

Surface Plasmon-Driven Electron and Proton Acceleration

Andrea Macchi

CNR, Istituto Nazionale di Ottica, Adriano Gozzini lab., Pisa, Italy

Dipartimento di Fisica Enrico Fermi, Università di Pisa, Italy



CNR-INO
ISTITUTO NAZIONALE DI OTTICA
CONSIGLIO NAZIONALE DELLE RICERCHE



Centre for Plasma Physics, the Queen's University of Belfast, UK,
June 8, 2022

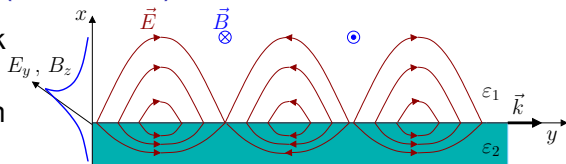
Example of Acceleration by a Strong Surface Wave



From: T. Katsouleas, "On the node of a wave",
Nature **444** (2006) 688

Surface Plasmon (Polariton)¹

SP: a building block
of **plasmonics**
(mostly studied in
the *linear* regime)



SP excitation \rightarrow EM field confinement and enhancement
Interface between vacuum and “simple metal” (cold plasma):

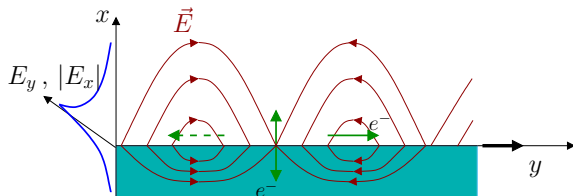
$$\epsilon_1 = 1 \quad \epsilon_2 = 1 - \frac{\omega_p^2}{\omega^2} = 1 - \frac{n_e}{n_c(\omega)} < -1$$

$$k = \frac{\omega}{c} \left(\frac{\omega_p^2 - \omega^2}{\omega_p^2 - 2\omega^2} \right)^{1/2} \quad \omega < \frac{\omega_p}{\sqrt{2}} \quad v_p = \frac{\omega}{k} < c$$

¹ aka Surface Plasma Wave

Surfin' the Surface Wave

Can a **SP** accelerate electrons like a “bulk” plasma wave?



- ▶ **longitudinal** E -component (E_y)
- ▶ **sub-luminal phase velocity** $v_p < c$
(with $v_p \rightarrow c$ when $\omega_p \gg \omega$)
- electrons may “**surf**” the SP

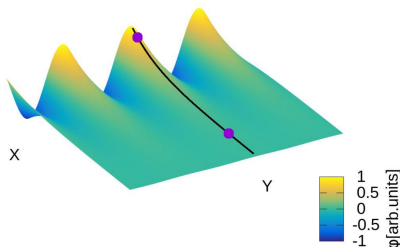
Simple Model of SP Acceleration - I

SP field on the vacuum side is **electrostatic** in the wave frame S' moving with phase velocity $\beta_p = v_p/c$ with respect to S (lab)
Electrostatic potential in S' :

$$\Phi' = - \left(\frac{\gamma_p E_{SP}}{k} \right) e^{k'x} \sin k'y' \quad k' = \frac{k}{\gamma_p} \quad \gamma_p = (1 - \beta_p^2)^{-1/2}$$

ϕ in the co-moving reference frame

The motion is 2D: the energy gain depends on the “kick angle” from the top of the potential hill



Simple Model of SP Acceleration - II

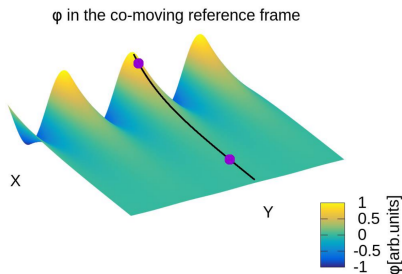
Assume as the most likely case an electron going downhill along the x -direction and acquiring an energy $W' = eE_{\text{SP}}/k'$

$$W \simeq \gamma_p W' \simeq m_e c^2 a_{\text{SP}} \frac{\omega_p^2}{\omega^2} \quad (a_{\text{SP}} = eE_{\text{SP}}/m_e \omega c)$$

with ejection angle in L
(for $W' \gg m_e c^2$)

$$\tan \phi_e = \frac{p_x}{p_y} \simeq \frac{1}{\gamma_p}$$

→ high energy electrons are beamed near the surface
($\tan \phi_e \ll 1$)



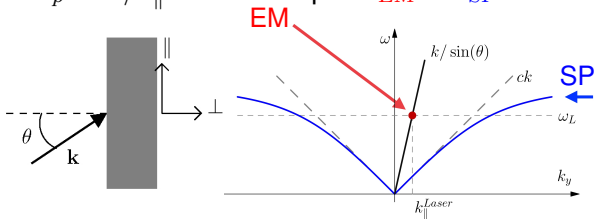
Exciting Surface Plasmons with Laser Light

Phase matching requirement between **SP** and plane **EM** wave
 ($\omega_{\text{EM}} = |\mathbf{k}_{\text{EM}}|c$):

$\varphi_{\text{EM}} = \varphi_{\text{SP}}$ where $\varphi = \mathbf{k}_{\parallel} \cdot \mathbf{r} - \omega t$

i.e. phase velocities $v_p = \omega/k_{\parallel}$ must be equal $v_{\text{EM}} = v_{\text{SP}}$

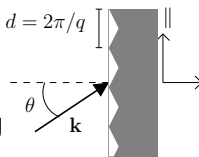
No matching
 with EM wave
 at a plane
 interface:



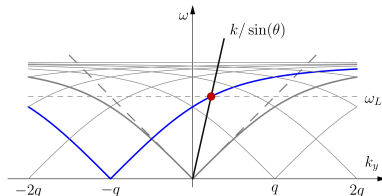
$$v_{\text{EM}} = \frac{c}{\sin \theta} > c \quad v_{\text{SP}} = c \left(\frac{2 - \omega_p^2/\omega^2}{1 - \omega_p^2/\omega^2} \right)^{1/2} < c$$

Grating Coupling

Periodic grating:
“replica” (*)
of $\omega_{SP}(k_{||})$
enables matching



$$k_{EM||} = k_{SP} \pm nq \quad (n = 1, 2, \dots)$$



Matching occurs at “resonant” incidence angles (for $\omega_p/\omega \gg 1$)

$$\sin \theta \simeq n \frac{\lambda}{d} - 1 \quad (\equiv \text{diffraction order along the surface})$$

- usually $n = 1$

- actually an infinite grating is not strictly required
(a local surface modulation may suffice)

(*) folding in the Brillouin zone – Floquet-Bloch theorem

Observation of “Surfing” Acceleration

PRL **116**, 015001 (2016)

PHYSICAL REVIEW LETTERS

week ending
8 JANUARY 2016

Electron Acceleration by Relativistic Surface Plasmons in Laser-Grating Interaction

L. Fedeli,^{1,2,*} A. Sgattoni,² G. Cantono,^{3,4,1,2} D. Garzella,³ F. Réau,³ I. Prencipe,^{5,†} M. Passoni,⁵
M. Raynaud,⁶ M. Květoň,⁷ J. Proška,⁷ A. Macchi,^{2,1} and T. Ceccotti³

¹Enrico Fermi Department of Physics, University of Pisa, 56127 Pisa, Italy

²National Institute of Optics, National Research Council (CNR/INO), u.o.s Adriano Gozzini, 56124 Pisa, Italy

³LIDYL, CEA, CNRS, Université Paris-Saclay, CEA Saclay, 91191 Gif-sur-Yvette, France

⁴University of Paris Sud, Orsay 91405, France

⁵Department of Energy, Politecnico di Milano, Milan 20156, Italy

⁶Laboratoire des Solides irradiés, Ecole Polytechnique, CNRS, CEA/DSM/IRAMIS,
Université Paris-Saclay, 91128 Palaiseau Cedex, France

⁷FNSPE, Czech Technical University, Prague 11519, Czech Republic

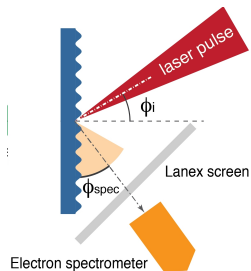
(Received 30 June 2015; published 7 January 2016)

LaserLAB experiment at SLIC, CEA Saclay

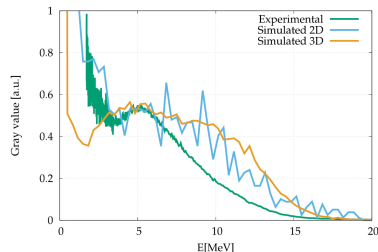
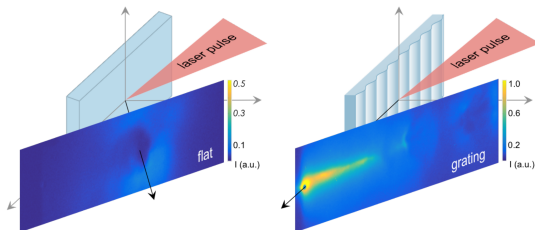
UHI laser: 25 fs pulse, $5 \times 10^{19} \text{ Wcm}^{-2}$, $a_0 = 4.8$

contrast $\gtrsim 10^{12}$ at 5 ps

Observation of “Surfing” Acceleration

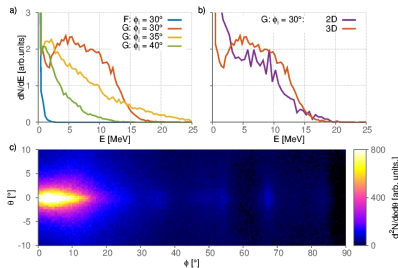
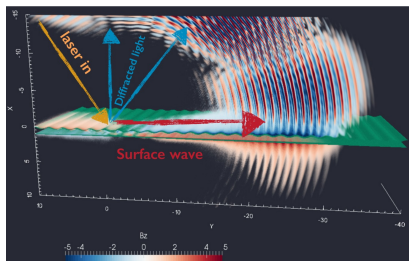


collimated ($\simeq 20^\circ$ cone) electron emission
near the surface tangent ($\phi \simeq 2^\circ$)
multi-MeV energy, total charge $\simeq 100$ pC
Excellent agreement with 3D simulations



3D Simulations of the Experiment

Fully kinetic, EM Particle-In-Cell simulations
with **PICcante**²



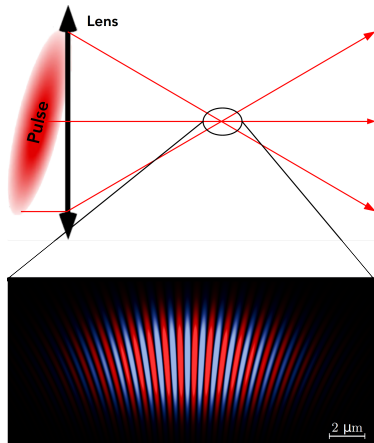
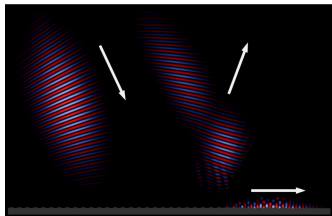
Simulations confirm excitation of relativistic SP and reproduce measurements quantitatively and in detail!

A.Sgattoni, L.Fedeli, S.Sinigardi et al, [arXiv:1503.02464](https://arxiv.org/abs/1503.02464)

²Particle-In-Cell Code for AdvaNced simulations on TiEr-0 systems

In-Pulse Rotation of Incidence Angle

Inducing **wavefront rotation (WFR)** in the laser pulse the effective incidence angle **rotates** in time
→ “resonant” condition holds only for an interval only shorter than the driving pulse



WFR obtained by focusing a tilted wavefront pulse

Near “Single-Cycle” Surface Plasmon Polariton



Cite This: *ACS Photonics* 2018, 5, 1068–1073

Few-Cycle Surface Plasmon Polariton Generation by Rotating Wavefront Pulses

F. Pisani,^{*,†,Ⓜ} L. Fedeli,^{*,‡} and A. Macchi^{*,¶,†,Ⓜ}

[†]Enrico Fermi Department of Physics, University of Pisa, 56127 Pisa, Italy

[‡]Department of Energy, Politecnico di Milano, 20133 Milano, Italy

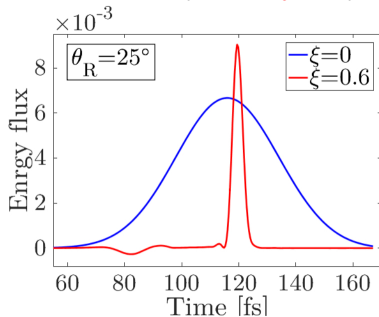
[¶]National Institute of Optics, National Research Council (CNR/INO), A.Gozzini unit, 56124 Pisa, Italy

F. Pisani, L. Fedeli, A. Macchi, *ACS Photonics* **5** (2018) 1068

Near “Single-Cycle” Surface Plasmon Polariton

MEEP³ simulations of
WFR pulse on Ag grating

SPP **w/o** and **with** WFR
duration: **3.8 fs** (~ 1.4 cycles)

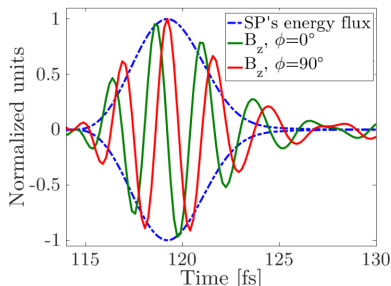


$$E = E(r, z, t) \exp(-i\omega_L t + i r \xi t + \phi)$$

ξ : WFR parameter

laser: 30 fs , $\lambda_L = 0.8 \mu\text{m}$

dependence on
absolute phase ϕ



³<http://ab-initio.mit.edu/wiki/index.php/Meep>

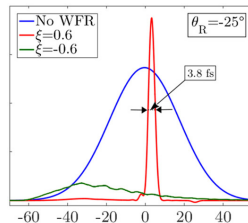
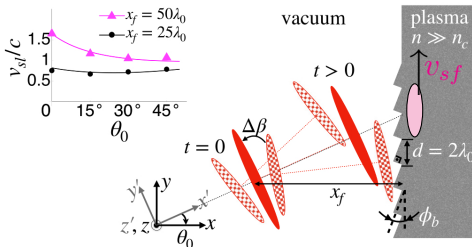
WFR Enhancement of SPP Amplitude

“Sliding focus” effect:
WFR makes the laser spot move along the target with velocity

$$v_{sf} \simeq \frac{\Delta\beta x_f}{\lambda \cos^2 \theta_0} \propto x_f \xi$$

(x_f : waist-to-target distance)

When $v_{sf} \simeq c$ the SPP is “sustained” along its propagation: increase of peak amplitude
(Note the effect of the **sign** of ξ)



WFR Effect on Electron Acceleration

PHYSICAL REVIEW E **103**, L021201 (2021)

Letter

Ultrashort high energy electron bunches from tunable surface plasma waves driven with laser wavefront rotation

S. Marini^{1,2}, P. S. Kleij^{1,2,3}, F. Pisani³, F. Amiranoff², M. Grech², A. Macchi^{4,3}, M. Raynaud¹ and C. Riconda^{2,*}

¹LSI, CEA/DRF/IRAMIS, CNRS, École Polytechnique, Institut Polytechnique de Paris, F-91128 Palaiseau, France

²LULI, Sorbonne Université, CNRS, CEA, École Polytechnique, Institut Polytechnique de Paris, F-75252 Paris, France

³Enrico Fermi Department of Physics, University of Pisa, largo Bruno Pontecorvo 3, 56127 Pisa, Italy

⁴National Institute of Optics, National Research Council (CNR/INO), Adriano Gozzini laboratory, 56124 Pisa, Italy

S. Marini, P. Kleij, F. Pisani, F. Amiranoff, M. Grech, A. Macchi,
M. Raynaud, C. Riconda

Phys. Rev. E **103** (2021) L021201

WFR Effect on Electron Acceleration

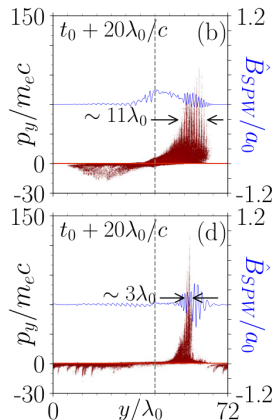
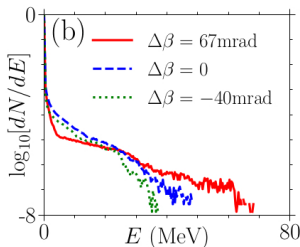
SMILEI open source PIC code

27 fs & $4 \times 10^{19} \text{ W cm}^{-2}$ laser pulse

WFR may double the cut-off energy of the electron bunch while shortening its duration down to 8 fs

(simulations by S. Marini and P. Kleij)

Smilei)



Grating Drawbacks

- ▶ grating targets are **expensive**
 - ▶ need of **ultrahigh contrast** pulses to preserve the shallow modulation
 - ▶ strong **EM scattering losses** of the SP propagating along the grating (inverse to the generation process)
(might be reduced by having the grating only in the laser spot but would require perfect pointing stability)
- Can we do surfing acceleration *without* a grating?

Dephasing vs Acceleration Length

Phase difference between SP and incident laser after propagating over a distance L :

$$\Delta\phi = (k_{\text{EM}\parallel} - k_{\text{SP}})L = \left(\frac{1}{v_{\text{EM}}} - \frac{1}{v_{\text{SP}}} \right) \omega L$$

$$\Delta\phi \doteq \pi \quad \longrightarrow \quad L = \frac{\pi}{k_{\text{SP}} - k_{\text{EM}}} \equiv L_{\text{dep}}$$

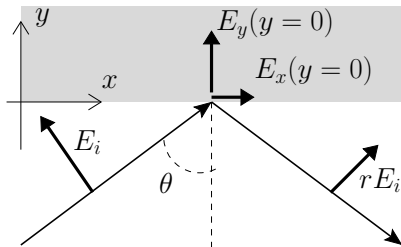
At grazing incidence ($\alpha = \pi/2 - \theta \ll 1$) $L_{\text{dep}} \simeq \frac{\lambda}{\alpha^2 + n_c/n_e}$

If $L_{\text{dep}} > L_{\text{acc}}$ acceleration is not limited by dephasing

Coupling without Grating

To drive the SP efficiently
the incident EM field must have:
1) a non-vanishing component
parallel to the surface (E_x)

← reflectivity $R = |r|^2 < 1$



2) a E_x/E_y ratio close as possible to the value for the SP:

$$\left. \frac{E_{\text{EM},x}}{E_{\text{EM},y}} \right|_{y=0^+} = -\varepsilon \frac{1 - r \cos \theta}{1 + r \sin \theta} \quad \left. \frac{E_{\text{SP},x}}{E_{\text{SP},y}} \right|_{y=0^+} = -i|\varepsilon|^{1/2}$$

asymptotically equal for $\sin \theta \rightarrow 1$

Laser Spot Stretching Effects

At grazing incidence the laser spot is stretched along x
→ the intensity on target decreases

$$I(\theta) = I(0) \cos \theta = I(0) \sin \alpha$$

With respect to the case with grating (typical $\theta \lesssim 45^\circ$) the effect on electron acceleration may be compensated by:

1. slow scaling of electron energy $\mathcal{E}_e \propto E_{\text{SP}} \propto \sin^{1/2} \alpha$
2. no loss from radiative scattering
3. no saturation (observed in grating simulations at $a_0 \gtrsim 10$)

Strong (unknown) nonlinear effects on SP are prevented

Simulation Set-Up

PIC code EPOCH
simulations by
J. Sarma & A. McIlvenny

2D Cartesian geometry
Target: fully ionized Au
with CH contaminant layer

electron density
 $n_e = 1.7 \times 10^{23} \text{ cm}^{-3}$

thickness $d = 0.8 \mu\text{m}$

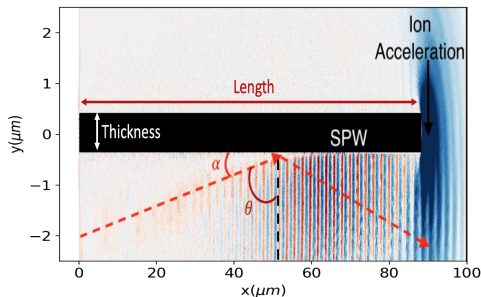
length $L_T = (90 - 200) \mu\text{m}$

Pulse: $\lambda = 0.8 \mu\text{m}$ ($n_e = 100n_c$)

Gaussian profiles, width $6.5 \mu\text{m}$, duration 35 fs (FWHM)

intensity $I = (0.34 - 7.8) \times 10^{20} \text{ Wcm}^{-2}$

“relativistic” parameter $a_0 = (5 - 19)$



Electron Spectrum for Different Angles

Maximum energy for

$\alpha = 1.5^\circ$

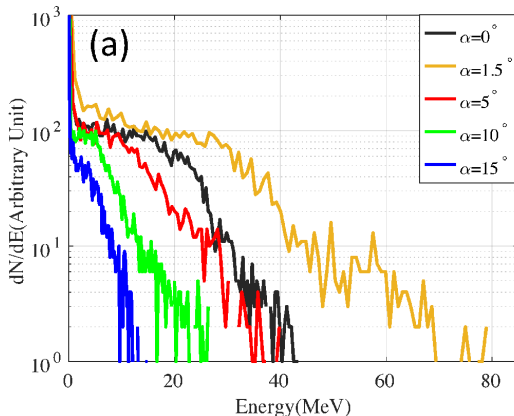
Cut-off value doubles
with respect to both

$\alpha = 5^\circ$ and $\alpha = 0^\circ$

(**parallel** incidence,
to be discussed later)
($\simeq 20$ MeV obtained
with gratings for similar
parameters⁴)

$I = 3.4 \times 10^{19} \text{ W cm}^{-2}$

$L_T = 90 \text{ } \mu\text{m}$



⁴Cantono et al, PoP **25** (2018) 031907

Accelerated Charge for Different Angles

Peak value

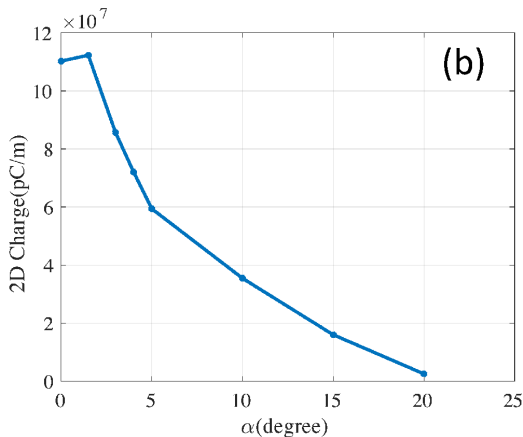
$\simeq 10^8 \text{ pCm}^{-1}$ in
2D corresponds to

$\simeq 780 \text{ pC}$ in 3D

($\simeq 660 \text{ pC}$ obtained
with blazed gratings
for similar parameters⁵)

$$I = 3.4 \times 10^{19} \text{ Wcm}^{-2}$$

$$L_T = 90 \text{ }\mu\text{m}$$



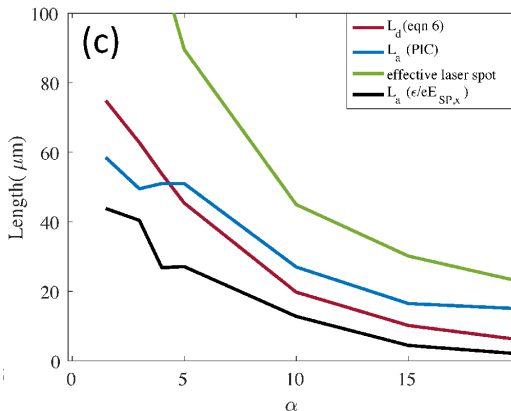
⁵Cantono et al, PoP **25** (2018) 031907

Acceleration Length for Different Angles

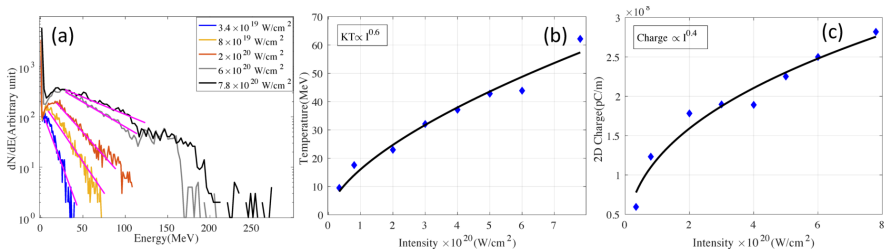
Two different estimates of the acceleration length (L_{acc}) are fairly close to the dephasing length L_{dep} as a function of α
Spot width on target $L_S > L_{dep}$ (could be further optimized)

$$I = 3.4 \times 10^{19} \text{ Wcm}^{-2}$$

$$L_T = 200 \mu\text{m}$$



Scaling with Laser Intensity



a) electron spectra b) electron "Temperature" c) charge density

Temperature exceeds "ponderomotive" values

($T_p = m_e c^2 (\sqrt{(1 + a_0^2/2)} - 1)$) by one order of magnitude

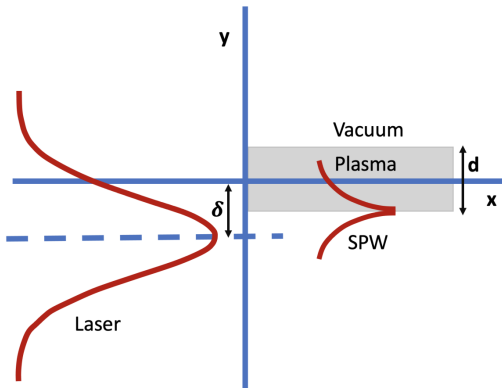
Peak charge density value $\simeq 3 \times 10^8 \text{ pCm}^{-1}$ in 2D corresponds to an estimate $\simeq 1.9 \text{ nC}$ in 3D

Parallel Incidence

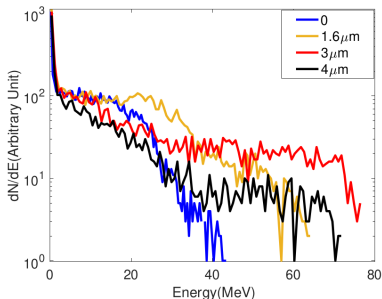
For **parallel** incidence ($\alpha = 0^\circ$) the laser pulse will interact with the (left) short edge of the target

Additional parametric dependence on the “focal spot shift” δ

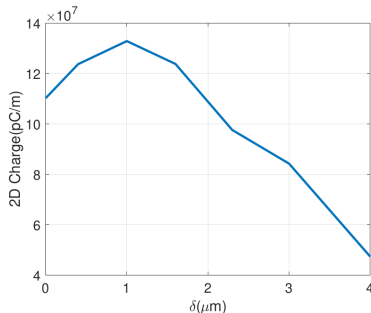
\equiv distance between the laser propagation axis and the target midplane (surface at $y = -\delta/2$)



Effect of δ on Spectra and Charge (Low I)



a) electron spectra

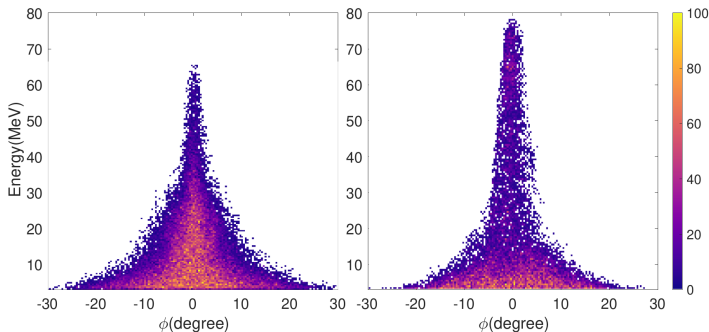


b) charge density

$$I = 3.4 \times 10^{19} \text{ Wcm}^{-2}$$

Maximum values are *not* for $|\delta| \leq d/2$

Electron Collimation



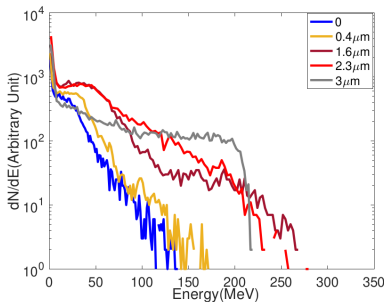
a) $\delta = 0$

b) $\delta = 3 \mu\text{m}$

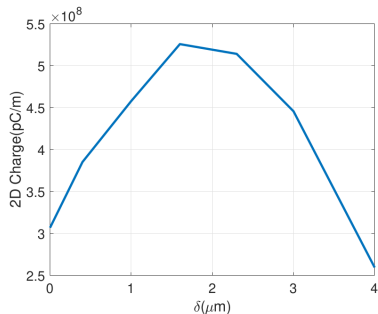
$$I = 3.4 \times 10^{19} \text{ Wcm}^{-2}$$

Electrons are strongly collimated with almost symmetrical distribution even for “asymmetrical” interaction with $\delta \neq 0$

Effect of δ on Spectra and Charge (High I)



a) electron spectra



b) charge density

$$I = 6 \times 10^{20} \text{ Wcm}^{-2}$$

Total 3D charge would be $\simeq 3.4 \text{ nC}$ for $\delta = 1.6 \mu\text{m}$

On the effect of “shift” δ

Similar coupling conditions
as for grazing incidence:

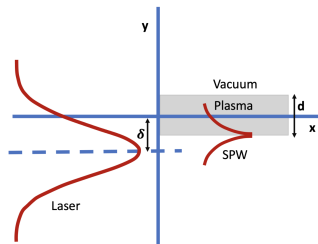
Laser field components (in vacuum)

$$E_{\text{EM},y} \simeq E_0 \exp(-(y + \delta)^2/w^2) \exp(ik_{\text{EM},x})$$

$$E_{\text{EM},x} \simeq 2y/(ik_{\text{EM},x}w^2) \exp(-(y + \delta)^2/w^2) \exp(ik_{\text{EM},x})$$

$$\left| \frac{E_{\text{EM},x}}{E_{\text{EM},y}} \right|_{y=-d/2} \simeq \frac{(\delta - d/2)\lambda}{\pi w^2}$$

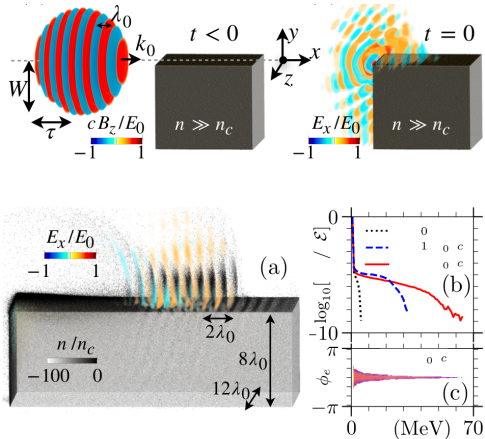
For $w = 3.9\mu\text{m}$, the $|E_x|/|E_y|$ ratio at the surface ($y = -d/2$) for $\delta = 2.3\mu\text{m}$ is the same as for $\alpha = 1.7^\circ$.



A Possibly Similar Work?

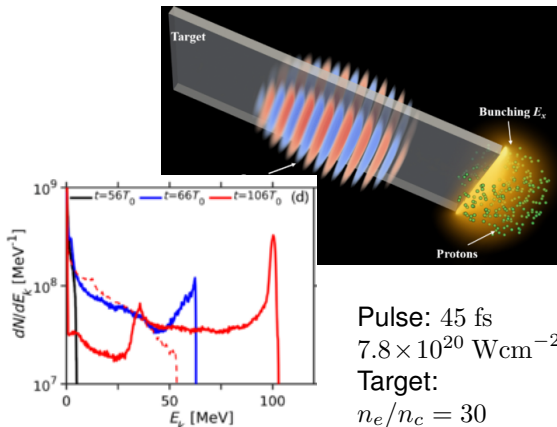
S.Marini, P.Kleij,
M.Grech, M.Raynaud,
C.Riconda,
“Electron acceleration by
laser plasma wedge in-
teraction”

arXiv:2202.08226



Proposed “Peeler” Proton Acceleration

“[...] at the rear edge a longitudinal bunching field is established (yellow). Protons (green dots) are simultaneously accelerated and leading to a **highly monoenergetic beam**.”



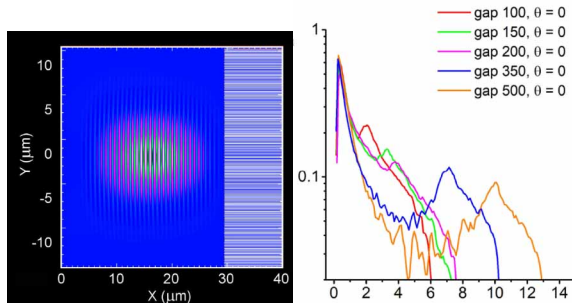
Pulse: 45 fs
 $7.8 \times 10^{20} \text{ Wcm}^{-2}$
Target:
 $n_e/n_c = 30$
 $d = 50 \text{ nm}$

X.Shen, A.Pukhov, B.Qiao,
Phys. Rev. X **11** (2021) 041002

Earlier Numerical Observation?

2D simulations of an array of parallel foils:
electron acceleration attributed to SP
peaked proton spectra appear for large gaps

Pulse: 30 fs
 $2.8 \times 10^{20} \text{ Wcm}^{-2}$
Target: $n_e/n_c = 60$
 $d = 0.6 \mu\text{m}$



Cristoforetti et al, Plasma Phys. Control. Fusion **62** 114001

Proton Spectra (High I)

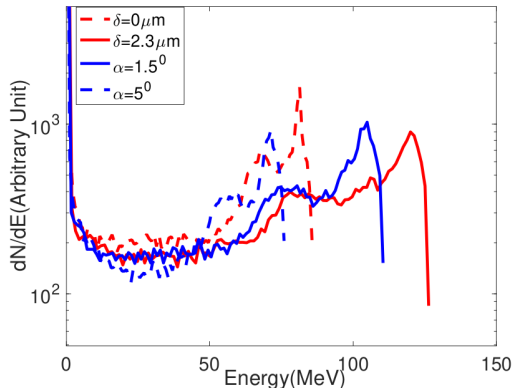
Highest cut-off
energy is reached for
parallel incidence with
“shifted” pulse

($\delta = 2.3 \mu\text{m}$)

Slightly lower
energy at grazing
incidence

($\alpha = 1.5^\circ$)

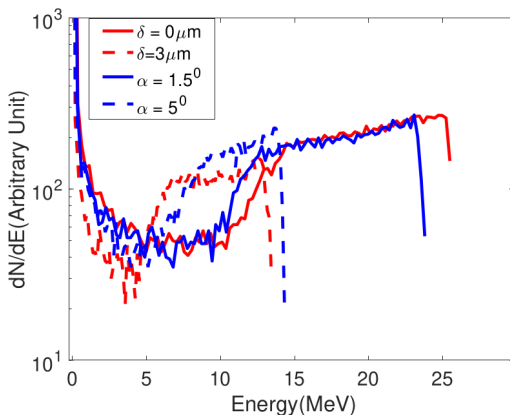
$I = 6 \times 10^{20} \text{ Wcm}^{-2}$



Proton Spectra (Low I)

Sharply peaked spectra are not apparent for lower intensity

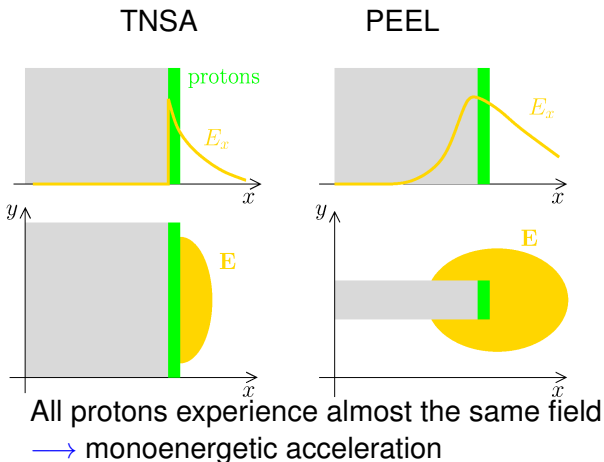
$$I = 3.4 \times 10^{19} \text{ Wcm}^{-2}$$



Origin of Monoenergetic Proton Spectra

TNSA: fast electrons are less than protons in the layer which screen the E -field producing a sharp gradient

PEEL: protons are less than fast electrons and the space charge E -field on the proton layer is spatially “smooth”.



Conclusions

- ▶ Surface Plasmons may be efficiently excited at grazing incidence
- ▶ The SP wave is sustained along a distance sufficient to accelerate electrons to high energies
- ▶ The “peeler” geometry exploits the high charge SP-driven electrons for efficient mono-energetic acceleration of protons

Coming soon:

J. Sarma, A. McIlvenny, N. Das, M. Borghesi, A. Macchi,
“Surface Plasmon-Driven Electron and Proton Acceleration
without Grating Coupling” (under review)