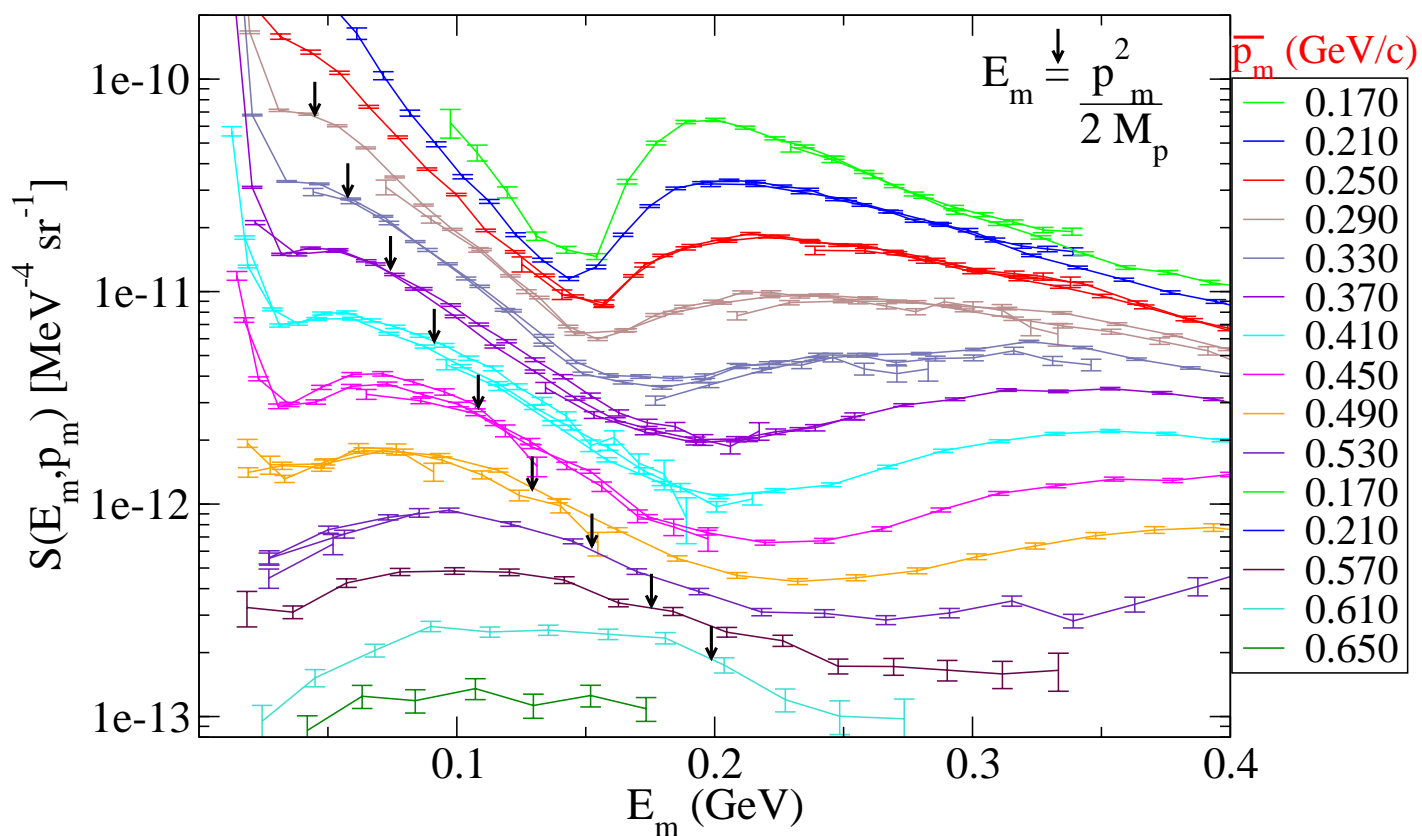
$T=0.6..$ (Benhar+Pieper)
integrate over $E < 80\text{MeV}$

find:

occupation agrees with Benhar $S(k, E)$
(significantly larger than values from low-q (e,e'p))
overall syst. error 3%

correlated region

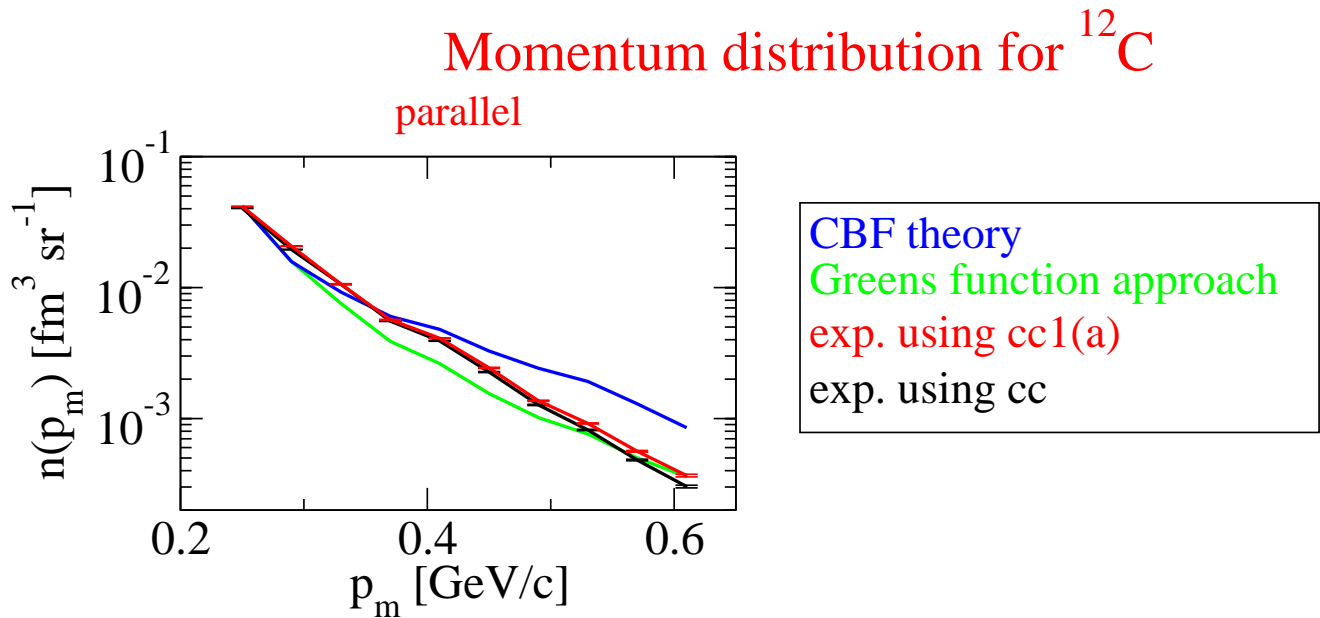
Spectral function for ^{12}C using cc
parallel: kin3, kin4, kin5



main observation on E-dependence

maximum of $S(k, E)$ of theories at too large E
understood by recent calculation of Mütter+Polls?

momentum dependence



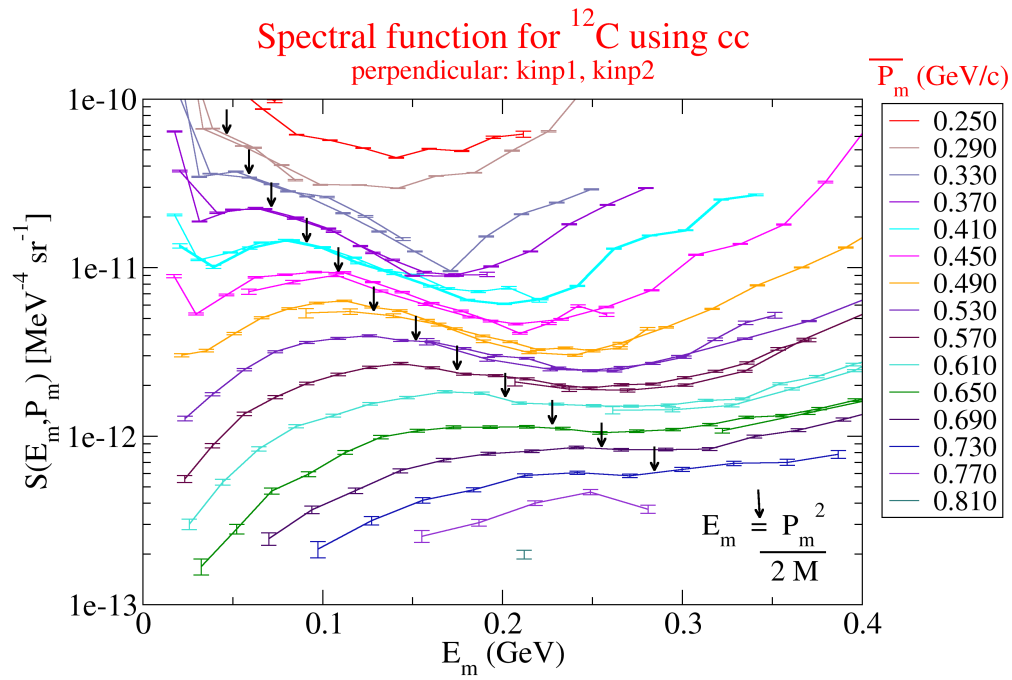
theory and experiment \pm agree

how about standard perpendicular kinematics?

(used for overwhelming majority of experiments)

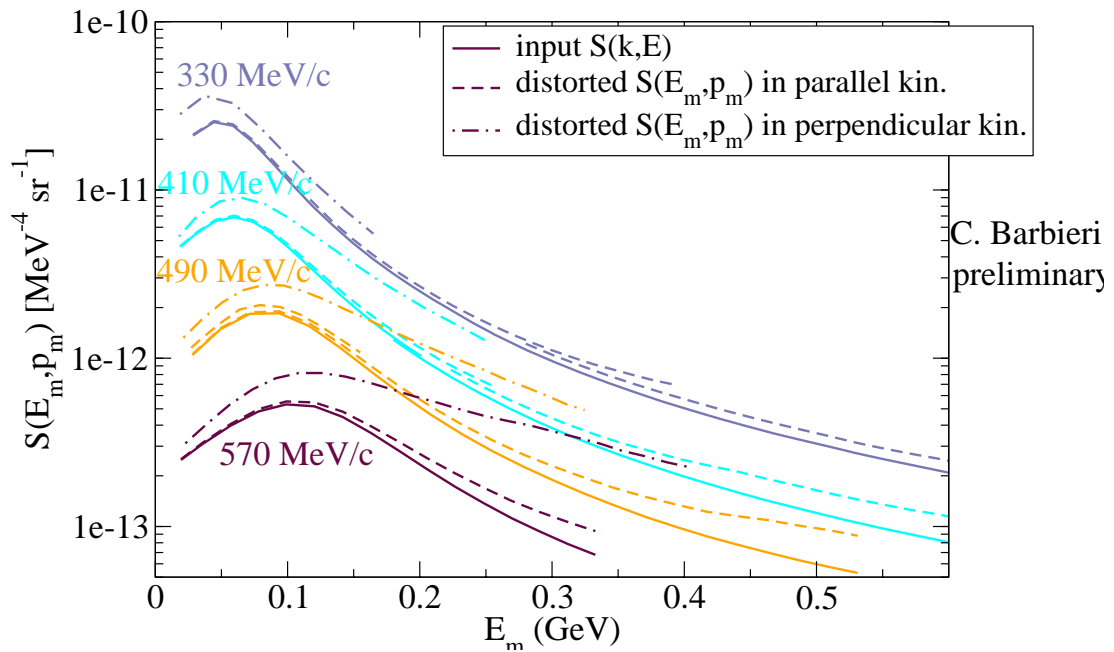
find

experimental (distorted) $S(k, E) \gg S$ from parallel kinematics



confirmed by calculation (\rightarrow talk Barbieri)
include (p,p'N) via Glauber

Parallel vs. perpendicular kinematics for ^{12}C



lesson

use parallel kinematics

not perpendicular, not antiparallel

there 2-step manageable in size

can be corrected for

use perp. kinematics to check FSI-calculation
(improvements needed)

note

parallel kinematics \rightarrow 'dip' region

used as argument to avoid

excess strength in single-arm (e,e') in dip

not understood by most

difference missing energy ... energy loss

(e,e') in dip

large energy loss

large missing energy

(energy not accounted for)

$(e,e'p)$ in dip

large energy loss of electron

energy recovered via outgoing proton

no large 'missing' energy

unaccounted energy loss \rightarrow

problematic out-of-control reaction mechanisms

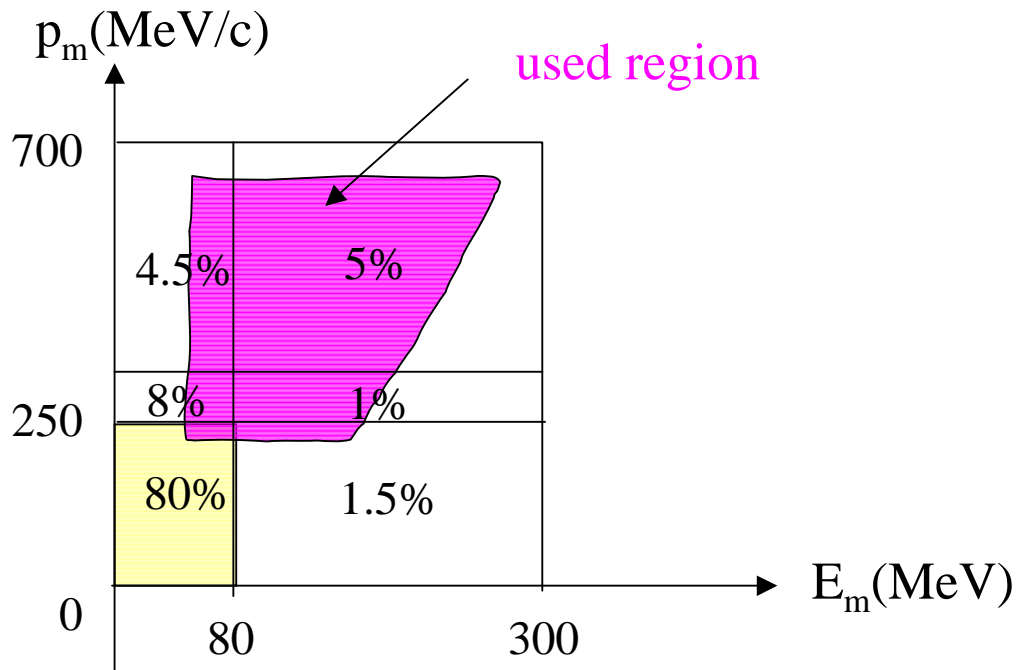
How much correlated strength??

cannot integrate over entire correlated region

FSI and Δ -excitation and part of s.p.strength limit

integrate over 'clean' region

both data and theories



result

integral over S from experiment 0.55

integral over S from CBF 0.59

integral over S from GF approach 0.53

good agreement

heavier nuclei

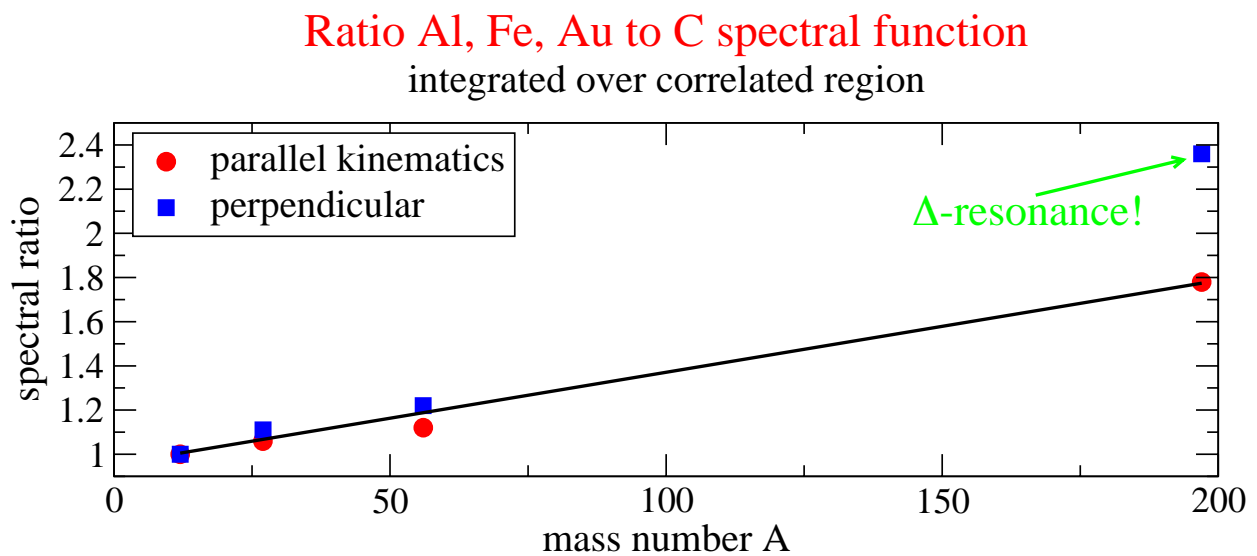
experiment performed for C, Al, Fe, Au

interest in $A \gg$

→ nuclear matter

study of FSI of recoil-p

ratio to C of correlated strength



enhancement for Au

not yet understood

consequence of tensor correlations as $N > Z$??

effect of rescattering ??

Summary

- perform experiment with optimized kinematics
to minimize multi-step contributions
- identify strength at large k, E
- theory produces $S(k, E)$, \pm correct strength (BHF, CBF+LDA)
 E -dependence does not entirely agree
strength at too low E
enhancement for large A not understood
- would want kinematics more strictly parallel
rather restrictive kinematics
unfavorable true/accidental
but it's worth it!

for details:

see habilitation work of Daniela Rohe

Problem with disagreeing occupation numbers: ^{12}C

Lapikas, low- q (e,e'p):

summed s+p strength = 3.40

(add 0.12 for weakly excited states)

Rohe, high- q (e,e'p)

(similar for analyses of SLAC, JLAB data)

integrated strength (E=80) = 4.68

(uses T from Benhar+Pieper)

includes $\int_{40}^{80} = 0.3$ ($n_{corr}=1.26!$)

noted in paper by Lapikas et al

question: which is true occupation? is q -dependent??

open: quality optical potentials

role MEC's

coupled-channel effects

effect relativity

value of T

.

test

- use $n(k)$ from (e,e'p)
transform to r -space
calculate s.p.-contribution to (point) density
- use charge density from (e,e)
unfold n+p-size to get ρ_{point}
compare

expectations

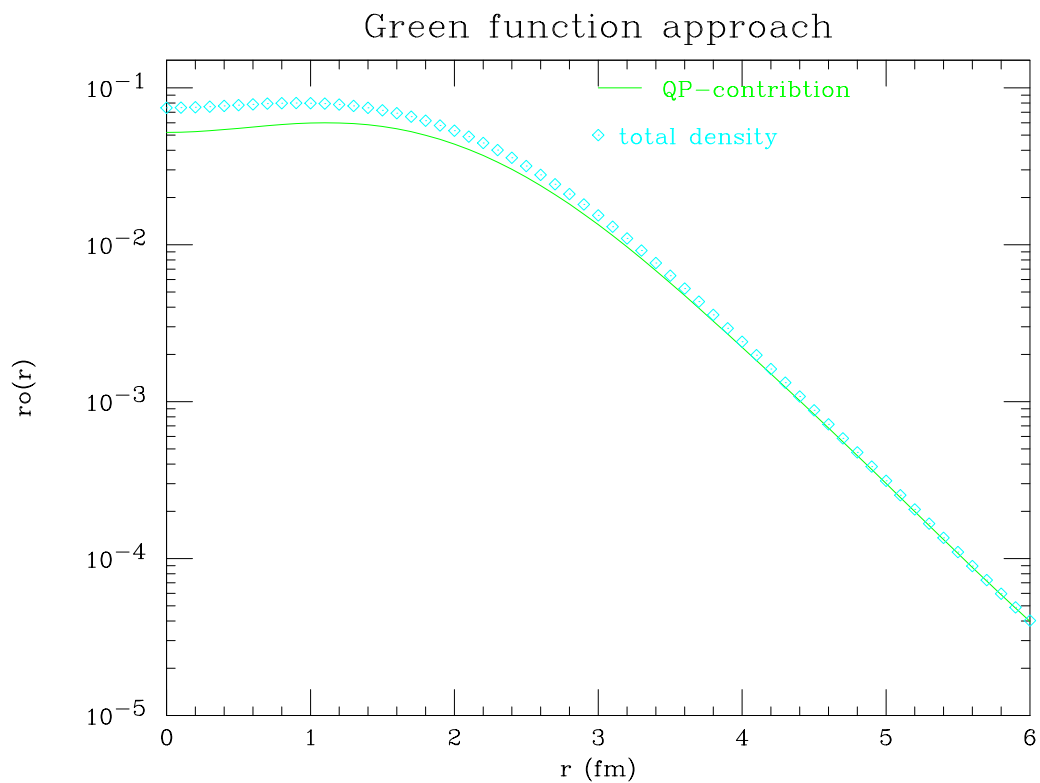
at large r asymptotic tail of $R^2(r)$

dominated by *single*-nucleon properties

expect $(n_s R_s^2 + n_p R_p^2) = \rho_{point}$

confirmed by Greens-function calculations

Müther+Polls

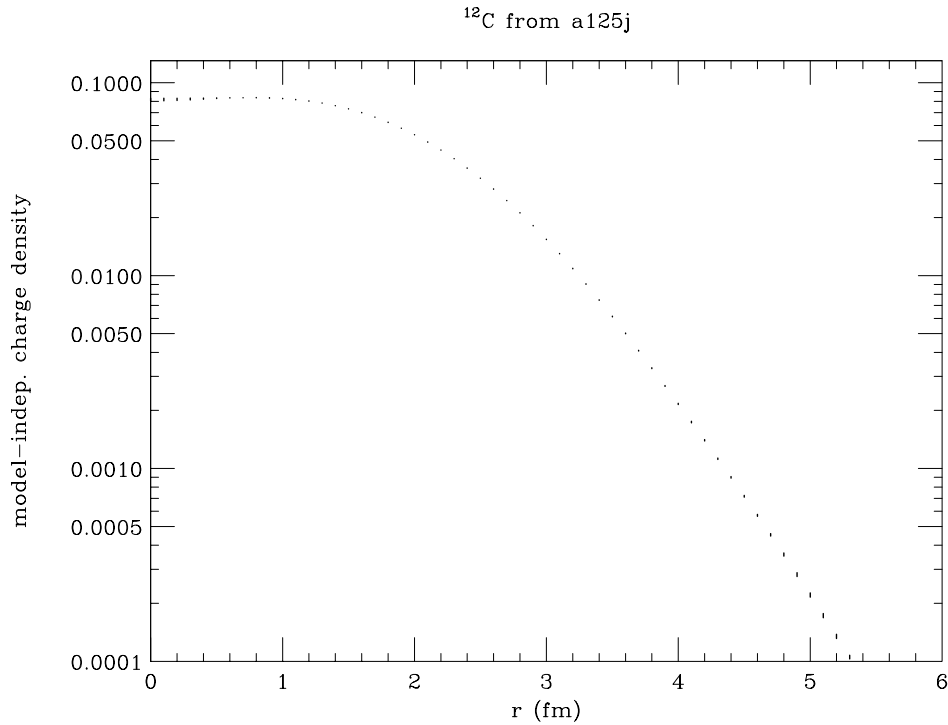


^{12}C charge density

world data (e,e)+ μ -X

model-independent analysis

→ large-r tail accurately known



fall-off of $\rho(r)$ accurately given by $\text{SE}_{1p}=15.9\text{MeV}$

ρ particularly good check for ^{12}C

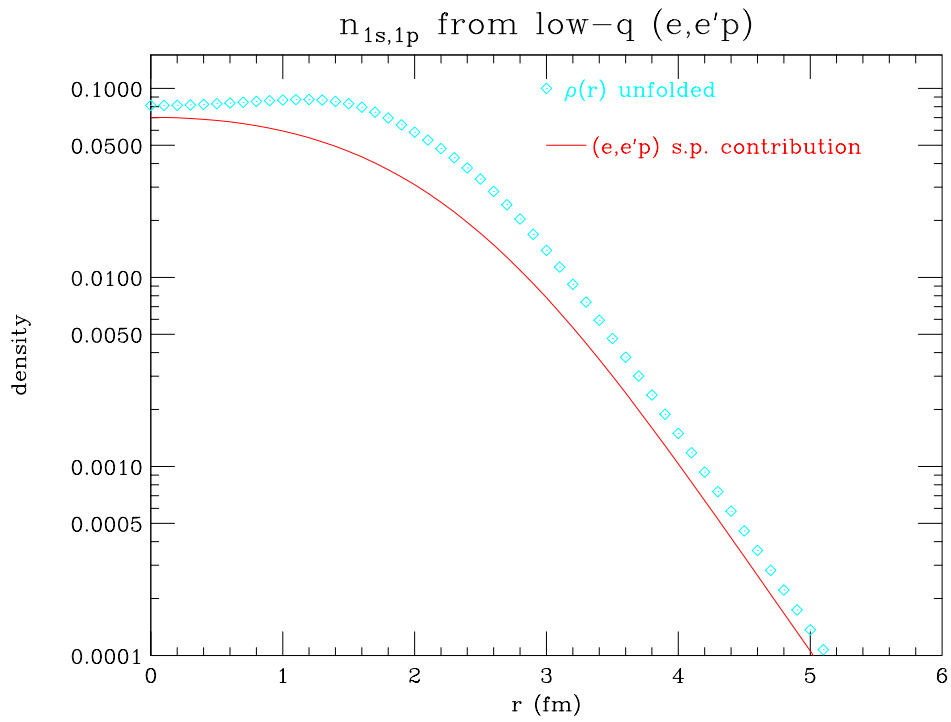
large-r density given by one shell, $p_{3/2}$, only

occupation of $p_{3/2}$ from low-q (e,e'p) particularly low

particularly clean

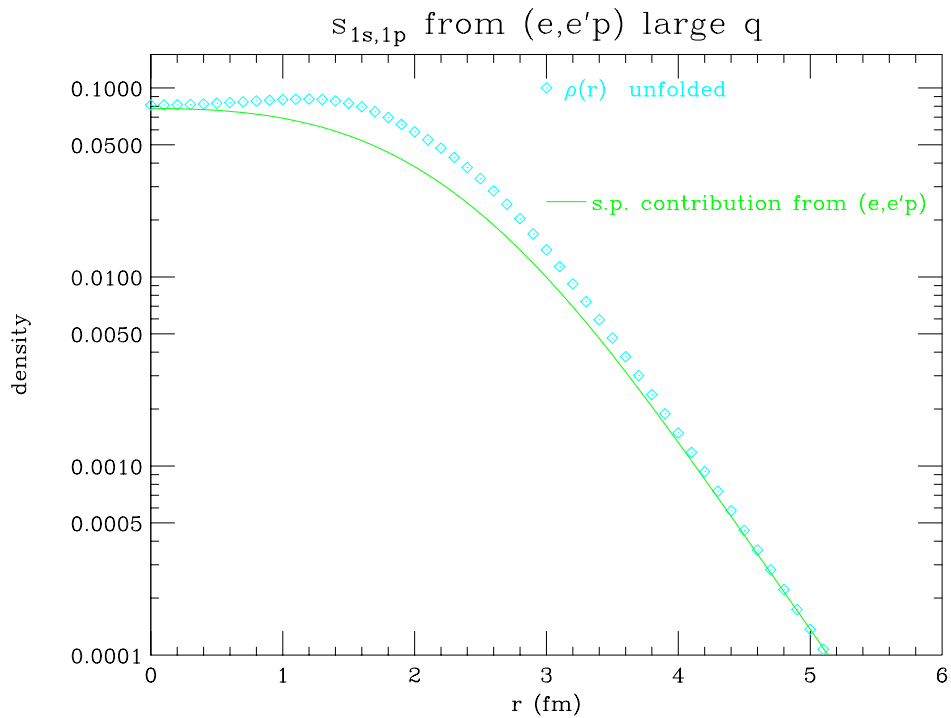
low-medium-q, \sim no MEC, only single-particle

result for low-q occupation



tail *not* explained!

result for high-q occupations



(e,e'p) and (e,e) agree!

my conclusion:

need care in comparing low-q / high-q results
spectroscopic factors of some valence states
≠ occupation

with careful comparison

no significant discrepancy low-q / high-q

depletion of QP-states of $\sim 20\%$ supported by
comparison $s+p \leftrightarrow \rho_{point}$

JLAB measurement of *correlated* strength \simeq theory $\pm 10\%$
(depletion due to correlations $\sim 20\%$)

$F_{M\Lambda}(q)$: $\overline{\alpha_{\Lambda}} = 0.84$ for $A=49, 51, 87, 91$

$^{206}\text{Pb} - ^{205}\text{Tl} \rightarrow n_{3s} = 0.84$ (CERES)