

Surface Plasmon Acceleration Without a Grating

Andrea Macchi

CNR, Istituto Nazionale di Ottica, Adriano Gozzini lab., Pisa, Italy

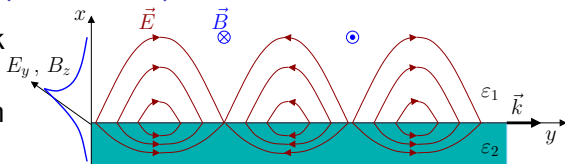
Dipartimento di Fisica Enrico Fermi, Università di Pisa, Italy



Chalmers University of Technology, Gothenburg, Sweden,
December 15, 2022

Surface Plasmon (Polariton)¹

SP: a building block of **plasmonics** (mostly studied in the *linear* regime)



SP excitation \rightarrow EM field confinement and enhancement
Interface between vacuum and “simple metal” (cold plasma):

$$\epsilon_1 = 1 \quad \epsilon_2 = 1 - \frac{\omega_p^2}{\omega^2} = 1 - \frac{n_e}{n_c(\omega)} < -1$$

$$k = \frac{\omega}{c} \left(\frac{\omega_p^2 - \omega^2}{\omega_p^2 - 2\omega^2} \right)^{1/2} \quad \omega < \frac{\omega_p}{\sqrt{2}} \quad v_p = \frac{\omega}{k} < c$$

¹ aka Surface Plasma Wave

Surfin' the Surface Wave

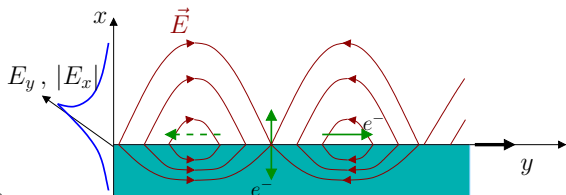
SP can accelerate electrons much like “bulk” plasma waves:

- longitudinal

E -component (E_y)

- phase velocity $v_p \lesssim c$

(with $v_p \rightarrow c$ when $\omega_p \gg \omega$)



Simple model predicts for maximum energy and emission angle (relative to surface):

$$\mathcal{E} \simeq m_e c^2 a_{\text{SP}} \frac{\omega_p^2}{\omega^2} \quad \tan \phi_e = \frac{p_x}{p_y} \simeq \frac{1}{\gamma_p}$$



From: T. Katsouleas,
“On the node of a wave”,
Nature **444** (2006) 688

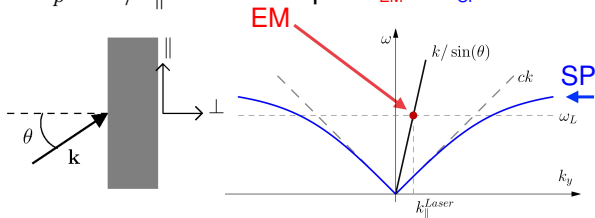
Exciting Surface Plasmons with Laser Light

Phase matching requirement between **SP** and plane **EM** wave
($\omega_{EM} = |\mathbf{k}_{EM}|c$):

$\varphi_{EM} = \varphi_{SP}$ where $\varphi = \mathbf{k}_{\parallel} \cdot \mathbf{r} - \omega t$

i.e. phase velocities $v_p = \omega/k_{\parallel}$ must be equal $v_{EM} = v_{SP}$

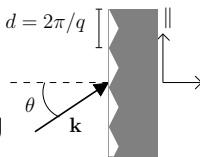
No matching
with EM wave
at a plane
interface:



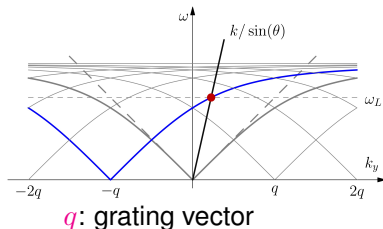
$$v_{EM} = \frac{c}{\sin \theta} > c \quad v_{SP} = c \left(\frac{2 - \omega_p^2/\omega^2}{1 - \omega_p^2/\omega^2} \right)^{1/2} < c$$

Grating Coupling

Periodic grating:
“replica” (*)
of $\omega_{SP}(k_{\parallel})$
enables matching



$$k_{EM\parallel} = k_{SP} \pm nq \quad (n = 1, 2, \dots)$$



Matching occurs at “resonant” incidence angles (for $\omega_p/\omega \gg 1$)

$$\sin \theta \simeq n \frac{\lambda}{d} - 1 \quad (\equiv \text{diffraction order along the surface})$$

- usually $n = 1$

- actually an infinite grating is not strictly required
(a local surface modulation may suffice)

(*) folding in the Brillouin zone – Floquet-Bloch theorem

Observation of “Surfing” Acceleration

PRL **116**, 015001 (2016)

PHYSICAL REVIEW LETTERS

week ending
8 JANUARY 2016

Electron Acceleration by Relativistic Surface Plasmons in Laser-Grating Interaction

L. Fedeli,^{1,2,*} A. Sgattoni,² G. Cantono,^{3,4,1,2} D. Garzella,³ F. Réau,³ I. Prencipe,^{5,†} M. Passoni,⁵

M. Raynaud,⁶ M. Květoň,⁷ J. Proška,⁷ A. Macchi,^{2,1} and T. Ceccotti³

¹*Enrico Fermi Department of Physics, University of Pisa, 56127 Pisa, Italy*

²*National Institute of Optics, National Research Council (CNR/INO), u.o.s Adriano Gozzini, 56124 Pisa, Italy*

³*LIDYL, CEA, CNRS, Université Paris-Saclay, CEA Saclay, 91191 Gif-sur-Yvette, France*

⁴*University of Paris Sud, Orsay 91405, France*

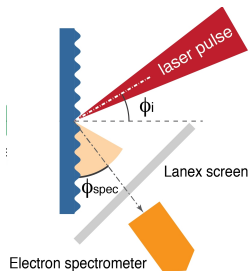
⁵*Department of Energy, Politecnico di Milano, Milan 20156, Italy*

⁶*Laboratoire des Solides Irradiés, Ecole Polytechnique, CNRS, CEA/DSM/IRAMIS,
Université Paris-Saclay, 91128 Palaiseau Cedex, France*

⁷*FNSPE, Czech Technical University, Prague 11519, Czech Republic*

(Received 30 June 2015; published 7 January 2016)

Observation of “Surfing” Acceleration



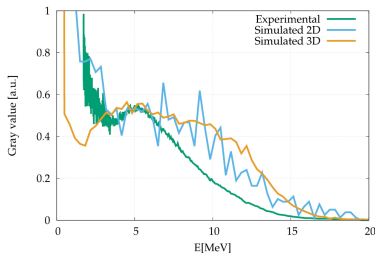
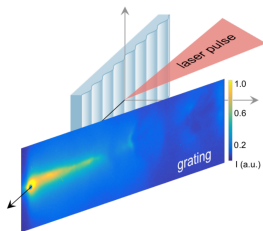
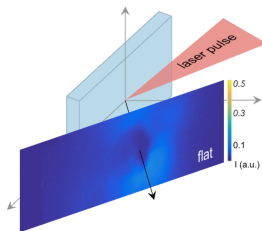
UHI laser: 25 fs pulse

$5 \times 10^{19} \text{ Wcm}^{-2}$, contrast $\gtrsim 10^{12}$ at 5 ps

collimated ($\approx 20^\circ$ cone) electron emission near the surface tangent ($\phi \approx 2^\circ$)

multi-MeV energy, Total charge $\approx 100 \text{ pC}$ (up to $\approx 650 \text{ pC}$ with blazed gratings:

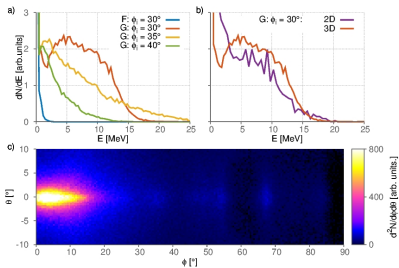
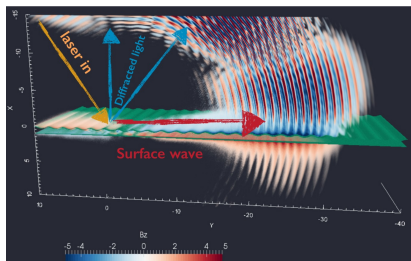
G. Cantono et al, Phys. Plasmas **25** (2018) 031907)



3D Simulations

PICcante code²

A.Sgattoni, L.Fedeli, S.Sinigardi et al, [arXiv:1503.02464](https://arxiv.org/abs/1503.02464)

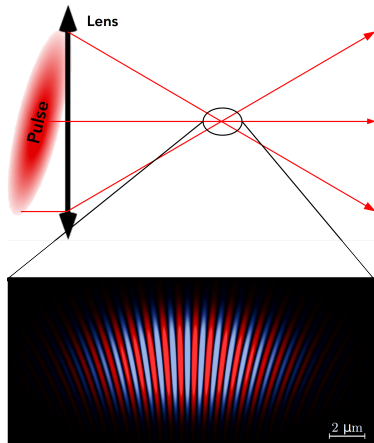
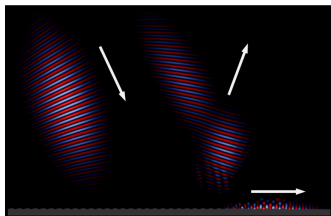


Simulations match experimental observations quantitatively and in detail

²Particle-In-Cell Code for Advanced simulations on TiE-0 systems

In-Pulse Rotation of Incidence Angle

Inducing **wavefront rotation (WFR)** in the laser pulse the effective incidence angle **rotates** in time
→ “resonant” condition holds only for an interval shorter than the driving pulse



WFR obtained by focusing a tilted wavefront pulse

Near “Single-Cycle” Surface Plasmon Polariton



✓ Cite This: *ACS Photonics* 2018, 5, 1068–1073

Few-Cycle Surface Plasmon Polariton Generation by Rotating Wavefront Pulses

F. Pisani,^{*,†} L. Fedeli,^{*,‡} and A. Macchi,^{*,¶,†}

[†]Enrico Fermi Department of Physics, University of Pisa, 56127 Pisa, Italy

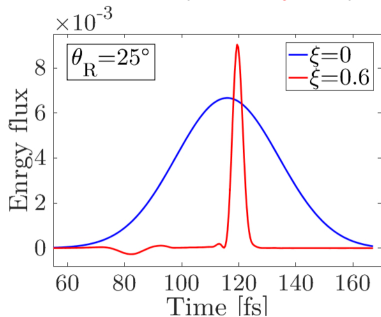
[‡]Department of Energy, Politecnico di Milano, 20133 Milano, Italy

[¶]National Institute of Optics, National Research Council (CNR/INO), A.Gozzini unit, 56124 Pisa, Italy

Near “Single-Cycle” Surface Plasmon Polariton

MEEP³ simulations of
WFR pulse on Ag grating

SPP **w/o** and **with** WFR
duration: **3.8 fs** (~ 1.4 cycles)

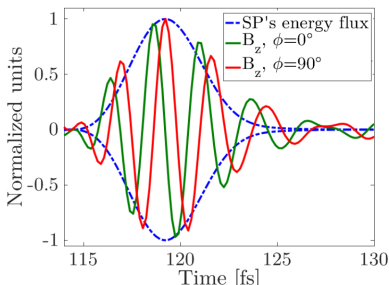


$$E = E(r, z, t) \exp(-i\omega_L t + ir\xi t + \phi)$$

ξ : WFR parameter

laser: 30 fs , $\lambda_L = 0.8 \mu\text{m}$

dependence on
absolute phase ϕ



³<http://ab-initio.mit.edu/wiki/index.php/Meep>

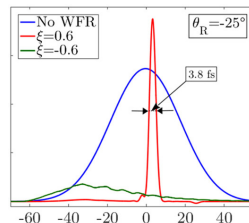
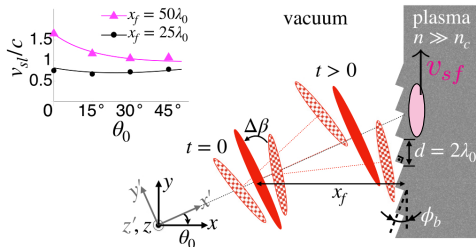
WFR Enhancement of SPP Amplitude

“Sliding focus” effect:
WFR makes the laser spot move along the target with velocity

$$v_{sf} \simeq \frac{\Delta\beta x_f}{\lambda \cos^2 \theta_0} \propto x_f \xi$$

(x_f : waist-to-target distance)

When $v_{sf} \simeq c$ the SPP is “sustained” along its propagation: increase of peak amplitude
(Note the effect of the **sign** of ξ)

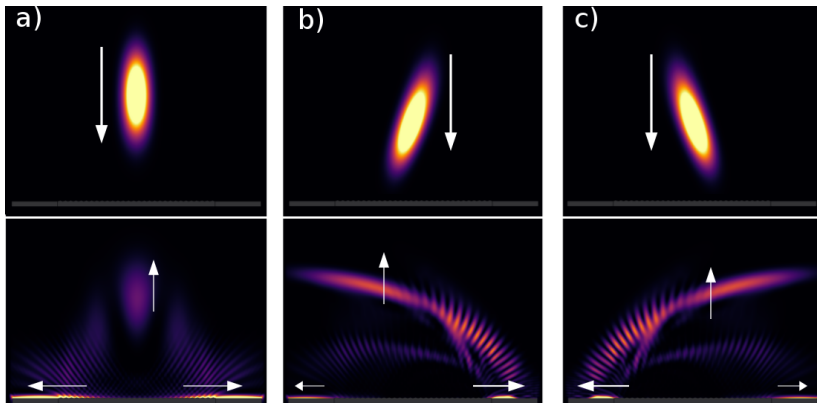


Effect of WFR Direction

Normal incidence: excitation of two symmetric SPs)

a): no rotation b): counterclockwise rotation c): clockwise rotation

Note the scattering from the grating \rightarrow radiative loss of energy










WFR Effect on Electron Acceleration

PHYSICAL REVIEW E **103**, L021201 (2021)

Letter

Ultrashort high energy electron bunches from tunable surface plasma waves driven with laser wavefront rotation

S. Marini ^{1,2}, P. S. Kleij ^{1,2,3}, F. Pisani ³, F. Amiranoff ², M. Grech ², A. Macchi ^{4,3}, M. Raynaud ¹ and C. Riconda ^{2,*}

¹LSI, CEA/DRF/IRAMIS, CNRS, École Polytechnique, Institut Polytechnique de Paris, F-91128 Palaiseau, France

²LULI, Sorbonne Université, CNRS, CEA, École Polytechnique, Institut Polytechnique de Paris, F-75252 Paris, France

³Enrico Fermi Department of Physics, University of Pisa, largo Bruno Pontecorvo 3, 56127 Pisa, Italy

⁴National Institute of Optics, National Research Council (CNR/INO), Adriano Gozzini laboratory, 56124 Pisa, Italy

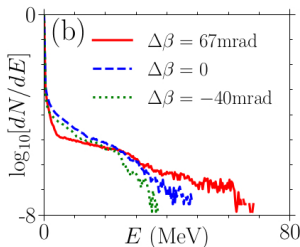
WFR Effect on Electron Acceleration

SMILEI open source PIC code

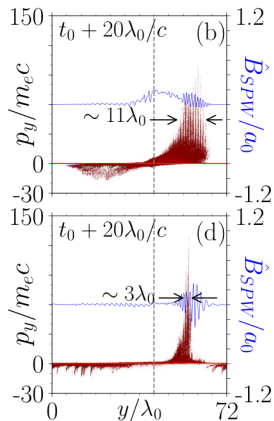
27 fs & $4 \times 10^{19} \text{ W cm}^{-2}$ laser pulse

WFR may double the cut-off energy of the electron bunch while shortening its duration down to 8 fs

(simulations by S. Marini and P. Kleij)



Smilei



Grating Drawbacks

- ▶ grating targets are **expensive**
 - ▶ need of **ultrahigh contrast** pulses to preserve the shallow modulation
 - ▶ strong **EM scattering losses** of the SP propagating along the grating (inverse to the generation process)
(might be reduced by having the grating only in the laser spot but would require perfect pointing stability)
- Can we do surfing acceleration *without* a grating?

Acceleration with No Grating Attached

New Journal of Physics

Surface plasmon-driven electron and proton acceleration without grating coupling

J Sarma¹ , A McIlvenny¹ , N Das² , M Borghesi^{1,*}  and A Macchi^{3,4,*} 

¹ Centre for Plasma Physics, The Queen's University of Belfast, University Road BT71NN, Belfast, United Kingdom

² Tezpur University, Tezpur, India

³ National Institute of Optics, National Research Council (CNR/INO), Adriano Gozzini Laboratory, Pisa, Italy

⁴ Enrico Fermi Department of Physics, University of Pisa, Pisa, Italy

* Authors to whom any correspondence should be addressed.

Dephasing vs Acceleration Lengths

Revisiting (un-)matching between SP and incident laser pulse:
phase difference after propagating over a distance L

$$\Delta\phi = (k_{\text{EM}\parallel} - k_{\text{SP}})L = \left(\frac{1}{v_{\text{EM}}} - \frac{1}{v_{\text{SP}}} \right) \omega L$$

$$\Delta\phi \doteq \pi \quad \longrightarrow \quad L = \frac{\pi}{k_{\text{SP}} - k_{\text{EM}}} \equiv L_{\text{dep}}$$

At grazing incidence ($\alpha = \pi/2 - \theta \ll 1$) $L_{\text{dep}} \simeq \frac{\lambda}{\alpha^2 + n_c/n_e}$

Acceleration is not limited by dephasing when

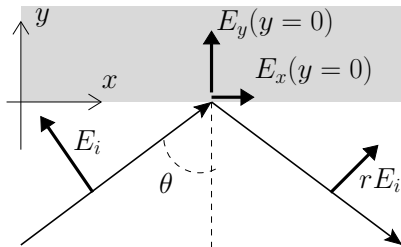
$$L_{\text{dep}} > L_{\text{acc}} = \frac{\mathcal{E}_{\text{max}}}{eE_{\text{SP}}} \simeq \frac{\lambda n_e}{\pi n_c}$$

Coupling without Grating

To drive the SP efficiently
the incident EM field must have:

1) a non-vanishing component
parallel to the surface (E_x)

← reflectivity $R = |r|^2 < 1$



2) a E_x/E_y ratio close as possible to the value for the SP:

$$\left. \frac{E_{EM,x}}{E_{EM,y}} \right|_{y=0^+} = -\varepsilon \frac{1 - r \cos \theta}{1 + r \sin \theta} \quad \left. \frac{E_{SP,x}}{E_{SP,y}} \right|_{y=0^+} = -i|\varepsilon|^{1/2}$$

asymptotically equal for $\sin \theta \rightarrow 1$

Laser Spot Stretching Effects

At grazing incidence the laser spot is stretched along x
→ the intensity on target decreases

$$I(\theta) = I(0) \cos \theta = I(0) \sin \alpha$$

With respect to the case with grating (typical $\theta \lesssim 45^\circ$) the effect on electron acceleration may be compensated by:

1. slow scaling of electron energy $\mathcal{E}_e \propto E_{\text{SP}} \propto \sin^{1/2} \alpha$
2. no loss from radiative scattering
3. no saturation (observed in grating simulations at $a_0 \gtrsim 10$)

Strong (unknown) nonlinear effects on SP are prevented

Simulation Set-Up

PIC code EPOCH
simulations by
J. Sarma & A. McIlvenny

2D Cartesian geometry

Target: fully ionized Au
with CH contaminant layer
electron density

$$n_e = 1.7 \times 10^{23} \text{ cm}^{-3}$$

thickness $d = 0.8 \mu\text{m}$

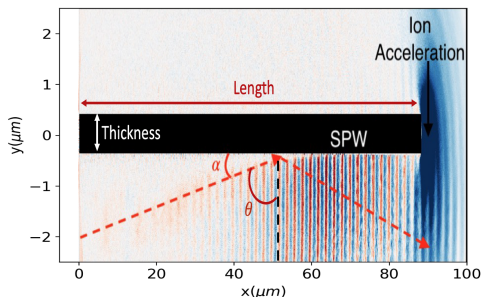
length $L_T = (90 - 200) \mu\text{m}$

Pulse: $\lambda = 0.8 \mu\text{m}$ ($n_e = 100n_c$)

Gaussian profiles, width $6.5 \mu\text{m}$, duration 35 fs (FWHM)

intensity $I = (0.34 - 7.8) \times 10^{20} \text{ Wcm}^{-2}$

“relativistic” parameter $a_0 = (5 - 19)$



Electron Spectrum for Different Angles

Maximum energy for

$$\alpha = 1.5^\circ$$

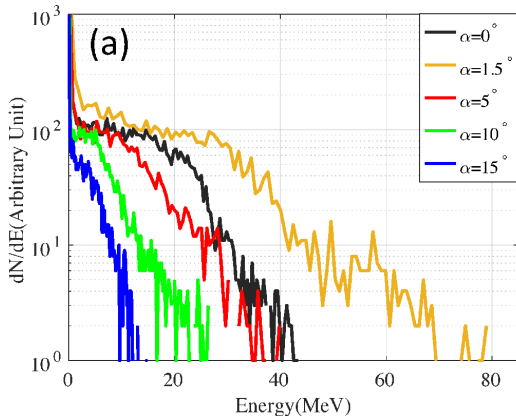
Cut-off value doubles
with respect to both

$$\alpha = 5^\circ \text{ and } \alpha = 0^\circ$$

(**parallel** incidence,
to be discussed later)
($\simeq 20$ MeV obtained
with gratings for similar
parameters⁴)

$$I = 3.4 \times 10^{19} \text{ Wcm}^{-2}$$

$$L_T = 90 \mu\text{m}$$



⁴Cantono et al, PoP **25** (2018) 031907

Accelerated Charge for Different Angles

Peak value

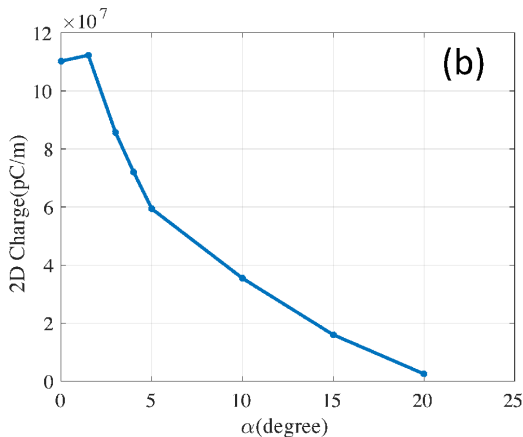
$\simeq 10^8 \text{ pCm}^{-1}$ in
2D corresponds to

$\simeq 780 \text{ pC}$ in 3D

($\simeq 660 \text{ pC}$ obtained
with blazed gratings
for similar parame-
ters⁵)

$$I = 3.4 \times 10^{19} \text{ Wcm}^{-2}$$

$$L_T = 90 \text{ }\mu\text{m}$$



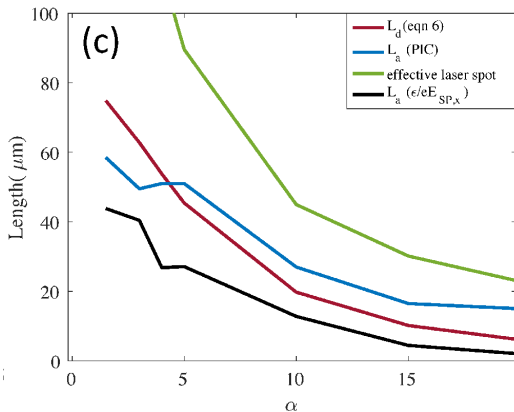
⁵Cantono et al, PoP **25** (2018) 031907

Acceleration Length for Different Angles

Two different estimates of the acceleration length (L_{acc}) are fairly close to the dephasing length L_{dep} as a function of α
Spot width on target $L_S > L_{dep}$ (could be further optimized)

$$I = 3.4 \times 10^{19} \text{ Wcm}^{-2}$$

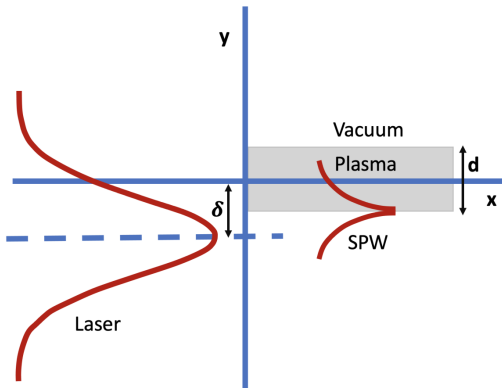
$$L_T = 200 \mu\text{m}$$



Parallel Incidence

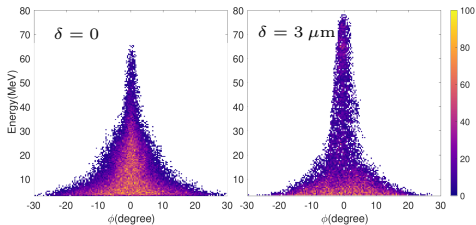
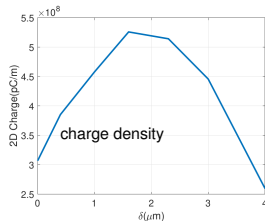
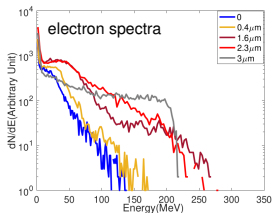
For **parallel** incidence ($\alpha = 0^\circ$) the laser pulse will interact with the (left) short edge of the target

Additional parametric dependence on the “focal spot shift” δ
 \equiv distance between the laser propagation axis and the target midplane (surface at $y = -\delta/2$)



Energy and Charge Maxima for $\delta \neq 0$

$I = 6 \times 10^{20} \text{ Wcm}^{-2}$
 $\mathcal{E}_{\text{max}} \simeq 250 \text{ MeV}$
for $\delta \simeq 2 \mu\text{m}$
Total charge $\simeq 3.4 \text{ nC}$
(3D estimate)
for $\delta = 1.6 \mu\text{m}$



Energy-angle distributions
($I = 3.4 \times 10^{19} \text{ Wcm}^{-2}$)
Electrons are strongly
collimated with almost
symmetrical distribution
even for “asymmetrical”
interaction with $\delta \neq 0$

On the effect of “shift” δ

Similar coupling conditions
as for grazing incidence:

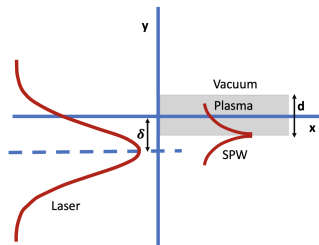
Laser field components (in vacuum)

$$E_{EM,y} \simeq E_0 \exp(-(y + \delta)^2/w^2) \exp(ik_{EM,x})$$

$$E_{EM,x} \simeq 2y/(ik_{EM,x}w^2) \exp(-(y + \delta)^2/w^2) \exp(ik_{EM,x})$$

$$\left| \frac{E_{EM,x}}{E_{EM,y}} \right|_{y=-d/2} \simeq \frac{(\delta - d/2)\lambda}{\pi w^2}$$

For $w = 3.9 \mu\text{m}$, the $|E_x|/|E_y|$ ratio at the surface ($y = -d/2$) for $\delta = 2.3 \mu\text{m}$ is the same as for $\alpha = 1.7^\circ$.



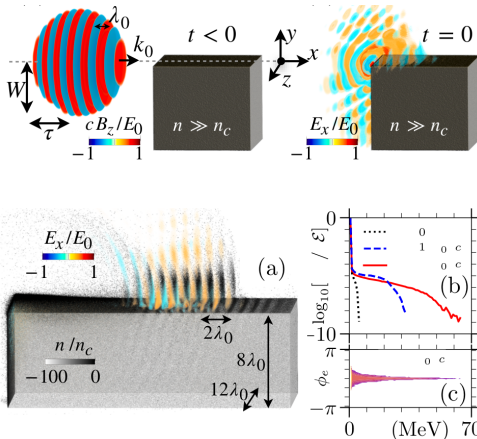
A Possibly Similar Observation?

3D SMILEI simulations

S.Marini, P.Kleij,
M.Grech, M.Raynaud,
C.Riconda,

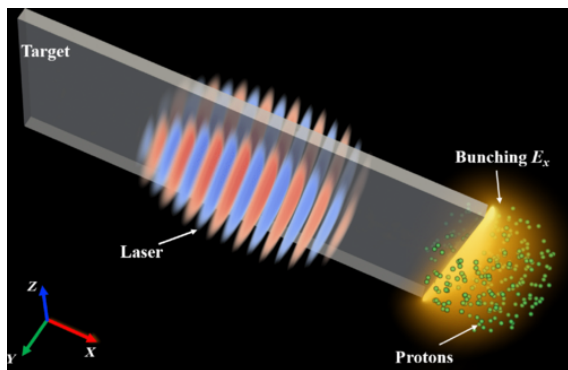
“Electron acceleration
by laser plasma wedge
interaction”

[arXiv:2202.08226](https://arxiv.org/abs/2202.08226)



Proposed “Peeler” Proton Acceleration

“A fs laser (red and blue) is incident on the edge of a micron-thick tape (grey) [...] Abundant electrons are accelerated forward by the intense laser.”

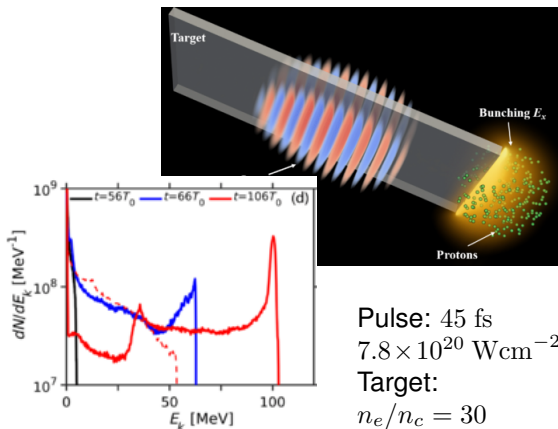


3D simulations with VLPL and EPOCH codes

X.Shen, A.Pukhov, B.Qiao,
Phys. Rev. X **11** (2021) 041002

Proposed “Peeler” Proton Acceleration

“[...] at the rear edge a longitudinal bunching field is established (yellow). Protons (green dots) are simultaneously accelerated and leading to a **highly monoenergetic beam.**”



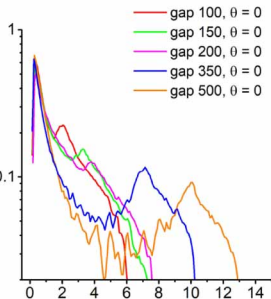
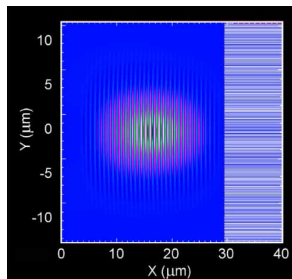
X.Shen, A.Pukhov, B.Qiao,
Phys. Rev. X **11** (2021) 041002

Pulse: 45 fs
 7.8×10^{20} Wcm $^{-2}$
Target:
 $n_e/n_c = 30$
 $d = 50$ nm

Earlier Numerical Observation?

2D simulations of an array of parallel foils:
electron acceleration attributed to SP
peaked proton spectra appear for large gaps

Pulse: 30 fs
 $2.8 \times 10^{20} \text{ Wcm}^{-2}$
Target: $n_e/n_c = 60$
 $d = 0.6 \mu\text{m}$

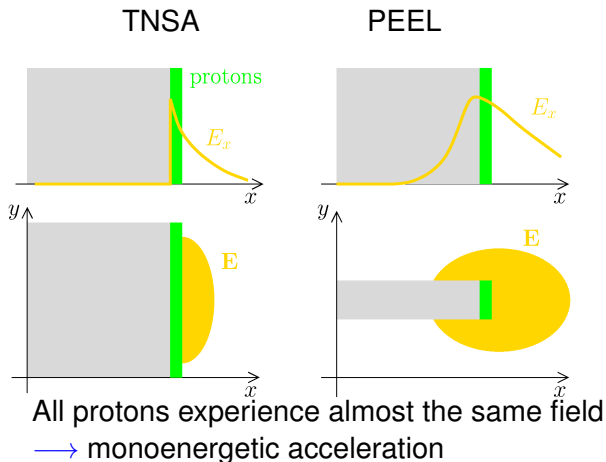


G. Cristoforetti et al, Plasma Phys. Control. Fusion **62** (2020) 114001

Origin of Monoenergetic Proton Spectra

TNSA: fast electrons are less than protons in the layer which screen the \mathbf{E} -field producing a sharp gradient

PEEL: protons are less than fast electrons and the space charge \mathbf{E} -field on the proton layer is spatially “smooth”.



Proton Spectra: 2D simulation (High I)

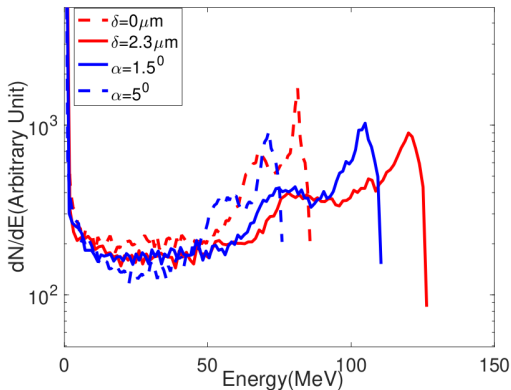
Highest cut-off energy is reached for parallel incidence with “shifted” pulse

($\delta = 2.3 \mu\text{m}$)

Slightly lower energy at grazing incidence

($\alpha = 1.5^\circ$)

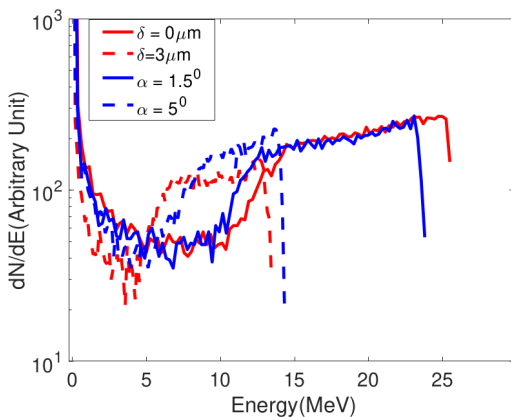
$I = 6 \times 10^{20} \text{ Wcm}^{-2}$



Proton Spectra: 2D simulation (Low I)

Sharply peaked spectra are not apparent for lower intensity

$$I = 3.4 \times 10^{19} \text{ Wcm}^{-2}$$



Proton Spectra: 3D simulation (preliminary)

- electron spectra similar to 2D
- Shen et al.'s proton spectra reproduced

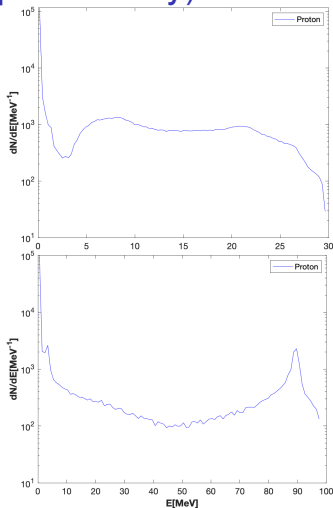
For a lower energy pulse (GEMINI class) the proton spectrum is deeply affected

- Geometrical effect on proton acceleration?
- Insufficient electron production?

$$I = 6 \times 10^{20} \text{ Wcm}^{-2}$$

pulse width 3 μm

(4 times narrower than Shen's)



Conclusions

- ▶ Surface Plasmons may be efficiently excited without a grating (no phase matching)
 - at grazing incidence
 - at parallel incidence with enhancement from “shifting” the laser pulse direction
- ▶ the SP is sustained along a distance sufficient to accelerate electrons to high energies (possibly beyond previous results with grating targets)
- ▶ The “peeler” schemes exploits the high charge SP-driven electrons for mono-energetic acceleration of protons
 - Grazing incidence and “shift” effect enhance proton acceleration
 - preliminary 3D simulations suggest that large pulse energy is needed to sustain the acceleration

EXTRA SLIDES

Simple Model of SP Acceleration - I

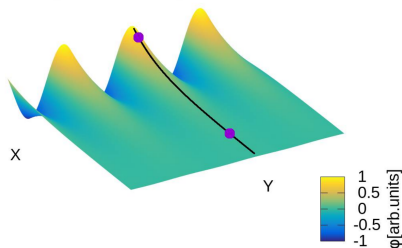
SP field on the vacuum side is **electrostatic** in the wave frame S' moving with phase velocity $\beta_p = v_p/c$ with respect to S (lab)
Electrostatic potential in S' :

$$\Phi' = - \left(\frac{\gamma_p E_{SP}}{k} \right) e^{k'x} \sin k'y'$$

$$k' = \frac{k}{\gamma_p} \quad \gamma_p = (1 - \beta_p^2)^{-1/2}$$

ϕ in the co-moving reference frame

The motion is 2D: the energy gain depends on the “kick angle” from the top of the potential hill



Simple Model of SP Acceleration - II

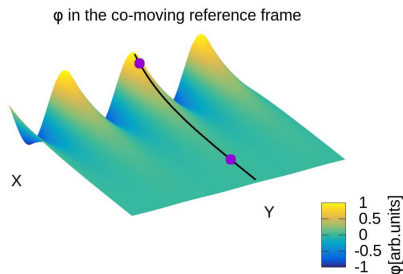
Assume as the most likely case an electron going downhill along the x -direction and acquiring an energy $W' = eE_{\text{SP}}/k'$

$$W \simeq \gamma_p W' \simeq m_e c^2 a_{\text{SP}} \frac{\omega_p^2}{\omega^2} \quad (a_{\text{SP}} = eE_{\text{SP}}/m_e \omega c)$$

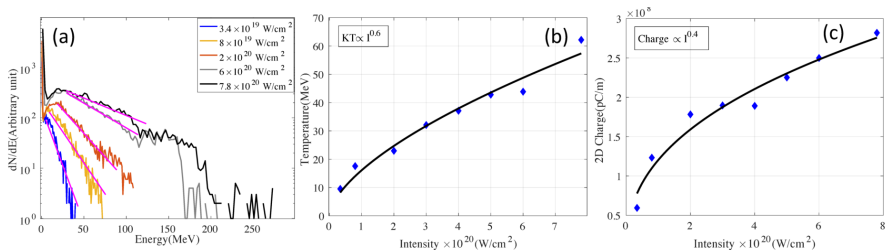
with ejection angle in L
(for $W' \gg m_e c^2$)

$$\tan \phi_e = \frac{p_x}{p_y} \simeq \frac{1}{\gamma_p}$$

→ high energy electrons are beamed near the surface
($\tan \phi_e \ll 1$)



Scaling with Laser Intensity



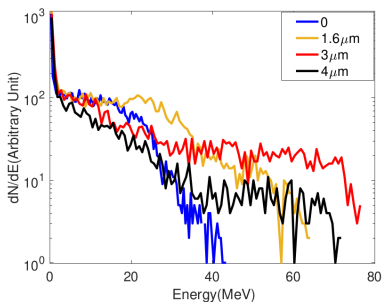
a) electron spectra b) electron "Temperature" c) charge density

Temperature exceeds "ponderomotive" values

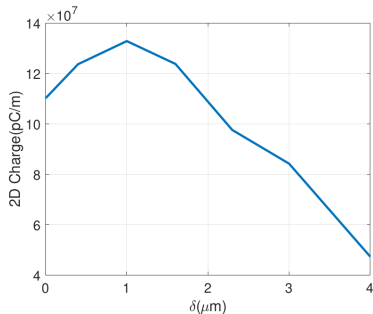
($T_p = m_e c^2 (\sqrt{(1 + a_0^2/2)} - 1)$) by one order of magnitude

Peak charge density value $\simeq 3 \times 10^8$ pCm⁻¹ in 2D corresponds to an estimate $\simeq 1.9$ nC in 3D

Effect of δ on Spectra and Charge (Low I)



a) electron spectra

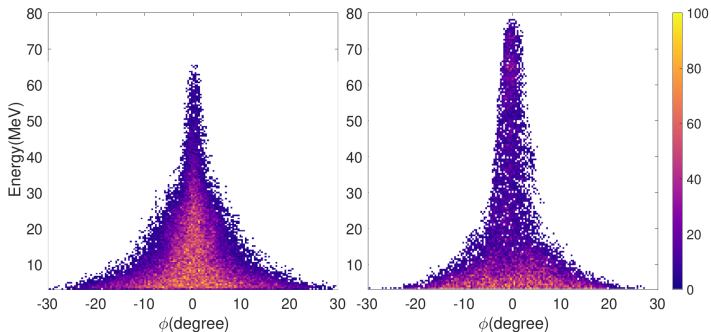


b) charge density

$$I = 3.4 \times 10^{19} \text{ Wcm}^{-2}$$

Maximum values are *not* for $|\delta| \leq d/2$

Electron Collimation



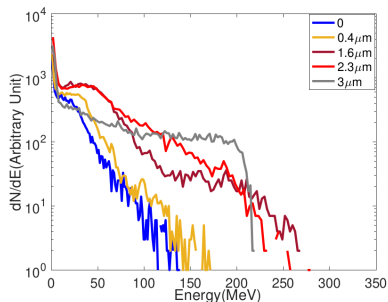
a) $\delta = 0$

b) $\delta = 3 \mu\text{m}$

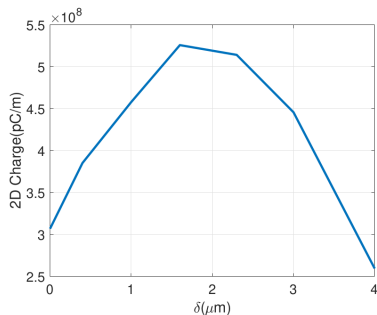
$$I = 3.4 \times 10^{19} \text{ Wcm}^{-2}$$

Electrons are strongly collimated with almost symmetrical distribution even for “asymmetrical” interaction with $\delta \neq 0$

Effect of δ on Spectra and Charge (High I)



a) electron spectra



b) charge density

$$I = 6 \times 10^{20} \text{ Wcm}^{-2}$$

Total 3D charge would be $\simeq 3.4 \text{ nC}$ for $\delta = 1.6 \mu\text{m}$