

Scuola di Dottorato Leonardo da Vinci – a.a. 2010/11

## **LASER: CARATTERISTICHE, PRINCIPI FISICI, APPLICAZIONI**

Versione 3.1 – Nov 11 – <http://www.df.unipi.it/~fuso/dida>

### **Parte 6**

#### **Laser come oscillatore: cavità, perdite, guadagno**

Me 30.11.11 16-18 aula DIC

Me 7.12.11 16-18 aula DIC

## SOMMARIO

Abbiamo ottenuto amplificazione di radiazione da mezzi attivi pompati, ma cosa ne facciamo?

- Da amplificatore ad oscillatore: retroazione e cavità risonante:  
guadagno e perdita  
un po' di ottica (soprattutto diffrazione)
- Modi longitudinali e trasversali:  
richiami  
operazione multimodo e singolo modo

Obiettivo : individuare l'altro componente essenziale per il laser, la cavità

Obiettivo secondario: porre le basi per spiegare caratteristiche luce laser in condizioni "reali" e per strategie di miglioramento da vedere in seguito

# AMPLIFICATORE → OSCILLATORE

In elettronica: amplificatore → oscillatore attraverso reazione positiva

Per capire come un amplificatore possa, a certe condizioni, in presenza di reazione positiva trasformarsi in un oscillatore sinusoidale si prenda in considerazione lo schema di Fig.1. Il segnale di reazione viene iniettato nel blocco di confronto senza inversione di fase, come indica il segno + apposto vicino al blocco stesso.

Ipotizzando quindi di tenere aperto  $S_1$  e di chiudere  $S_2$ , valutiamo il segnale di reazione  $x_r = \beta A x_s$ , presente all'uscita del blocco  $\beta$ , al variare di  $\omega$ .

Se esiste un unico valore di  $\omega = \omega_{\text{c}}$  per il quale si ha  $\text{Abs}(\beta A) = 0$  (oppure  $2n\pi$  con  $n$  intero) e pertanto  $x_r$  ed  $x_s$  risultano in fase, si possono distinguere i seguenti tre casi:

- $|\beta A(j\omega_c)| = 1$  e quindi  $x_r = x_s$ ; ipotizzando allora di chiudere  $S_1$  e aprire simultaneamente  $S_2$ , l'amplificatore si autoeccita e mantiene in uscita l'oscillazione con pulsazione  $\omega_c$  di ampiezza costante;
- $|\beta A(j\omega_c)| < 1$  per cui  $|x_r| < |x_s|$ ; si intuisce facilmente come, chiudendo ora l'anello di reazione ed escludendo la sorgente  $x_s$ , l'oscillazione con pulsazione  $\omega_c$  si smorza gradualmente nel tempo fino ad esaurirsi;
- $|\beta A(j\omega_c)| > 1$  da cui deriva  $|x_r| > |x_s|$ ; alla chiusura dell'anello e alla simultanea rimozione di  $x_s$  corrisponde ora il mantenimento dell'oscillazione con pulsazione  $\omega_c$ , di ampiezza crescente nel tempo, sino quando non intervengono fenomeni di non linearità dell'amplificatore.

È importante osservare che nell'ultimo caso l'oscillazione con pulsazione  $\omega_c$  può nascere spontaneamente nell'anello di reazione in assenza di  $x_s$ , alla sola chiusura del circuito di alimentazione, rendendo del tutto superflua la funzione eccitatrice della sorgente. L'oscillazione viene detta perciò autoinnescante. In pratica l'autoinnescamento è reso possibile dalla sicura presenza di una componente di rumore termico con pulsazione  $\omega_c$  nel sistema costituito dall'amplificatore e dalla rete di reazione. Questa componente di valore infinitesimale viene amplificata in maniera esclusiva dall'anello di reazione, trasformandosi in un'oscillazione di ampiezza elevata.

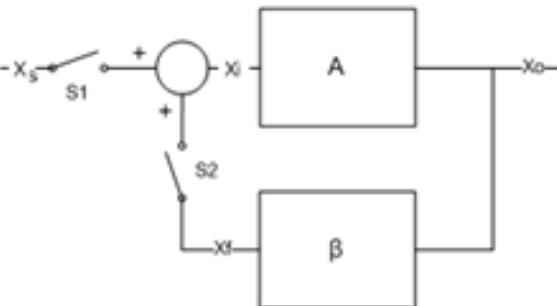
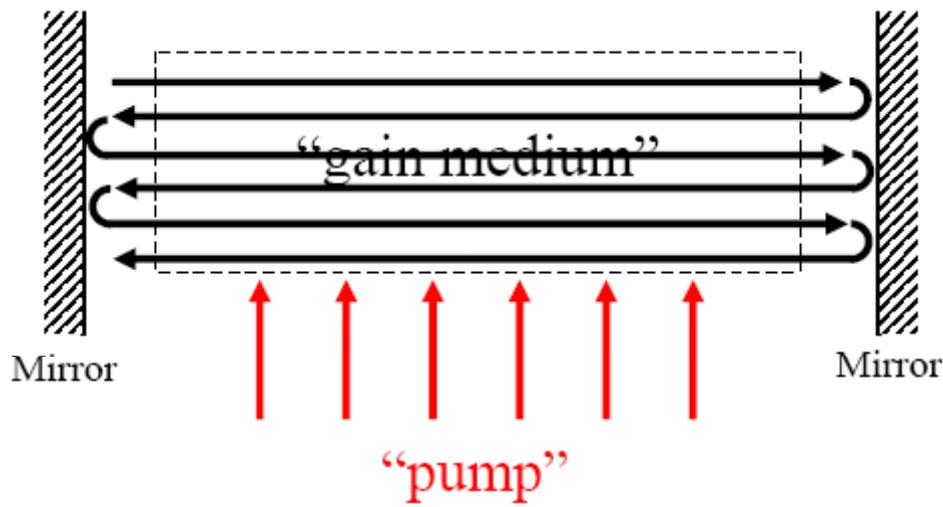


Fig.1 - Sistema retroazionato positivamente

Spesso amplificazione + reazione portano a oscillazione  
Spesso “auto”oscillazione (innescata spontaneamente)

## REAZIONE E CAVITA'

Amplificatore → Oscillatore: RETROAZIONE (feedback)



Primo ingrediente del laser:  
**MEZZO ATTIVO**  
(sistema a livelli discreti con  
opportuno pompaggio e  
inversione di popolazione)

Altro ingrediente  
fondamentale del laser:  
**RISONATORE OTTICO (cavità)**

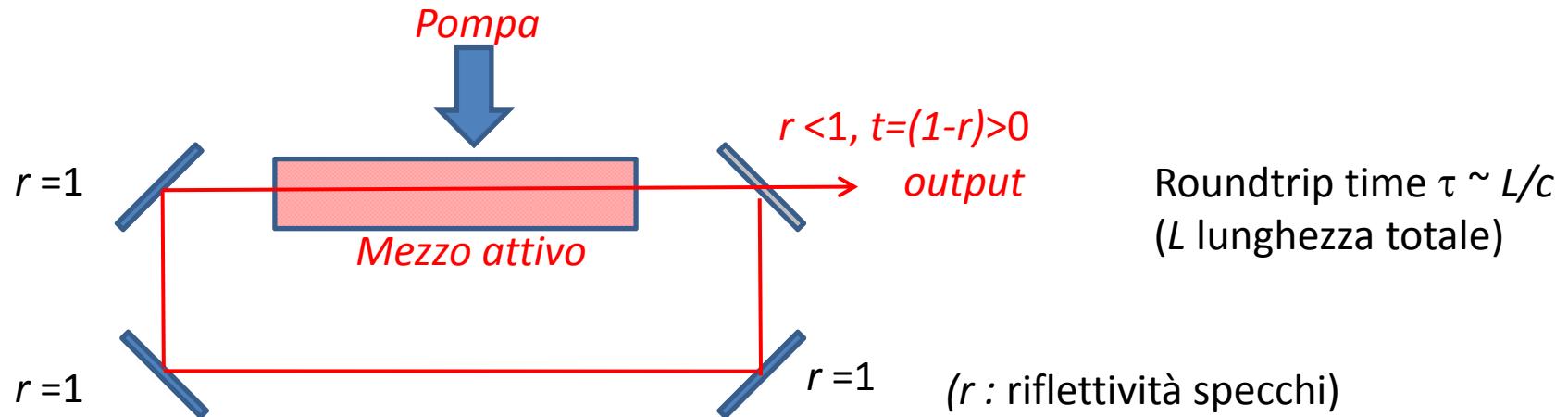
Il mezzo attivo (pompato) deve essere contenuto in un'opportuna cavità ottica che permette:

- feedback → oscillazione, cioè emissione
- controllo proprietà spettrali → selezione modi fotonici da amplificare (come vedremo)

La cavità ha un carattere prevalentemente “longitudinale” (unidimensionale),  
ma non solo, come vedremo

## CAVITÀ LASER

Esempio: cavità ideale ad anello (4 specchi tre perfetti, uno semiriflettente)



Innesco laser: fotone emesso per emissione spontanea che viaggia nella cavità

$$\frac{dE}{dt} = -E\alpha \rightarrow E(t) = E_0 e^{-ta}$$

$E$  : energia e.m. nella cavità  
 $\alpha$  : rate di perdita energia

Definizione: fattore di qualità:  $Q = 2\pi E_{stored} / E_{lost \text{ per cycle}}$

$$Q = 2\pi c / (\alpha \lambda) = \omega / \alpha$$

## CAVITÀ IDEALE E PERDITE

Energia nella cavità:  $SLu$ , con  $S$  e  $L$  sezione e lunghezza della cavità,  $u$  densità energia

Intensità (modulo vettore di Poynting) sullo specchio di uscita:

$$I = Scu, \text{ con } S \text{ c} \text{ velocità della luce}$$

Intensità persa dallo specchio di uscita:

$$I' = Scu(1-r), \text{ con } r \text{ riflettività dello specchio}$$

Energia persa per ciclo:

$$E' = I' \lambda/c = Scu(1-r)\lambda/c = S\lambda u(1-r)$$

Fattore di qualità della cavità:

$$Q = 2\pi SLu / ((1-r)S\lambda u) = 2\pi(L/\lambda)/(1-r)$$

Esempio:

$$L = 50 \text{ cm}, r = 0.98, \lambda = 0.6 \mu\text{m} \rightarrow Q \sim 2 \times 10^7 (\text{HeNe})$$

$$L = 0.5 \text{ mm}, r = 0.30, \lambda = 0.8 \mu\text{m} \rightarrow Q \sim 6 \times 10^3 (\text{diode})$$

Qualsiasi cavità laser, a causa del fatto che si vuole fare uscire parte della radiazione,  
possiede perdite



Fattore di qualità finito (ma, in genere, alto)

Qualitativamente: per emissione laser occorre che: *rate di guadagno > rate di perdita*  
per alti guadagni basta piccolo  $Q$  e viceversa

## PERDITE E GUADAGNO SISTEMA A 3 LIVELLI

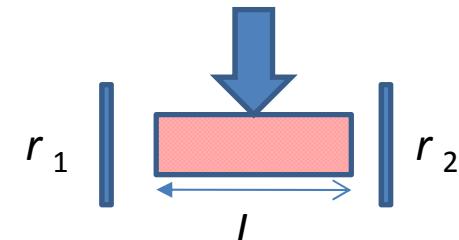
Cerchiamo espressione quantitativa

Avevamo visto (parte 5): guadagno del mezzo attivo (sistema a tre livelli, da master equation):

$$\mathcal{G} = \frac{R(2A_{32} + A_{21}) - A_{21}(A_{31} + A_{32})}{A_{21}[A_{31} + A_{32}]} \left(\frac{c}{n}\right) \frac{N \hbar \omega B_{21} F(\omega)}{V}$$

For  $I_o \ll I_{\text{thr}}$   $I(z, \omega) = I_o \exp(\mathcal{G}z)$  "Inverse Beer's Law"

For  $I_o \gg I_{\text{thr}}$   $I(z, \omega) = I_o + I_{\text{thr}} \mathcal{G}z$  Linear regime



Now consider elementary laser operation with feedback provided by two mirrors separated by a distance  $L$ . We first calculate the famous formula for **threshold gain** from the following **consistency condition**:

$$I_o \exp(-\alpha 2L) \exp(\mathcal{G}_{\text{th}} 2L) r_1 r_2 = I_o \quad [ V-9a ]$$

or

$$\mathcal{G}_{\text{th}} = \alpha + \frac{1}{2L} \ln\left(\frac{1}{r_1 r_2}\right) \quad [ V-9b ]$$

Cavità a due specchi  $r_1, r_2$

Separazione  $L$

Ulteriori perdite con rate  $\alpha$ :  
voglio avere intensità che non  
diminuisce dopo un roundtrip

where  $\alpha$  is included to account for residual cavity losses and the  $r_i$ 's are the respective reflectivity's of the two mirrors. At high power -- i.e. at powers well above threshold -- the consistency condition becomes (neglecting residual absorption)

## PERDITE E GUADAGNO II

Caso di alta intensità ( $I_0 >> I_{crit} = I_S$ ): trascurro  $\alpha$  e considero “regime lineare”

$$I_o \approx r_1 r_2 [I_o + I_{out} \mathcal{G} 2L] \quad \text{cioè} \quad I_o \approx 2I_{out} \mathcal{G} L \left[ \frac{1}{r_1 r_2} - 1 \right]^{-1}$$

From Equation [ V-7b ] we may write

Riscrittura della funzione di guadagno  $G$

$$\frac{\mathcal{G}}{G_m} = \frac{R - R_v}{R_m - R_v} \quad R: \text{rate di pompaggio}$$

where

“Perdite” per em.. spont.

$$R_v = \frac{A_{21}(A_{31} + A_{32})}{(2A_{32} + A_{21})}$$

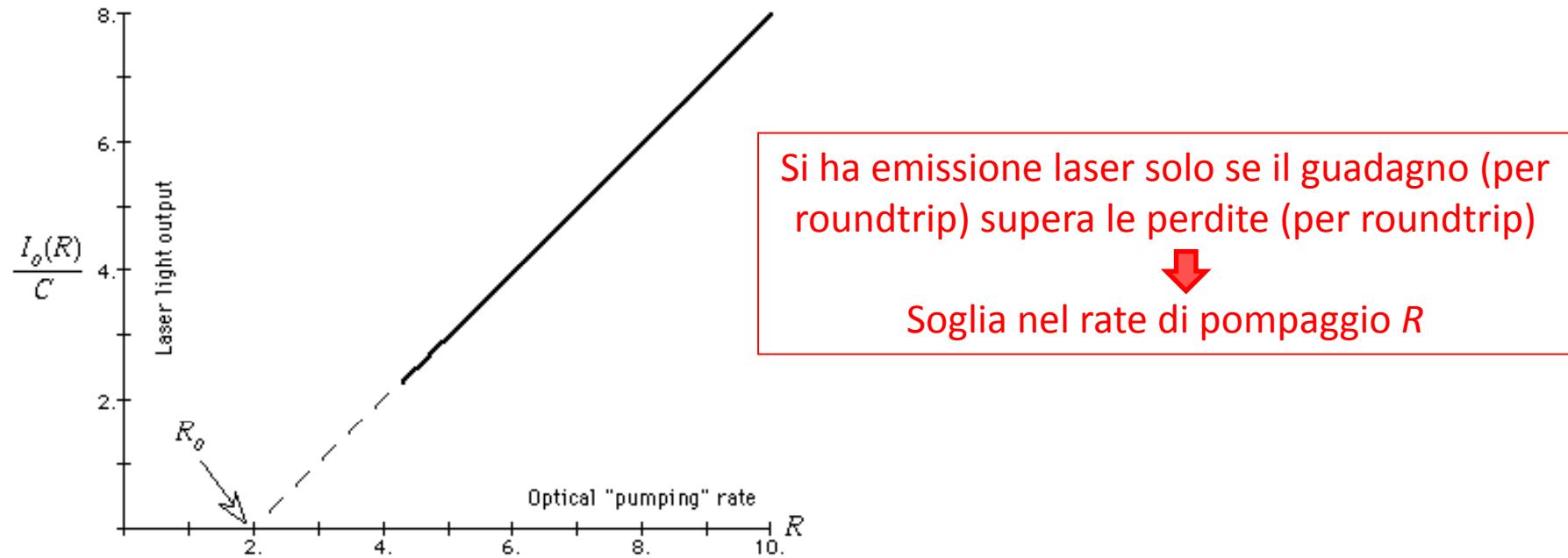
Ulteriore riscrittura

$$\text{and } R_m - R_v = G_m \frac{A_{21}[A_{31} + A_{32}]}{(2A_{32} + A_{21})} \left( \frac{n}{c} \right) \frac{V}{N \hbar \omega B_{21} F(\omega)}$$

Therefore Equation [ V-10b ] becomes

$$I_o(R) \approx \frac{2I_{out} G_m L}{\left[ \frac{1}{r_1 r_2} - 1 \right] \left[ R_m - R_v \right]} = \left[ \frac{2I_{out} G_m L}{\left[ \frac{1}{r_1 r_2} - 1 \right] \left[ R_m - R_v \right]} \right] (R - R_v) = C(R - R_v) \quad \text{Intensità dipende da } R \text{ ma ha soglia} \quad [ V-12 ]$$

## SOGLIA DI EMISSIONE LASER



Nei laser in cui il rate di pompaggio può essere controllato dall'esterno l'andamento si ritrova quasi esattamente (esempio: laser a diodo, dove il pompaggio dipende dalla corrente di iniezione)

# AL TRE PERDITE: DIFFRAZIONE I

## 10.1.3 Several Coherent Oscillators

As a simple yet logical bridge between the studies of interference and diffraction, consider the arrangement of Fig. 10.6. The illustration depicts a linear array of  $N$  coherent point oscillators (or radiating antennas), which are each identical even to their polarization. For the moment, consider the oscillators to have no intrinsic phase difference, i.e. they each have the same epoch angle. The rays shown are all almost parallel, meeting at some very distant point  $P$ . If the spatial extent of the array is comparatively small, the separate wave amplitudes arriving at  $P$  will be essentially equal, having traveled nearly equal distances, that is

$$E_0(r_1) = E_0(r_2) = \dots = E_0(r_N) = E_0(r).$$

The sum of the interfering spherical wavelets yields an electric field at  $P$ , given by the real part of

$$E = E_0(r)e^{ikr_1 - i\omega t} + E_0(r)e^{ikr_2 - i\omega t} + \dots + E_0(r)e^{ikr_N - i\omega t}. \quad (10.1)$$

It should be clear, from Section 9.1, that we need not be concerned with the vector nature of the electric field for this configuration. Now then

$$E = E_0(r)e^{-i\omega t}e^{ikr_1}[1 + e^{ik(r_2-r_1)} + e^{ik(r_3-r_1)} + \dots + e^{ik(r_N-r_1)}].$$

The phase difference between adjacent sources is obtained from the expression  $\delta = k_0\Lambda$  and since  $\Lambda = nd\sin\theta$ , in a medium of index  $n$ ,  $\delta = kd\sin\theta$ . Making use of Fig. 10.6, it follows that  $\delta = k(r_2 - r_1)$ ,  $2\delta = k(r_3 - r_1)$  etc. Thus the field at  $P$  may be written as

$$E = E_0(r)e^{-i\omega t}e^{ikr_1}[1 + (e^{i\delta}) + (e^{i\delta})^2 + (e^{i\delta})^3 + \dots (e^{i\delta})^{N-1}]. \quad (10.2)$$

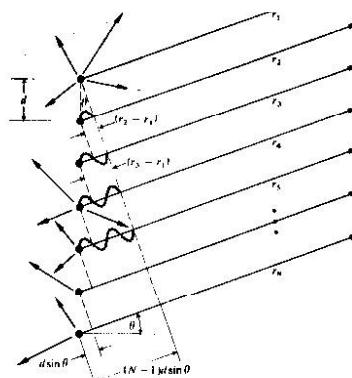


Fig. 10.6 A linear array of in-phase coherent oscillators. Note that at the angle shown  $\delta = \pi$  while at  $\theta = 0$   $\delta$  would be zero.

The bracketed geometric series has the value

$$(e^{iN\delta} - 1)/(e^{i\delta} - 1)$$

which can be rearranged into the form

$$\begin{aligned} e^{iN\delta/2} & [e^{iN\delta/2} - e^{-iN\delta/2}] \\ & e^{i\delta/2} [e^{i\delta/2} - e^{-i\delta/2}] \end{aligned}$$

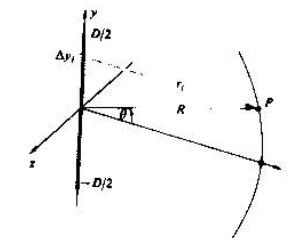
or equivalently

$$e^{i(N-1)\delta/2} \left[ \frac{\sin N\delta/2}{\sin \delta/2} \right].$$

The field then becomes

$$E = E_0(r)e^{-i\omega t}(k\delta/2)(N-1) \left( \frac{\sin N\delta/2}{\sin \delta/2} \right). \quad (10.3)$$

Fig. 10.8 A coherent line source.



Notice that if we define  $R$  to be the distance from the center of the line of oscillators to the point  $P$ , that is

$$R = \frac{1}{2}(N-1)d\sin\theta + r_1,$$

then Eq. (10.3) takes on the form

$$E = E_0(r)e^{-i\omega t}(k\delta/2) \left( \frac{\sin N\delta/2}{\sin \delta/2} \right). \quad (10.4)$$

Finally, then, the flux-density distribution within the diffraction pattern due to  $N$  coherent, identical, distant point sources in a linear array is proportional to  $EE'/2$  for complex  $E$  or

$$I = I_0 \frac{\sin^2(N\delta/2)}{\sin^2(\delta/2)}, \quad (10.5)$$

where  $I_0$  is the flux density from any single source arriving at  $P$  (see Problem 10.2 for a graphical derivation of the irradiance). For  $N = 0$ ,  $I = 0$ , for  $N = 1$ ,  $I = I_0$ , and for  $N = 2$ ,  $I = 4I_0 \cos^2(\delta/2)$  in accord with Eq. (9.6). The functional dependence of  $I$  on  $\theta$  is more apparent in the form

$$I = I_0 \frac{\sin^2[N(kd/2)\sin\theta]}{\sin^2[(kd/2)\sin\theta]}. \quad (10.6)$$

The  $\sin^2[N(kd/2)\sin\theta]$  term undergoes rapid fluctuations, while the function modulating it,  $\{\sin[(kd/2)\sin\theta]\}^{-2}$ , varies relatively slowly. The combined expression gives rise to a series of sharp principal peaks separated by small subsidiary maxima. The principal maxima occur in directions  $\theta_m$  such that  $\delta = 2m\pi$  where  $m = 0, \pm 1, \pm 2, \dots$ . Because  $\delta = kd\sin\theta$

$$d\sin\theta_m = m\lambda. \quad (10.7)$$

Since  $[\sin^2 N\delta/2]/[\sin^2 \delta/2] = N^2$  for  $\delta = 2m\pi$  (from

L'Hôpital's rule) the principal maxima have values  $N^2 I_0$ . This is to be expected inasmuch as all of the oscillators are in phase at that orientation. The system will radiate a maximum in a direction perpendicular to the array ( $m = 0$ ,  $\theta_0 = 0$  and  $\pi$ ). As  $\theta$  increases,  $\delta$  increases and  $I$  falls off to zero at  $N\delta/2 = \pi$ , its first minimum. Note that if  $d < \lambda$  in Eq. (10.7), only the  $m = 0$  or zero-order principal maximum exists. If we were looking at an idealized line source of electron-oscillators separated by atomic distances, we could expect only that one principal maximum in the light field.

The antenna array of Fig. 10.7 can then transmit radiation in the narrow beam or lobe corresponding to a principal maximum (the parabolic dishes shown reflect into the forward direction and the radiation pattern is no longer symmetrical around the common axis.) Suppose that we have a system in which we can introduce an intrinsic phase shift of  $\epsilon$  between adjacent oscillators. In that case

$$\delta = kd \sin \theta + \epsilon;$$

the various principal maxima will occur at new angles

$$d \sin \theta_m = m\lambda - \epsilon/k.$$

Concentrating on the central maximum  $m = 0$ , its orientation  $\theta_0$  can be varied at will by merely adjusting the value of  $\epsilon$ .

The principle of reversibility, which states that without absorption, wave motion is reversible, leads to the same field pattern for an antenna used as either a transmitter or receiver. The array, functioning as a radio telescope, can therefore be "pointed" by combining the output from the individual antennas with an appropriate phase shift,  $\epsilon$ , introduced between each of them. For a given  $\epsilon$  the output of the system corresponds to the signal impinging on the array from a specific direction in space.

Figure 10.7 is a photograph of the first multiple radio interferometer designed by W. N. Christiansen and built in Australia in 1951. It consists of 32 parabolic antennas, each 2 m in diameter, designed to function in phase at the wavelength of the 21 cm hydrogen emission line. The antennas are arranged along an east-west baseline with 7 m separating each one. This particular array utilizes the earth's rotation as the scanning mechanism.

Examine Fig. 10.8 which depicts an idealized line source of electron-oscillators (e.g., the secondary sources of the Huygens-Fresnel principle for a long slit whose width is much less than  $\lambda$  illuminated by plane waves). Each point emits a spherical wavelet which we write as

$$E = \left( \frac{\epsilon_0}{r} \right) \sin(\omega t - kr)$$

explicitly indicating the inverse  $r$ -dependence of the amplitude. The quantity  $\epsilon_0$  is said to be the *source strength*. This present situation is distinct from that of Fig. 10.6 in that now the sources are very weak, their number,  $N$ , is tremendous large and the separation between them vanishingly small. A minute, but finite segment of the array  $\Delta y_i$  will contain  $\Delta y_i (N/D)$  sources where  $D$  is the entire length of the array.

## DIFFRAZIONE II (FRAUNHOFER)

Imagine then that the array is divided up into  $M$  such segments, i.e.,  $i$  goes from 1 to  $M$ . The contribution to the electric field intensity at  $P$  from the  $i$ th segment is accordingly

$$E_i = \left( \frac{\epsilon_0}{r_i} \right) \sin(\omega t - kr_i) \left( \frac{N \Delta y_i}{D} \right)$$

provided that  $\Delta y_i$  is so small that the oscillators within it have a negligible relative phase difference ( $r_i = \text{constant}$ ) and their fields simply add constructively. We can cause the array to become a continuous (coherent) line source by letting  $M$  approach infinity. This description, besides being fairly realistic on a macroscopic scale, also allows the use of the calculus for more complicated geometries. Certainly as  $M$  approaches infinity, the source strengths of the individual oscillators must diminish to near zero if the total output is to be finite. We can therefore define a constant  $\epsilon_L$  as the *source strength per unit length* of the array, that is

$$\epsilon_L = \frac{1}{D} \lim_{M \rightarrow \infty} (\epsilon_0 N). \quad (10.8)$$

The net field at  $P$  from all  $M$  segments is

$$E = \sum_{i=1}^M \frac{\epsilon_L}{r_i} \sin(\omega t - kr_i) \Delta y_i.$$

For a continuous line source  $\Delta y_i$  can become infinitesimal ( $M \rightarrow \infty$ ) and the summation is then transformed into a definite integral

$$E = \epsilon_L \int_{-D/2}^{+D/2} \frac{\sin(\omega t - kr)}{r} dy, \quad (10.9)$$

where  $r = r(y)$ . The approximations used to evaluate Eq. (10.9) must depend on the position of  $P$  with respect to the array and will therefore make the distinction between Fraunhofer and Fresnel diffraction. The coherent optical line source does not now exist as a physical entity but we will make good use of it as a mathematical device.

### 10.2 FRAUNHOFER DIFFRACTION

#### 10.2.1 The Single Slit

Return to Fig. 10.8 where now the point of observation is very distant from the coherent line source and  $R \gg D$ . Under these circumstances  $r(y)$  never deviates appreciably from its midpoint value  $R$  so that the quantity  $(E_i/R)$  at  $P$  is essentially constant for all elements  $dy$ . It follows from Eq. (10.9) that the field at  $P$  due to the differential segment of the

source  $dy$  is

$$dE = \frac{\epsilon_L}{R} \sin(\omega t - kr) dy, \quad (10.10)$$

where  $(\epsilon_L/R) dy$  is the amplitude of the wave. Notice that the phase is very much more sensitive to variations in  $r(y)$  than is the amplitude so that we will have to be more careful about introducing approximations into it. We can expand  $r(y)$ , in precisely the same manner as was done in Problem (9.4), to get it as an explicit function of  $y$ , thus

$$r = R - y \sin \theta + (y^2/2R) \cos^2 \theta + \dots, \quad (10.11)$$

where  $\theta$  is measured from the  $xz$ -plane. The third term can be ignored so long as its contribution to the phase is insignificant even when  $y = \pm D/2$ , i.e.  $(\pi D^2/4R) \cos^2 \theta$  must be negligible. This will be true for all values of  $\theta$  when  $R$  is adequately large and we again have the Fraunhofer condition. The distance  $r$  is then linear in  $y$ . Substituting into Eq. (10.10) and integrating leads to

$$E = \frac{\epsilon_L}{R} \int_{-D/2}^{+D/2} \sin(\omega t - k(R - y \sin \theta)) dy, \quad (10.12)$$

and finally

$$E = \frac{\epsilon_L D \sin((kD/2) \sin \theta)}{R} \sin(\omega t - kR). \quad (10.13)$$

To simplify the appearance of things let

$$\beta \equiv (kD/2) \sin \theta \quad (10.14)$$

so that

$$E = \frac{\epsilon_L D}{R} \left( \frac{\sin \beta}{\beta} \right) \sin(\omega t - kR). \quad (10.15)$$

The quantity most readily measured is the irradiance (forgetting the constants)  $I(\theta) = \langle E^2 \rangle$  or

$$I(\theta) = \frac{1}{2} \left( \frac{\epsilon_L D}{R} \right)^2 \left( \frac{\sin \beta}{\beta} \right)^2, \quad (10.16)$$

where  $\langle \sin^2(\omega t - kR) \rangle = \frac{1}{2}$ . When  $\theta = 0$ ,  $\sin \beta/\beta = 1$  and  $I(\theta) = I(0)$  which corresponds to the *principal maximum*. The *irradiance resulting from an idealized coherent line source in the Fraunhofer approximation* is then

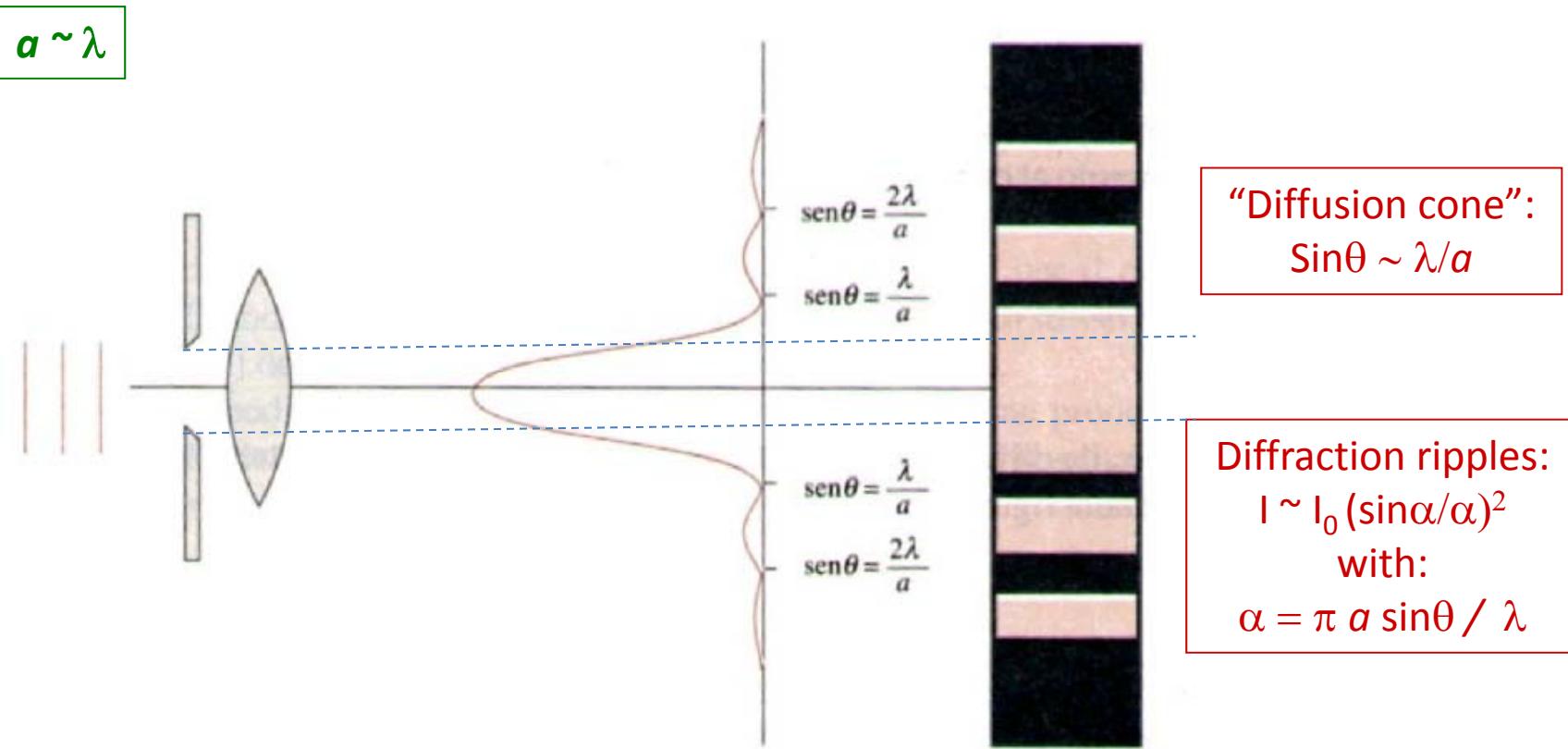
$$I(\theta) = I(0) \left( \frac{\sin \beta}{\beta} \right)^2, \quad (10.17)$$

or using the *sinc function* (Section 7.9, and Table 1 of the Appendix)

$$I(\theta) = I(0) \operatorname{sinc}^2 \beta.$$

There is symmetry about the  $y$ -axis and this expression holds for  $\theta$  measured in any plane containing that axis.

## DIFFRAZIONE III



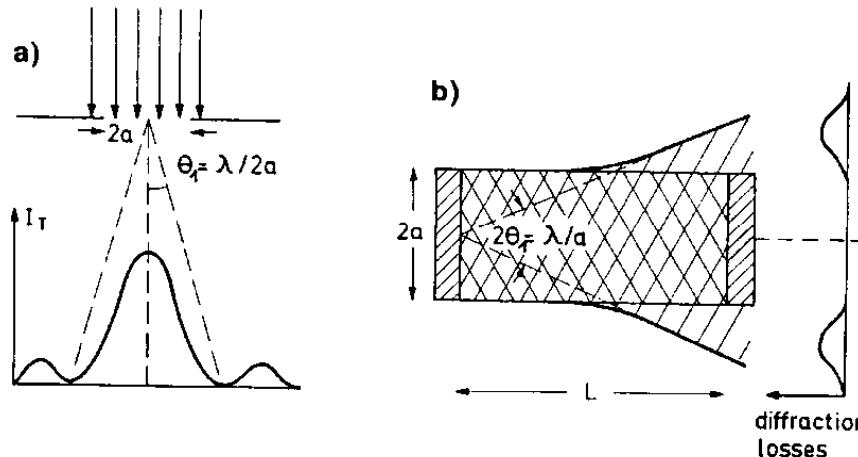
Il passaggio di luce attraverso un'apertura di diametro  $a \sim \lambda$  provoca diffrazione:

- Cono di diffusione;
- Ripples e modulazioni dell'intensità (frange)

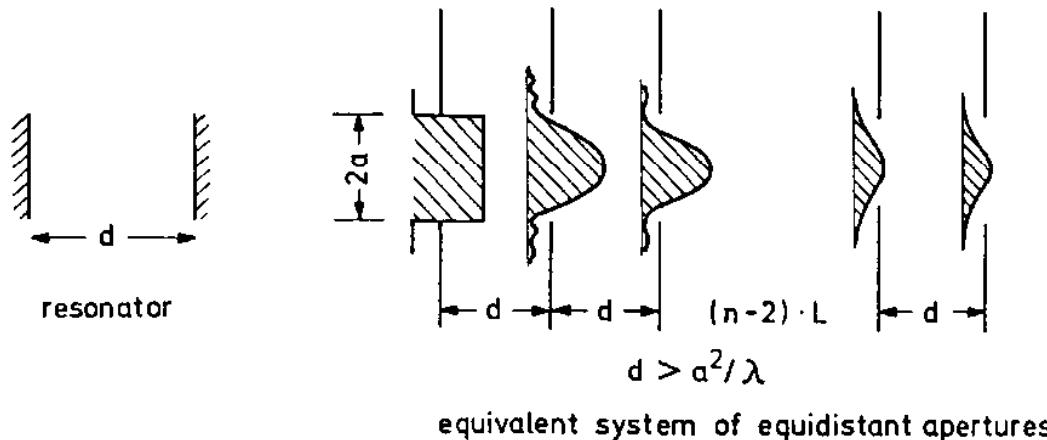


Non basta più considerare solo cavità unidimensionali!!

## DIFFRAZIONE E CAVITÀ



**Fig.5.5.** Equivalence of diffraction at an aperture (a) and at a mirror of equal size (b). The diffraction pattern of the transmitted light in (a) equals that of the reflected light in (b). The case  $\theta_1 d = a \rightarrow N = 0.5$  is shown



**Fig.5.7.** The diffraction of an incident plane wave at successive apertures such that  $d$  is equivalent to the diffraction by successive reflections in a plane-mirror system with mirror separation  $d$

(Per il principio di reciprocità) la riflessione da ogni specchio comporta una diffrazione

In ogni tipo di cavità si verificano perdite legate alla diffrazione (risonatore aperto): per alcuni tipi di cavità si ottiene stabilità operativa e minori perdite

# MODI TRASVERSALI DEL CAMPO

## 5.2.2 Spatial Field Distributions in Open Resonators

In Sect.2.1 we have seen that any stationary field configuration in a closed cavity (called a *mode*) can be composed of plane waves. Because of diffraction, plane waves cannot give stationary fields in open resonators, since the diffraction losses depend on the coordinates  $(x, y)$  and increase from the axis of the resonator towards its edges. This implies that the distribution  $A(x, y)$ , which is independent of  $x$  and  $y$  for a plane wave, will be altered with each round trip for a wave travelling back and forth between the mirrors of an open resonator until it approaches a stationary distribution. Such a stationary field configuration, called a *mode of the open resonator*, is reached when  $A(x, y)$  no longer changes its form, although, of course, the losses result in a decrease of the total amplitude, if they are not compensated by the gain of the active medium.

The mode configurations of open resonators can be obtained by an iterative procedure using the Kirchhoff-Fresnel diffraction theory [5.16]. The resonator with two plane, square mirrors is replaced by the equivalent arrangement of apertures with size  $(2a)^2$  and a distance  $d$  between successive apertures (Fig.5.7). When an incident plane wave is travelling into the  $z$  direction its amplitude distribution is successively altered by diffraction, from a constant amplitude to the final stationary distribution  $A_n(x, y)$ . The spatial distribution  $A_n(x, y)$  in the plane of the  $n^{\text{th}}$  aperture is determined by the distribution  $A_{n-1}(x, y)$  across the previous aperture.

### Risonatore confocale

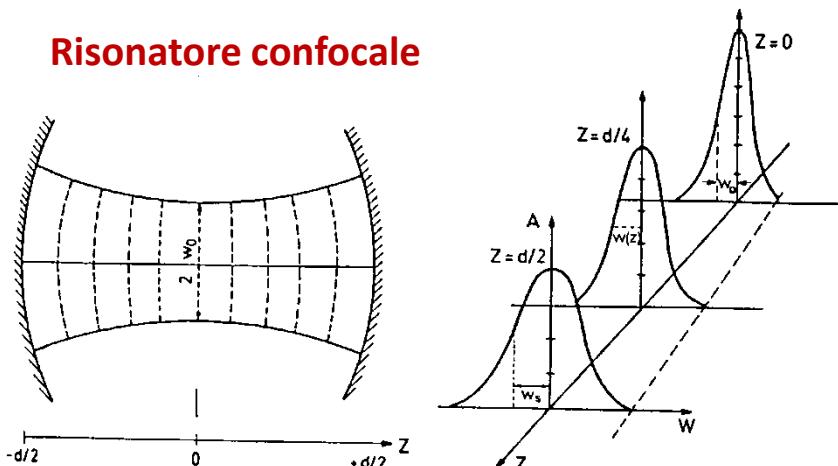


Fig.5.10. Phase fronts and intensity profiles of the fundamental  $\text{TEM}_{00}$  mode at several locations  $z$  in a confocal resonator

From Kirchhoff's diffraction theory we obtain (Fig.5.8)

$$A_n(x, y) = -\frac{i}{2\lambda} \iint A_{n-1}(x', y') \frac{1}{\rho} e^{-ik\rho} (1 + \cos\theta) dx' dy'. \quad (5.26)$$

A stationary field distribution is reached if

$$A_n(x, y) = CA_{n-1}(x, y) \quad \text{with} \quad C = \sqrt{1 - \gamma_D} e^{i\phi}, \quad (5.27)$$

The amplitude attenuation factor  $C$  does not depend on  $x$  and  $y$ . The quantity  $\gamma_D$  represents the diffraction losses and  $\phi$  the corresponding phase shift, caused by diffraction.

Inserting (5.27) into (5.26) gives the following integral equation for the stationary field configuration

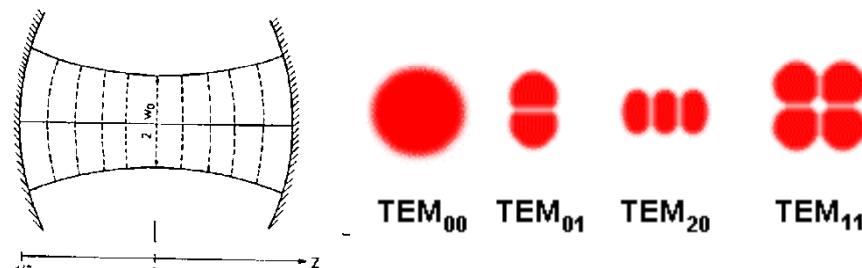
$$A(x, y) = -\frac{i}{2\lambda} (1 - \gamma_D)^{-1/2} e^{-i\phi} \iint A(x', y') \frac{1}{\rho} e^{-ik\rho} (1 + \cos\theta) dx' dy'. \quad (5.28)$$

Because the arrangement of successive apertures is equivalent to the plane-mirror resonator, the solutions of this integral equation also represent the stationary modes of the open resonator. The diffraction-dependent phase shifts  $\phi$  for the modes are determined by the condition of resonance, which requires that the mirror separation  $d$  equals an integer multiple of  $\lambda/2$ .

The general integral equation (5.28) cannot be solved analytically and one has to look for approximate methods. For two identical plane mirrors of quadratic shape  $(2a)^2$ , (5.28) can numerically be solved by splitting it into two one-dimensional equations, one for each coordinate  $x$  and  $y$ , if the Fresnel number  $N = a^2/(d\lambda)$  is small compared with  $(d/a)^2$ , which means if  $a \ll (d^3 \lambda)^{1/4}$ . Such numerical iterations for the "infinite strip" resonator have been performed by *Fox and Li* [5.18]. They showed that stationary field configurations do exist, and computed the field distributions of these modes, their phase shifts and their diffraction losses.

La distribuzione spaziale dell'intensità e.m. nella cavità può essere calcolata (numericamente)

# MODI TRASVERSI IN RISONATORI



### 5.2.3 Confocal Resonators

The analysis has been extended by *Boyd, Gordon, and Kogelnik* to resonators with confocally-spaced, spherical mirrors [5.19, 20] and later by others to general laser resonators [5.21-29]. For the confocal case (mirror separation  $d$  is equal to the radius of curvature  $R$ ), the integral equation (5.28)

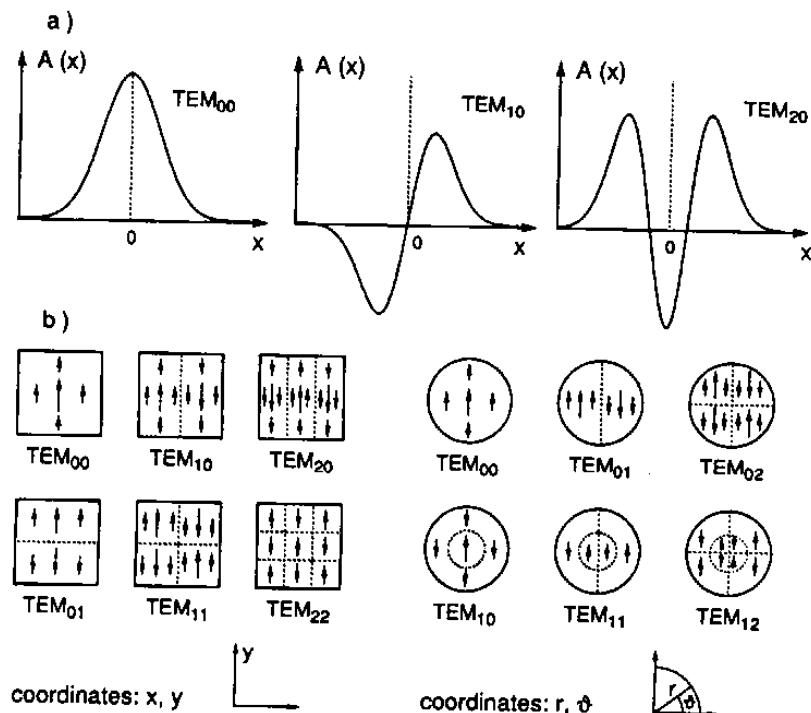


Fig.5.9. (a) Stationary one-dimensional amplitude distributions  $A_m(x)$  in a confocal resonator. (b) Two-dimensional presentation of linearly polarized resonator modes for square and circular apertures

can be solved with the acceptable approximation  $a \ll d$ , which implies  $\rho \approx d$  in the denominator and  $\cos\theta \approx 1$ . In the phase term  $\exp(-ik\rho)$ , the distance  $\rho$  cannot be replaced by  $d$ , since the phase is sensitive already to small changes in the exponent. One can, however, expand  $\rho$  into a power series of  $xx'/d^2$  and  $yy'/d^2$ . For the confocal case ( $d = R$ ) one obtains [5.7, 19]

$$\rho \approx d[1 - (xx' + yy')/R^2]. \quad (5.29)$$

Inserting (5.29) into (5.28) allows the two-dimensional equation to be separated into two one-dimensional homogeneous Fredholm equations which can be solved analytically [5.19, 23].

From the solutions, the stationary amplitude distribution in the plane  $z = z_0$  vertical to the resonator axis is obtained. For the confocal resonator it can be represented by the product of Hermitian polynomials, a Gaussian function, and a phase factor:

$$A_{mn}(x, y, z) = C^* H_m(x^*) H_n(y^*) \exp(-r^2/w^2) \exp[-i\phi(z, r, R)]. \quad (5.30)$$

Here,  $C^*$  is a normalization factor. The function  $H_m$  is the Hermitian polynomial of  $m^{\text{th}}$  order. The last factor gives the phase  $\phi(z_0, r)$  in the plane  $z = z_0$  at a distance  $r = (x^2 + y^2)^{1/2}$  from the resonator axis. The arguments  $x^*$  and  $y^*$  depend on the mirror separation  $d$  and are related to the coordinates  $x, y, z$  by  $x^* = \sqrt{2}x/w$  and  $y^* = \sqrt{2}y/w$ , where

$$w^2(z) = \frac{\lambda d}{2\pi} [1 + (2z/d)^2] \quad (5.31)$$

is a measure for the radial amplitude distribution.

From the definition of the Hermitian polynomials [5.30], one can see that the indices  $m$  and  $n$  give the number of nodes for the amplitude  $A(x, y)$  in the  $x$  (or the  $y$ ) direction. Figures 5.9, 10 illustrate some of these "Transverse Electro-Magnetic standing waves" which are called  $\text{TEM}_{m,n}$  modes. The diffraction effects do not essentially influence the transverse character of the waves. While Fig.5.9a shows the one-dimensional amplitude distribution  $A(x)$  for some modes, Fig.5.9b depicts the two-dimensional field amplitude  $A(x, y)$  in Cartesian coordinates and  $A(r, \theta)$  in polar coordinates. Modes with  $m = n = 0$  are called *fundamental modes* or *axial modes* (often zero-order transverse modes as well), while configurations with  $m > 0$  or  $n > 0$  are transverse modes of higher order. The intensity distribution of the fundamental mode  $I_{00} \propto A_{00} A_{00}^*$  can be derived from (5.30). With  $H_0(x^*) = H_0(y^*) = 1$  we obtain

$$I_{00}(x, y, z) = I_0 e^{-2r^2/w^2}. \quad (5.32)$$

## RISONATORI CONFOCALI

The fundamental modes have a Gaussian profile. For  $r = w$  the intensity decreases to  $1/e^2$  of its maximum value  $I_0 = C^* r^2$  on the axis ( $r = 0$ ). The value  $r = w$  is called the *beam radius* or *mode radius*. The smallest beam radius  $w_0$  within the confocal resonator is the *beam waist*, which is located at the center  $z = 0$ . From (5.31) we obtain with  $d = R$

$$w_0 = (\lambda R / 2\pi)^{1/2}. \quad \text{Waist} \quad (5.33)$$

At the mirrors ( $z = d/2$ ) the beam radius  $w(d/2) = \sqrt{2}w_0$  is increased by a factor  $\sqrt{2}$ .

### Examples 5.4

- a) For a HeNe laser with  $\lambda = 633 \text{ nm}$ ,  $R = d = 30 \text{ cm}$  (5.33) gives  $w_0 = 0.17 \text{ mm}$  for the beam waist.
- b) For a CO<sub>2</sub> laser with  $\lambda = 10 \mu\text{m}$ ,  $R = d = 2 \text{ m}$  is  $w_0 = 1.8 \text{ mm}$ .

**Tipicamente il volume di mezzo attivo “efficace” sfruttato è molto piccolo (sta nel waist del risonatore)**

A large Fresnel number (well above 1) of a resonator (cavity) means that diffraction losses at the end mirrors are small for typical mode sizes (i.e. not near a **stability limit** of the resonator, where mode sizes can diverge). This is the usual situation in a stable **laser resonator**. Conversely, a small Fresnel number means that diffraction losses can be significant – particularly for **higher-order modes**, so that **diffraction-limited operation** may be favored.

Alto Numero di Fresnel → rilevanti perdite per diffrazione

**Risonatori confocali permettono di ridurre le perdite, cioè aumentare  $Q$**

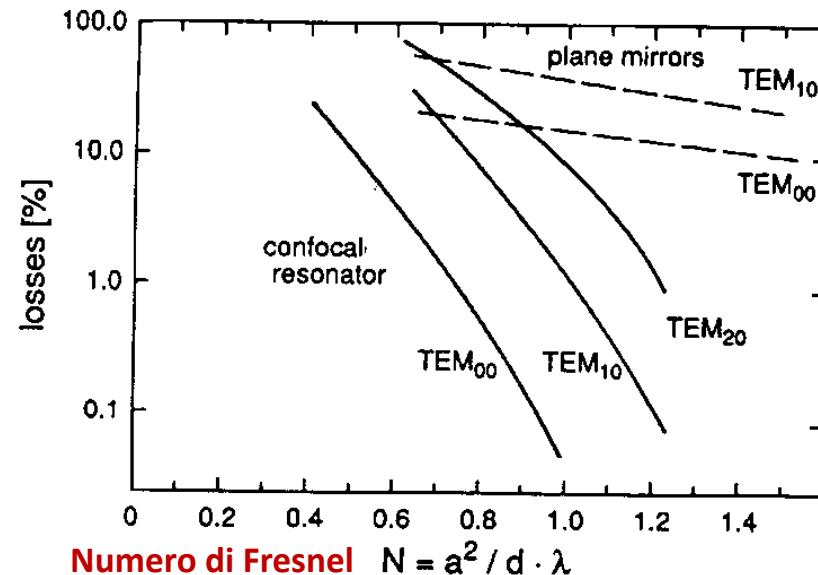


Fig.5.11. Diffraction losses of some modes in a confocal and in a plane-mirror resonator, plotted as a function of the Fresnel number  $N$

# STABILITÀ RISONATORI

## 5.2.6 Stable and Unstable Resonators

In a stable resonator the field amplitude  $A(x, y)$  reproduces itself after each round trip apart from a constant factor  $C$  which represents the total diffraction losses but does not depend on  $x$  or  $y$ , see (5.27).

The question is now how the field distribution  $A(x, y)$  and the diffraction losses change with varying mirror radii  $R_1, R_2$  and mirror separation  $d$  for a general resonator. We will investigate this problem for the fundamental  $TEM_{00}$  mode, described by the Gaussian-beam intensity profile. For a stationary field distribution, where the Gaussian beam profile reproduces itself after each round trip one obtains, for a resonator consisting of two spherical mirrors with the radii  $R_1, R_2$ , separated by the distance  $d$ , the spot sizes  $\pi w_1^2$  and  $\pi w_2^2$  on the mirror surfaces [5.1, 23]

$$\pi w_1^2 = \lambda d \left( \frac{g_2}{g_1(1 - g_1 g_2)} \right)^{1/2}; \quad \pi w_2^2 = \lambda d \left( \frac{g_1}{g_2(1 - g_1 g_2)} \right)^{1/2} \quad (5.42)$$

with the parameters ( $i = 1, 2$ )

$$g_i = 1 - d/R_i. \quad (5.43)$$

This reveals that for  $g_1 = 0$  or  $g_2 = 0$  and for  $g_1 g_2 = 1$  the spot sizes become infinite at one or at both mirror surfaces, which implies that the Gaussian beam diverges: The resonator becomes unstable. An exception is the confocal resonator with  $g_1 = g_2 = 0$ , which is stable if both parameters  $g_i$  are exactly zero. For  $g_1 g_2 > 1$  or  $g_1 g_2 < 0$  the right-hand sides of (5.42) become imaginary, which means that the resonator is unstable. The condition for a stable resonator is therefore

Risonatori stabili consentono di ottenere perdite costanti per ogni roundtrip

Risonatori instabili possono essere preferibili per coinvolgere una maggiore quantità di mezzo attivo (fattore di riempimento)  
 → Laser ad alta potenza (e scarsa qualità del fascio)

Table 5.1. Some commonly used optical resonators with their stability parameters  $g_i = 1 - d/R_i$

Type of resonator	Mirror radii	Stability parameter
Confocal	$R_1 + R_2 = 2d$	$g_1 + g_2 = 2g_1 g_2$
Concentric	$R_1 + R_2 = d$	$g_1 g_2 = 1$
Symmetric	$R_1 = R_2$	$g_1 = g_2 \approx g$
Symmetric confocal	$R_1 = R_2 = d$	$g_1 = g_2 = 0$
Symmetric concentric	$R_1 = R_2 = \frac{1}{2}d$	$g_1 = g_2 = -1$
Semiconfocal	$R_1 = \infty$	$g_1 = 1, g_2 = \frac{1}{2}$
Plane	$R_1 = R_2 = \infty$	$g_1 = g_2 = +1$

$$0 < g_1 g_2 < 1 \quad \text{or} \quad g_1 = g_2 = 0. \quad (5.44)$$

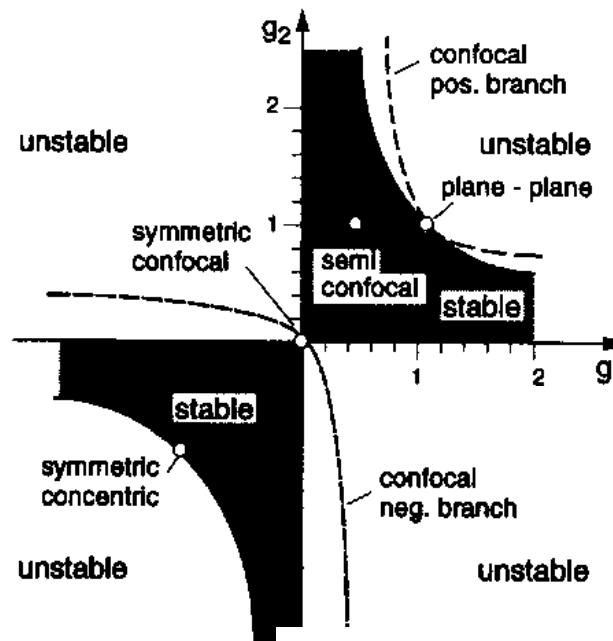
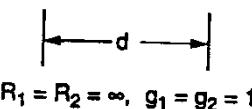


Fig.5.12. Stability diagram of optical resonators. The hatched areas represent stable resonators

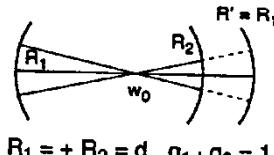
# RISONATORI E PROPRIETÀ OTTICHE FASCIO

a) plane resonator



$$R_1 = R_2 = \infty, g_1 = g_2 = 1$$

c) concentric resonator



$$R_1 + R_2 = d, g_1 \cdot g_2 = 1$$

e) general spherical resonator mit TEM<sub>00</sub>-Mode

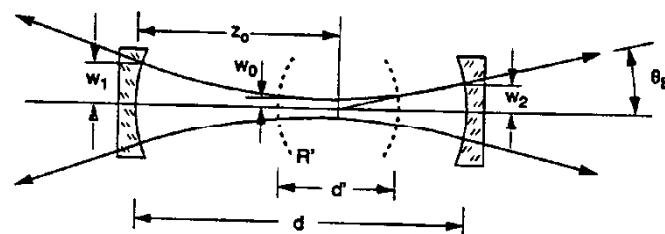


Fig.5.13. Some examples of common resonators

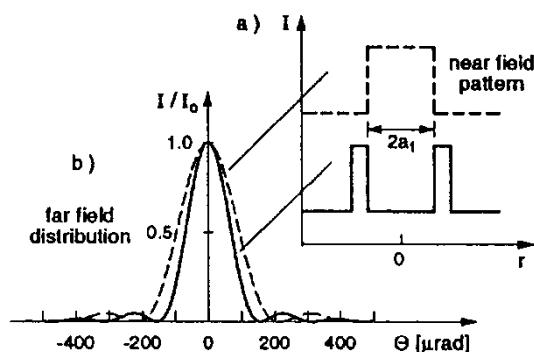
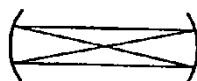


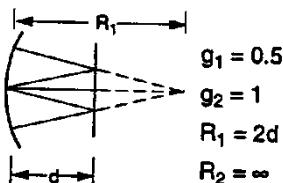
Fig.5.16a,b. Diffraction pattern of the output intensity of a laser with an unstable resonator. (a) Near field just at the output coupler and (b) far-field distribution for a resonator with  $a = 0.66 \text{ cm}$ ,  $g_1 = 1.21$ ,  $g_2 = 0.85$ . The pattern obtained with a circular output mirror (solid curve) is compared with that of a circular aperture (dashed curve)

b) confocal resonator



$$R_1 = R_2 = d, g_1 = g_2 = 0$$

d) semiconfocal resonator



$$g_1 = 0.5$$

$$g_2 = 1$$

$$R_1 = 2d$$

$$R_2 = \infty$$

For some laser media, in particular those with large gain, unstable resonators with  $g_1 g_2 < 0$  may be more advantageous than stable ones for the following reason: In stable resonators the beam waist  $w_0(z)$  of the fundamental mode is given by the mirror radii  $R_1, R_2$  and the mirror separation  $d$ , see (5.33), and is generally small (Example 5.4). If the cross section of the active volume is larger than  $\pi w^2$ , only a fraction of all inverted atoms can contribute to the laser emission into the  $\text{TEM}_{00}$  mode, while in unstable resonators the beam fills the whole active medium. This allows extraction of the maximum output power. One has, however, to pay for this advantage by a large beam divergence.

Let us consider the simple example of a symmetric unstable resonator depicted in Fig.5.14 and formed by two mirrors with radii  $R_i$  separated by the distance  $d$ . Assume that a spherical wave with its center at  $F_1$  is emerging from mirror  $M_1$ . The spherical wave geometrically reflected by  $M_2$  has its center in  $F_2$ . If this wave, after ideal reflection at  $M_1$ , is again a spherical wave with its center at  $F_1$ , the field configuration is stationary and the mirrors image the local point  $F_1$  into  $F_2$ , and vice versa.

For the magnification of the beam diameter on the way from mirror  $M_1$  to  $M_2$  we obtain from Fig.5.14 the relation

$$M_{12} = \frac{d + R_1}{R_1}. \quad (5.45)$$

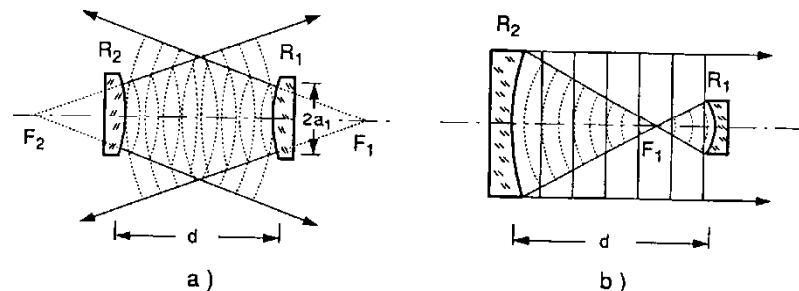
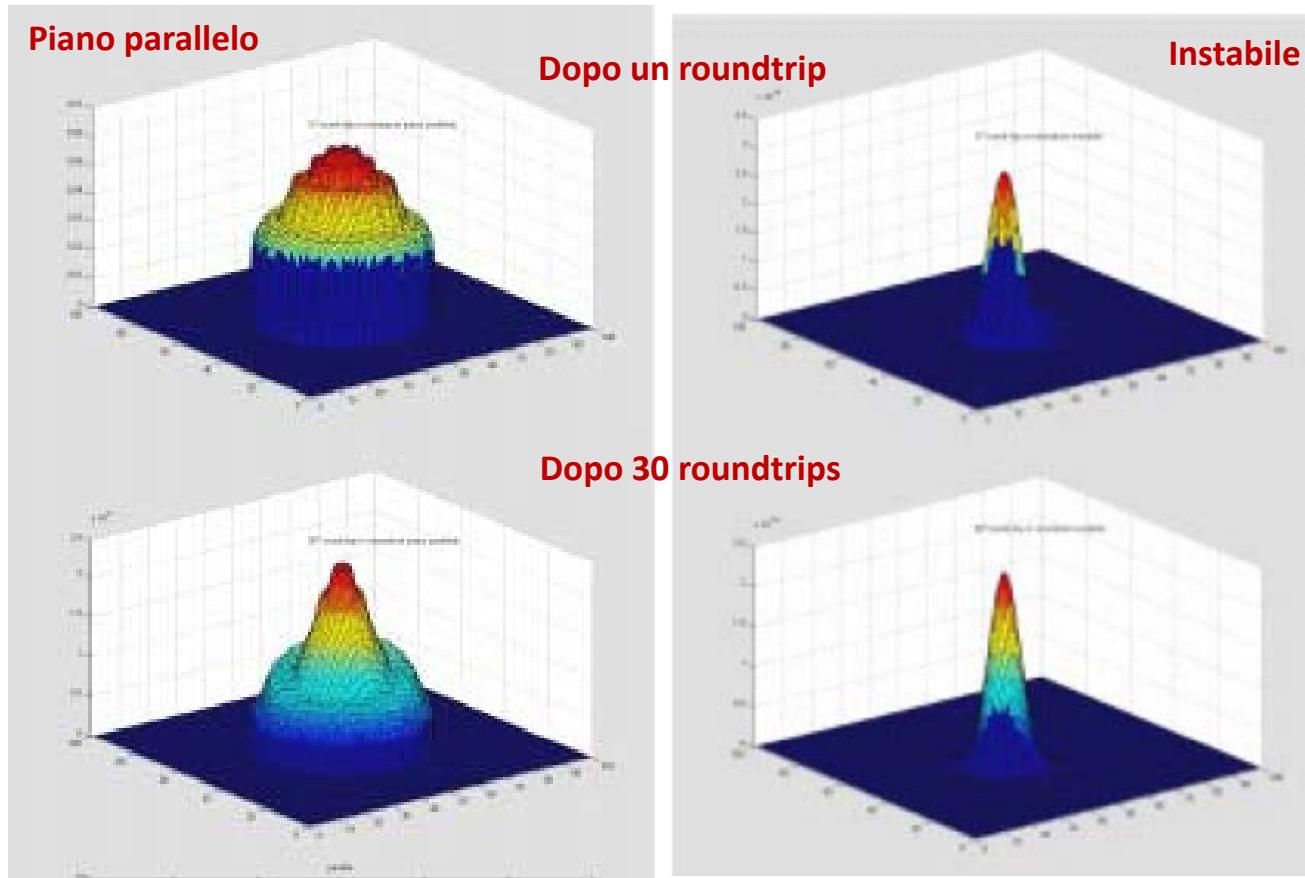


Fig.5.14. (a) Spherical waves in a symmetric unstable resonator, emerging from the virtual focal points  $F_1$  and  $F_2$ . (b) Asymmetric unstable resonator with a real focal point between the two mirrors

**Proprietà ottiche del fascio  
(divergenza, dimensione, fronte d'onda, etc.)  
influenzate da geometria cavità**

## QUALITÀ E ROUNDTRIPS



Roundtrip time  $\tau \sim L/c$   
( $L$  lunghezza totale)

$$\tau [\text{ns}] = 3 L [\text{m}]$$

Evoluzione della distribuzione trasversale del fascio al waist

Parametri del fascio	Cavità piano parallela	Cavità instabile
Perdite (%)	45	75
Fattore di qualità	200	10

Tab. 1 – Valori dopo 30 round-trip

Occorrono numerosi passaggi  
affinché il fascio assuma proprietà  
ottiche “ottimali”  
(difficile in laser impulsati...)

# MISURA DELLA QUALITÀ DEL FASCIO I

## Beam Parameter Product (BPP)

Acronym: BPP

**Definition:** product of the beam radius in a focus and the far-field beam divergence

The beam parameter product (BPP) of a laser beam is defined as the product of beam radius (measured at the **beam waist**) and the beam divergence half-angle (measured in the far field). The usual units are mm mrad (millimeters times milliradians). The BPP is often used to specify the **beam quality** of a laser beam: the higher the beam parameter product, the lower is the **beam quality**.

The BPP can also be defined for non-Gaussian beams. In that case, second moments should be used for the definitions of beam radius and divergence. The smallest possible beam parameter product is then achieved with a **diffraction-limited Gaussian beam**; it is  $\lambda/\pi$ . For example, the minimum beam parameter product of a 1064-nm beam is  $\approx 0.339$  mm mrad.

BPP misura il rapporto tra  
dimensione del fascio e divergenza

For non-circular beams, the BPP can be different e.g. in the vertical and horizontal direction.

Note that the BPP remains unchanged when the beam is sent through some non-aberrative optics, such as a thin lens. If that lens generates a focus with smaller beam waist radius, the beam divergence will increase correspondingly. For measuring the BPP, it is thus allowed to form a focus of convenient size, dependent on the equipment used (e.g. a **beam profiler**) and the available space (which has to extent over several **Rayleigh lengths**).

Non-ideal optics can "spoil" the **beam quality** and thus increase the BPP. In some special cases, slight aberrations of an optical element (such as a spherical lens) can somewhat reduce the BPP of a laser beam, if the beam has distortions which can be compensated with that element.

[http://www.rp-photonics.com/beam\\_parameter\\_product.html](http://www.rp-photonics.com/beam_parameter_product.html)

### Valori tipici:

- HeNe 0.5 mm mrad
- CO<sub>2</sub> 5 mm mrad
- Nd:YAG 10 mm mrad
- Laser a diodo 20-100 mm mrad
- Laser eccimeri 500 mm mrad

# MISURA DELLA QUALITÀ DEL FASCIO II

## M<sup>2</sup> FACTOR

**Definition:** a parameter for quantifying the beam quality of laser beams

The  $M^2$  factor, also called *beam quality factor* or *beam propagation factor*, is a common measure of the *beam quality* of a laser beam. According to ISO Standard 11146 [4], it is defined as the *beam parameter product* divided by  $\lambda/\pi$ , the latter being the *beam parameter product* for a *diffraction-limited Gaussian beam* with the same wavelength. In other words, the *half-angle beam divergence* is

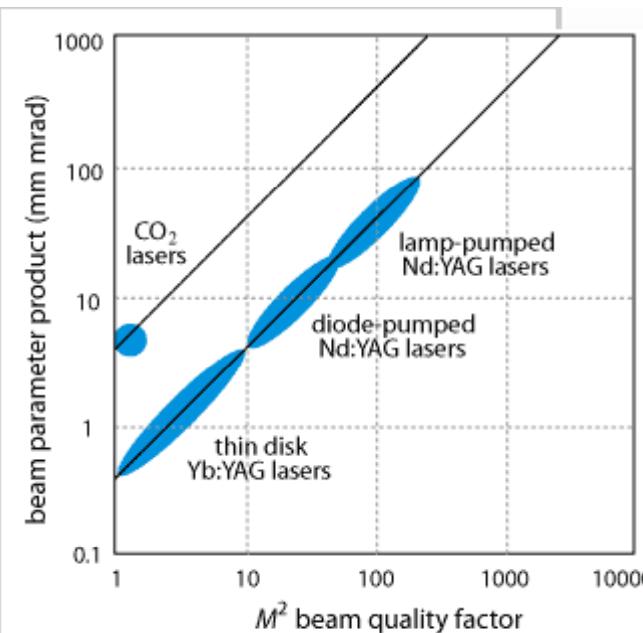
$$\theta = M^2 \frac{\lambda}{\pi w_0}$$

where  $w_0$  is the *beam radius* at the *beam waist* and  $\lambda$  the *wavelength*. A laser beam is often said to be " $M^2$  times diffraction-limited". A *diffraction-limited beam* has an  $M^2$  factor of 1, and is a *Gaussian beam*. Smaller values of  $M^2$  are physically not possible. A *Hermite–Gaussian beam*, related to a  $\text{TEM}_{nm}$  *resonator mode*, has an  $M^2$  factor of  $(2n+1)$  in the *x* direction, and  $(2m+1)$  in the *y* direction.

**Figure 1:** Beam parameter product and  $M^2$  values of various laser types. Due to the longer wavelength, CO<sub>2</sub> lasers have a larger beam parameter product than diffraction-limited 1-μm solid-state lasers, but still compare favorably with lamp-pumped systems.

The  $M^2$  factor of a laser beam limits the degree to which the beam can be focused for a given *beam divergence angle*, which is often limited by the *numerical aperture* of the focusing lens. Together with the optical power, the beam quality factor determines the *brightness* (more precisely, the *radiance*) of a laser beam.

For not circularly symmetric beams, the  $M^2$  factor can be different for two directions orthogonal to the beam axis and to each other. This is particularly the case for the output of *diode bars*, where the  $M^2$  factor is fairly low for the *fast axis* and much higher for the *slow axis*.



# MODI LONGITUDINALI RISONATORE

## Modi longitudinali

Longitudinal modes involve light waves which travel parallel to the laser cavity axis. When such a wave is reflected from a cavity mirror, the reflected wave combines with the incident wave to give rise to a standing or stationary wave as indicated in Figure 5.1. To simplify the diagram, the amplifying medium has been omitted and, provided that it can sustain several modes of oscillation at the same time, it is not necessary to consider it to understand the origin of multiple longitudinal modes. Amplifying media that can support the simultaneous oscillation of a number of longitudinal modes are referred to as being inhomogeneously broadened.

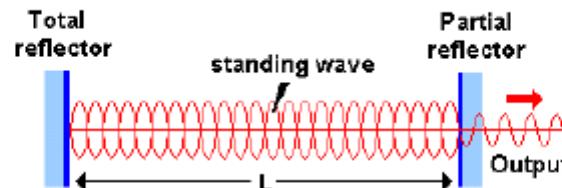


Figure 5.1.

Standing waves can be sustained within the laser cavity only if the length of the cavity is equal to an integral number of half wavelengths. This condition can be written as:

$$nL = s \lambda/2, \text{ con } s \text{ intero} \quad \text{Quantizzazione modi} \quad (5.1)$$

Nella 5.1 n indica l'indice di rifrazione del mezzo che riempie la cavità. For most lasers, values of s larger than a million are typical. Because of this, different longitudinal modes corresponding to successive values of s have wavelengths that are different, but only slightly different. It is a simple matter to write down the above condition in terms of the frequency of a mode rather than its wavelength and, from the resulting expression, calculate the difference in frequency between two successive longitudinal modes. La separazione in frequenza tra due modi longitudinali adiacenti si ottiene dalla (5.1) e vale

$$\Delta\nu = c/2nL \quad \text{Free spectral range piano parallela} \quad (5.2)$$

Per cavità piano parallela:

$$\Delta\nu [\text{GHz}] \sim 1.5/(nL [\text{m}]), \text{ con } n \text{ indice rifrazione}$$

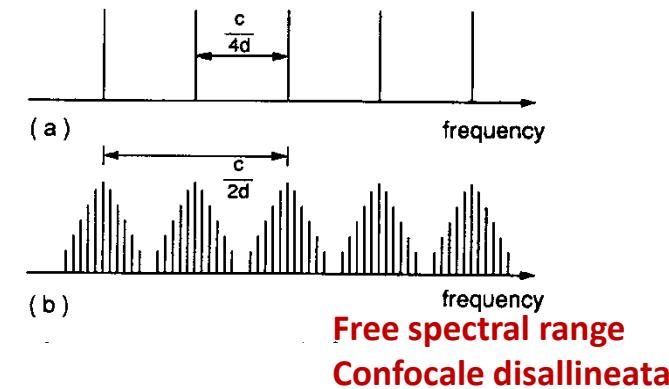
$$\nu_r = \frac{c}{2d} \left[ q + \frac{1}{2}(m+n+1) \right] \quad (5.49)$$

The fundamental axial modes  $\text{TEM}_{00q}$  ( $m = n = 0$ ) have the frequencies  $\nu = (q+\frac{1}{2})c/2d$  and the frequency separation of adjacent axial modes is

$$\delta\nu = \frac{c}{2d}. \quad (5.50)$$

Equation (5.49) reveals that the frequency spectrum of the confocal resonator is degenerated because the transverse modes with  $q = q_1$  and  $m+n = 2p$  have the same frequency as the axial mode with  $m = n = 0$  and  $q = q_1+p$ . Between two axial modes there is always another transverse mode with  $(m+n+1) = \text{odd}$ . The free spectral range of a *confocal resonator* is therefore

$$\delta\nu_{\text{confocal}} = c/4d. \quad \text{Free spectral range confocale} \quad (5.51)$$



**Free spectral range Confocale disallineata**

Modi longitudinali cavità selezionano frequenze

Più modi longitudinali possono essere contemporaneamente sostenuti (separati da free spectral range)

## SPETTRO DI GUADAGNO

Guadagno del mezzo attivo (sistema a tre livelli, da master equation):

$$G = \frac{R(2A_{32} + A_{21}) - A_{21}(A_{31} + A_{32})}{A_{21}[A_{31} + A_{32}]} \left(\frac{c}{n}\right) \frac{N \hbar \omega B_{21} F(\omega)}{V}$$

Forma di riga del  
mezzo attivo

Il mezzo attivo permette guadagno all'interno di un certo intervallo spettrale  
I livelli di energia di un qualsiasi sistema quantizzato non possono essere perfettamente definiti  
Le "righe" (energia nelle transizioni) si "allargano"!

La condizione di conservazione dell'energia ( $h\nu = E_2 - E_1$ ) deve essere rilassata  
(lo è comunque nel caso in cui il mezzo attivo presenti *bande* di energia, come in alcuni solidi)

Principali cause di "allargamento di riga":

- Allargamento omogeneo (tutti gli elementi si comportano statisticamente allo stesso modo)
- Allargamento disomogeneo (ogni elemento si comporta a modo suo e il risultato è "mediato")

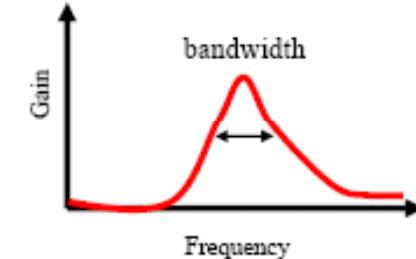
Tipicamente:

- Allargamento omogeneo → forma di riga Lorentziana
- Allargamento disomogeneo → forma di riga Gaussiana  
(in genere combinazione delle due)

## LARGHEZZE DELLA RIGA DI GUADAGNO TIPICHE

Typical gain bandwidths:

Gain medium	Wavelength	Bandwidth (Hz)
HeNe	6328 Å	$1.5 \cdot 10^9$
Nd:glass	1.06 μm	$3 \cdot 10^{12}$
Nd:YAG	1.06 μm	$120 \cdot 10^9$
Ruby	6943 Å	$6 \cdot 10^{10}$
Argon ions	350 – 520 Å	$3.5 \cdot 10^9$
Ti:Saphire	0.7-1.1 μm	$100 \cdot 10^{12}$
Rh.-6G dye	0.56-0.64 μm	$5 \cdot 10^{12}$
CO <sub>2</sub> gas	10 μm	$60 \cdot 10^6$
Er doped fiber	1.55 μm	$4 \cdot 10^{12}$
Diode lasers	0.7-1.6 μm	$10^{13}$



Esistono mezzi attivi con righe di guadagno “strette” (GHz) e larghe (THz)

Normalmente mezzi attivi gassosi sono “più stretti”

Finestre di guadagno fino a centinaia di nm!

Vedremo nel seguito vantaggi e svantaggi legati alla larghezza di riga

## PRINCIPALI CAUSE ALLARGAMENTO OMOGENEO

Vita media ( $\tau$ ) finita dei livelli:

Ad esempio, se considero solo emissione spontanea, ho  $\tau = \tau_{sp} = 1/A_{21}$

Principio di indeterminazione:  $\Delta\omega\Delta t \geq 2\pi \rightarrow \Delta\omega \geq 2\pi/\tau$  (valori tipici per atomi in vapore/gas  $\Delta\nu \sim 0.1$  GHz)

Più realisticamente, a causa di processi di rilassamento (in genere non radiativi):

$$1/\tau_{eff} = 1/\tau_{sp} + 1/\tau_{nonrad} \quad \rightarrow \quad \Delta\omega \sim 1-5 \text{ GHz, e anche oltre}$$

Nota: processi non radiativi possono essere dovuti a collisioni interatomiche o intermolecolari nei vapori oppure a interazione con fononi nel caso di mezzi attivi a stato solido o liquido (larghezze omogenee molto grandi in questi casi!)

Si può dimostrare che la forma di riga in questi casi è Lorentziana con larghezza  $\sim 1/\tau_{eff}$  (cfr. anche forma di riga di  $\varepsilon_R$  nell'interazione con dipolo classico smorzato, mod. Lorenz)

Esistono altri meccanismi di allargamento omogeneo, ad esempio per saturazione di potenza, quando  $I \gg I_{sat}$  (cfr. anche equazioni di bilancio per sistema a due livelli)

# PRINCIPALI CAUSE ALLARGAMENTO DISOMOGENEO

## 3.2 Doppler Width

Generally the Lorentzian-line profile with the natural linewidth  $\delta\nu_n$ , as discussed in the previous section, cannot be observed without special techniques, because it is completely concealed by other broadening effects. One of the major contributions to the spectral linewidth in gases at low pressures is the Doppler width, which is due to the thermal motion of the absorbing or emitting molecules.

Consider an excited molecule with a velocity  $\mathbf{v} = (v_x, v_y, v_z)$  relative to the rest frame of the observer. The central frequency of a molecular emission line that is  $\omega_0$  in the coordinate system of the molecule, is Doppler shifted to

$$\omega_e = \omega_0 + \mathbf{k} \cdot \mathbf{v} \quad (3.38)$$

for an observer looking towards the emitting molecule (that is, against the direction of the wave vector  $\mathbf{k}$  of the emitted radiation; Fig. 3.6a). The apparent emission frequency  $\omega_e$  is increased if the molecule moves towards the observer ( $\mathbf{k} \cdot \mathbf{v} > 0$ ), and decreased if the molecule moves away ( $\mathbf{k} \cdot \mathbf{v} < 0$ ).

Similarly, one can see that the absorption frequency  $\omega_0$  of a molecule moving with the velocity  $\mathbf{v}$  across a plane EM wave  $\mathbf{E} = E_0 \exp(i\omega t - \mathbf{k} \cdot \mathbf{r})$  is shifted. The wave frequency  $\omega$  in the rest frame appears in the frame of the moving molecule as

$$\omega' = \omega - \mathbf{k} \cdot \mathbf{v}.$$

The molecule can only absorb if  $\omega'$  coincides with its eigenfrequency  $\omega_0$ . The absorption frequency  $\omega = \omega_a$  is then

$$\omega_a = \omega_0 + \mathbf{k} \cdot \mathbf{v}. \quad (3.39a)$$

As in the emission case the absorption frequency  $\omega_a$  is increased for  $\mathbf{k} \cdot \mathbf{v} > 0$  (Fig. 3.6b). This happens, for example, if the molecule moves parallel to the

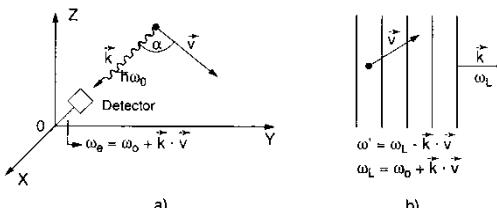


Fig.3.6. (a) Doppler shift of a monochromatic emission line and (b) absorption line

wave propagation. It is decreased if  $\mathbf{k} \cdot \mathbf{v} < 0$ ; e.g., when the molecule moves against the light propagation. If we choose the  $+z$  direction to coincide with the light propagation, (3.39a) becomes with  $\mathbf{k} = (0, 0, k_z)$  and  $|k| = 2\pi/\lambda$ ,

$$\omega_a = \omega_0(1 + v_z/c). \quad (3.39b)$$

Note: Eqs.(3.38 and 39) describe the *linear* Doppler shift. For higher accuracies the quadratic Doppler effect has to be considered in addition (Sect. 14.1).

At thermal equilibrium, the molecules of a gas follow a Maxwellian velocity distribution. At the temperature  $T$ , the number of molecules  $n_i(v_z)dv_z$  in the level  $E_i$  per unit volume with a velocity component between  $v_z$  and  $v_z+dv_z$  is

$$n_i(v_z)dv_z = \frac{N_i}{v_p \sqrt{\pi}} e^{-(v_z/v_p)^2} dv_z, \quad (3.40)$$

where  $N_i = \int n_i(v_z)dv_z$  is the density of all molecules in level  $E_i$ ,  $v_p = (2kT/m)^{1/2}$  is the most probable velocity,  $m$  is the mass of a molecule, and  $k$  is Boltzmann's constant. Inserting the relation (3.39b) between velocity component and frequency shift with  $dv_z = (c/v_0)d\omega$  into (3.40) gives the number of molecules with absorption frequencies shifted from  $\omega_0$  into the interval from  $\omega$  to  $\omega+d\omega$

$$n_i(\omega)d\omega = N_i \frac{c}{\omega_0 v_p \sqrt{\pi}} \exp\left[-\left(\frac{c(\omega-\omega_0)}{\omega_0 v_p}\right)^2\right] d\omega. \quad (3.41)$$

Since the emitted or absorbed radiant power  $P(\omega)d\omega$  is proportional to the density  $n_i(\omega)d\omega$  of molecules emitting or absorbing in the interval  $d\omega$ , the intensity profile of a Doppler-broadened spectral line becomes

$$I(\omega) = I_0 \exp\left[-\left(\frac{c(\omega-\omega_0)}{\omega_0 v_p}\right)^2\right]. \quad (3.42)$$

This is a Gaussian profile with a full halfwidth

$$\delta\omega_D = 2\sqrt{\ln 2} \omega_0 v_p / c = \left(\frac{\omega_0}{c}\right) \sqrt{8kT \ln 2 / m}, \quad (3.43a)$$

which is called the *Doppler width*. Inserting (3.43) into (3.42) yields with  $1/(4\ln 2) = 0.36$

$$I(\omega) = I_0 \exp\left[-\frac{(\omega-\omega_0)^2}{0.36\delta\omega_D^2}\right]. \quad (3.44)$$

Note that  $\delta\omega_D$  increases linearly with the frequency  $\omega_0$  and is proportional to  $(T/M)^{1/2}$ . The largest Doppler width is thus expected for hydrogen ( $M = 1$ ) at high temperatures and a large frequency  $\omega$  for the Lyman  $\alpha$  line.

Equation (3.43) can be written more conveniently in terms of the Avogadro number  $N_A$  (the number of molecules per mole), the mass of a mole,  $M = N_A m$ , and the gas constant  $R = N_A k$ . Inserting these relations into (3.43) gives for the Doppler width

$$\delta\omega_D = (2\omega_0/c)\sqrt{2RT \ln 2/M}. \quad (3.43b)$$

or in frequency units, using the values for  $c$  and  $R$ ,

$$\delta\nu_D = 7.16 \cdot 10^{-7} \nu_0 \sqrt{T/M} \text{ [Hz]}. \quad (3.43c)$$

### Example 3.2

- a) Vacuum ultraviolet: For the Lyman  $\alpha$  line ( $2p \rightarrow 1s$  transition in the H atom) in a discharge with temperature  $T = 1000 \text{ K}$ ,  $M = 1$ ,  $\lambda = 1216 \text{ \AA}$ ,  $\nu_0 = 2.47 \cdot 10^{15} \text{ s}^{-1} \rightarrow \delta\nu_D = 5.6 \cdot 10^{10} \text{ Hz}$ ,  $\delta\lambda_D = 2.8 \cdot 10^{-2} \text{ \AA}$ .
- b) Visible spectral region: For the sodium D line ( $3p \rightarrow 3s$  transition of the Na atom) in a sodium-vapor cell at  $T = 500 \text{ K}$ ,  $\lambda = 5891 \text{ \AA}$ ,  $\nu_0 = 5.1 \cdot 10^{14} \text{ s}^{-1} \rightarrow \delta\nu_D = 1.7 \cdot 10^9 \text{ Hz}$ ,  $\delta\lambda_D = 1 \cdot 10^{-2} \text{ \AA}$ .
- c) Infrared region: For a vibrational transition  $(J_i, v_i) \leftrightarrow (J_k, v_k)$  between two rovibronic levels with the quantum numbers  $J, v$  of the  $\text{CO}_2$  molecule in a  $\text{CO}_2$  cell at room temperature ( $T = 300 \text{ K}$ ),  $\lambda = 10 \mu\text{m}$ ,  $\nu = 3 \cdot 10^{13} \text{ s}^{-1}$ ,  $M = 44 \rightarrow \delta\nu_D = 5.6 \cdot 10^7 \text{ Hz}$ ,  $\delta\lambda_D = 0.19 \text{ \AA}$ .

Allargamento disomogeneo dominante in vapori/gas

Tipicamente  $\Delta\nu \sim$  diversi GHz

Nel caso dei vapori/gas, il movimento (termico) degli atomi/molecole determina frequenze di risonanza effettive dipendenti dalla velocità (a causa di effetto Doppler)

Supponendo distribuzione velocità Maxwelliana (statistica di Boltzmann) si trova forma di riga Gaussiana

# SPETTRO EMISSIONE LASER I

ser emission:

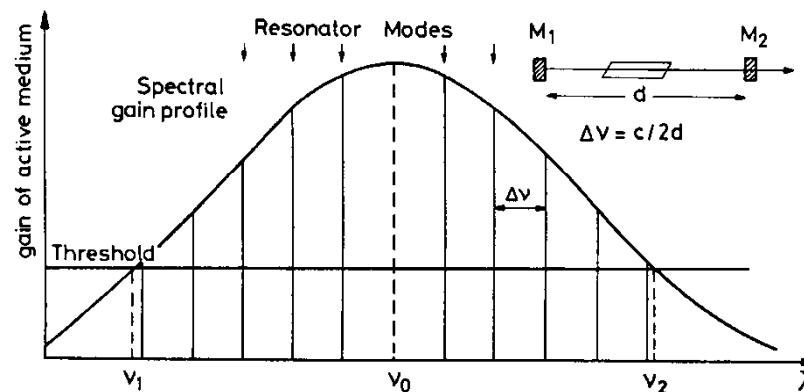


Fig.5.21. Gain profile of a laser transition with resonator eigenfrequencies c modes

According to (5.8) the gain profile  $G_0(\nu) = \exp[-2\alpha(\nu)L]$  depends on the line profile  $g(\nu-\nu_0)$  of the molecular transition  $E_i \rightarrow E_k$ . The threshold condition can be illustrated graphically by subtracting the frequency-dependent losses from the gain profile. Laser oscillation is possible at all frequencies  $\nu_L$  where this subtraction gives a positive net gain (Fig.5.23).

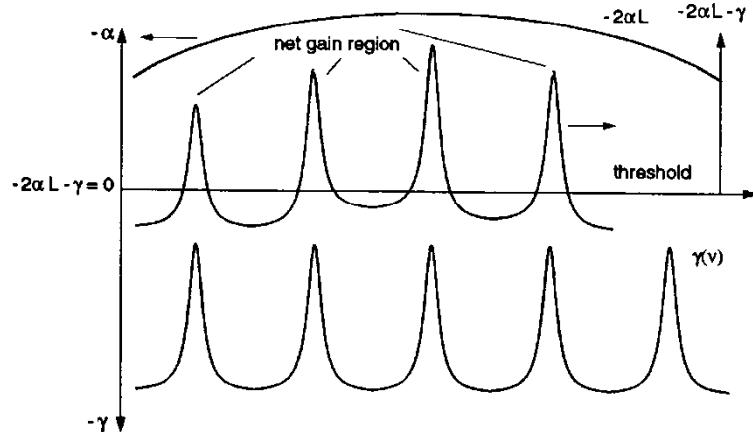


Fig.5.23. Reflection losses of a resonator (lower curve), gain curve  $\alpha(\nu)$  (upper curve) and net gain  $\Delta\alpha(\nu) = -2L\alpha(\nu) - \gamma(\nu)$  as difference between gain ( $\alpha < 0$ ) and losses (middle curve). Only frequencies with  $\Delta\alpha(\nu) > 0$  reach oscillation threshold

## 5.3.1 Active Resonators and Laser Modes

Introducing the amplifying medium into the resonator changes the refractive index between the mirrors and with it the eigenfrequencies of the resonator. We obtain the frequencies of the *active resonator* by replacing the mirror separation  $d$  in (5.52) by

$$d^* = (d-L) + n(\nu)L = d + (n-1)L , \quad (5.57)$$

where  $n(\nu)$  is the refractive index in the active medium with length  $L$ . The refractive index  $n(\nu)$  depends on the frequency  $\nu$  of the oscillating modes, which are within the gain profile of a laser transition where anomalous dispersion is found. Let us at first consider how laser oscillation builds up in an active resonator.

If the pump power is increased continuously, threshold is reached first at those frequencies which have a maximum net gain. According to (5.5) the net gain factor per round trip

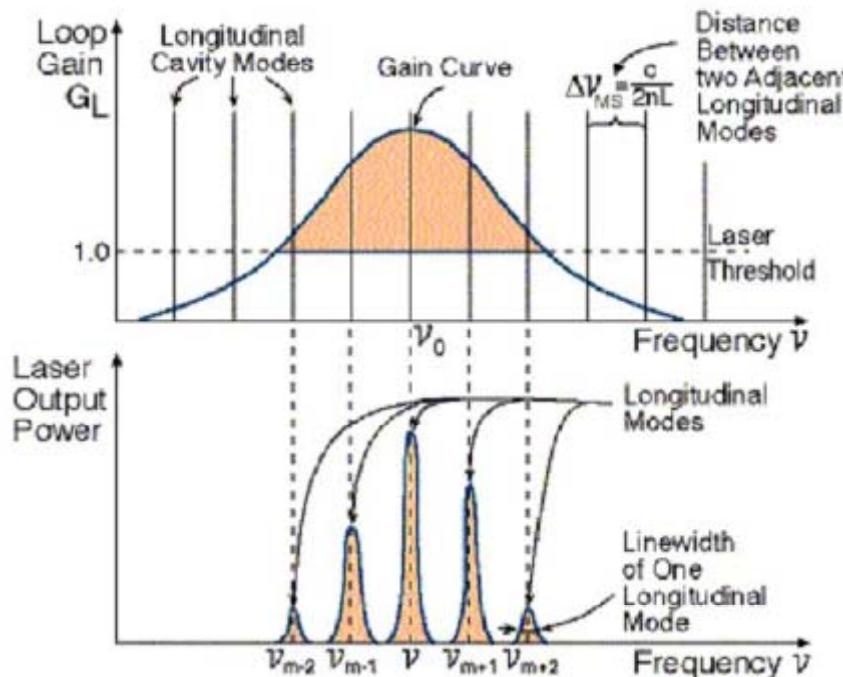
$$G(\nu, 2d) = \exp[-2\alpha(\nu)L - \gamma(\nu)] , \quad (5.58)$$

is determined by the amplification factor  $\exp[-2\alpha(\nu)L]$  which has the frequency dependence of the gain profile (5.8), and also by the loss factor  $\exp(-2\beta d/c) = \exp[-\gamma(\nu)]$  per round trip. While absorption or diffraction losses do not strongly depend on the frequency within the gain profiles of a laser transition, the transmission losses exhibit a strong frequency dependence, which is closely connected to the eigenfrequency spectrum of the resonator. This can be illustrated as follows:

**Generalmente diversi modi longitudinali sono racchiusi nella forma di riga di guadagno**

**Risonatore attivo ha perdite grandi fuori dalle frequenze dei modi longitudinali (allargate in modo Lorentziano a causa della variazione di  $n$  con  $\omega$ )**

## SPETTRO EMISSIONE LASER II



Lo spettro di emissione è determinato da convoluzione (o competizione?) di forma di riga di guadagno e modi cavità

Inoltre:

Il modo  $\text{TEM}_{00}$  presenta le minori perdite per diffrazione ed è, quindi, favorito nell'oscillazione laser. Gli altri modi di ordine superiore hanno ai bordi un'intensità più elevata del modo  $\text{TEM}_{00}$  e subiscono perdite per diffrazione maggiori. → Anche modo trasversale è selezionato

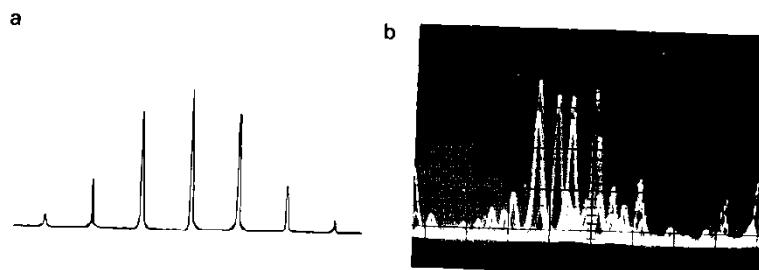


Fig.5.26. (a) Stable multimode operation of a HeNe laser. (Exposure time 1s); (b) Two short-time exposures of the multimode spectrum of an argon laser superimposed to the same film to demonstrate the randomly fluctuating mode distribution

Però operazione multimodo talvolta possibile come anche fluttuazioni random (jitter) in frequenza

## ALCUNI ESEMPI DI CAVITÀ LASER

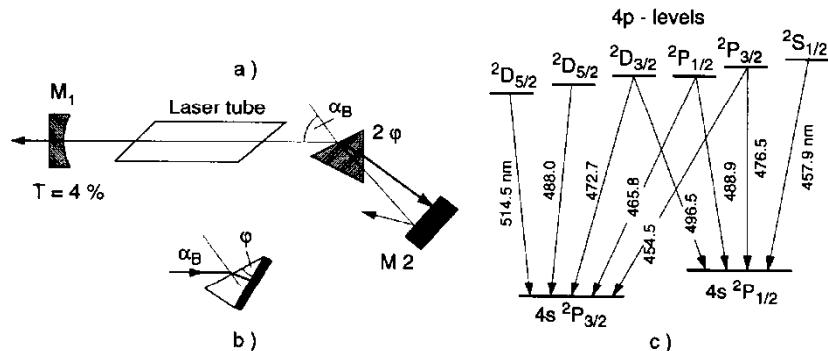


Fig. 5.28. Line selection in an argon laser with a Brewster-prism (a) or a Littrow-prism reflector (b). Term diagram of laser transition in  $\text{Ar}^+$  (c)

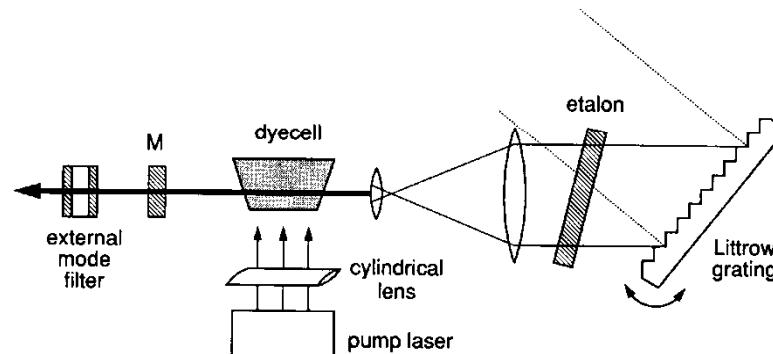


Fig. 5.44. Short Hänisch-type dye-laser cavity with Littrow grating and mode selection either with an internal etalon or an external FPI as "mode filter" [5.57]

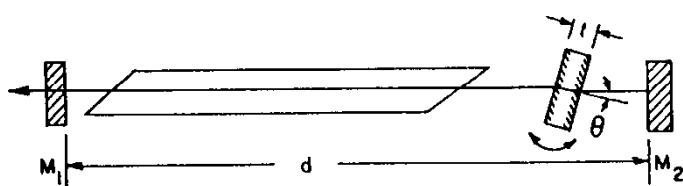
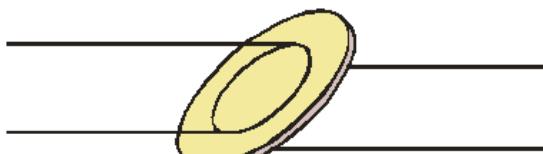


Fig. 5.36. Single-mode operation by inserting a tilted etalon inside the laser resonator



Figura 3.2: Bacchetta laser con le facce terminali tagliate all'angolo di Brewster.



## CONCLUSIONI

Per creare una sorgente laser occorre inserire mezzo attivo (pompato) in una cavità ottica risonante, che è quindi componente essenziale del laser

Emissione laser ha luogo quando il guadagno del mezzo supera le perdite  
→ esiste soglia per emissione laser

A causa delle condizioni al contorno, le cavità ottiche sostengono determinati modi di radiazione trasversali e longitudinali

Gli schemi più semplici (specchi piani paralleli) mostrano perdite per diffrazione

Schemi più complessi (e.g., confocali) aiutano a limitare le perdite

La selezione dei modi (longitudinali) influenza la lunghezza d'onda di emissione ed il carattere monocromatico

Vedremo in seguito quali caratteristiche della luce laser sono dovute direttamente alle proprietà “ottiche” della cavità

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