

INO-CNR Istituto Nazionale di Ottica

*also at Dipartimento di Fisica "Enrico Fermi", Largo Bruno Pontecorvo 3, 56127 Pisa, Italy www.andreamacchi.eu Introduction to *Kinetic* Simulation Methods for Collisionless Plasmas

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- Plasma simulations for beginners: a simple electrostatic model
- Kinetic, collisionless, electromagnetic plasmas: tackling the Vlasov-Maxwell system numerically
- "Eulerian" vs "Lagrangian" (PIC-Particle-In-Cell) approach
- Basics of the PIC method
- Snapshots from multi-dimensional PIC simulations



Dawson, "One-dimensional plasma model", Phys. Fluids 5 (1962) 445



Figure 13-2a Original Dawson (1962) model, with thin electron sheets spaced $\delta = 1/n$ apart (in equilibrium) in a uniform positive ion background. The lower part shows E(x) with one sheet displaced.



Motion of *N* electrons in 1D (so, "charge sheets"), fixed ions under the action of electrostatic field E_{r} (+ external force driver F_{ext})

$$\begin{split} X_{i} &= X_{i}(t), \qquad i = 1, \dots, N, \qquad X_{i}(0) = X_{i}^{0} \\ \frac{d^{2}X_{i}}{dt^{2}} &= -\frac{e}{m_{e}} E_{x}(X_{i}) + F_{\text{ext}} \\ E_{x}(X_{i}) &= \int_{0}^{X_{i}} 4\pi e(n_{i} - n_{e}) dx = 4\pi e n_{i} X_{i} - 4\pi \sum_{j < i} \sigma_{j} \\ &= 4\pi e n_{i} (X_{i} - X_{i}^{0}) \qquad (n_{i} \text{ uniform, no crossings) \end{split}$$

If $F_{ext}=0$ and no crossing occurs between the sheets, the latter oscillate around $x=X_i^0$ at the plasma frequency $\omega_p = \sqrt{4\pi e^2 n_i/m_e}$ (for a homogeneous plasma)

BASICS OF THE SHEET MODEL - II

Crossing of neighboring sheets can be modeled as an "exchange of velocity" equivalent to a remapping of the coordinate index: the field on a sheet due to other electron sheet is constant: $E_{el}[X_i^0(t)] = E_{el}(X_i^0)$

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Using this trick + numerical integration of the equations of motion (with Runge-Kutta, Leapfrog, Verlet, ..., algorithms as preferred) yields an elementary plasma simulation code (can be generalized to inhomogenous plasma and/or external driver) INO-CNR ISTITUTO NAZIONALE DI OTTICA

PLAYING WITH THE SHEET MODEL - I

The sheet model can be used for a first numerical insight into kinetic dynamics (plasma oscillations, Debye shielding, Landau damping, wake excitation and collisionless stopping) [see Birdsall & Langdon, "Plasma Physics via Computer Simulation" (IOP, 1991) Chap.13, p.277-292]



Figure 13-2c Average density of electrons around a test electron sheet at x = 0. The curve is the Debye shielding prediction. $n \lambda_D = 5.16$. (From Dawson, 1962.)





PLAYING WITH THE SHEET MODEL - II

The model can be easily extended to simulate other phenomena such as **stochastic heating** in a plasma sheath (important for plasma discharge devices) [Lieberman & Lichtenberg, *Principles of Plasma Discharges and Materials Processing*, 2nd Ed. (Wiley, 2005)]

or at a steep laser-plasma interface

(important for collisionless laser

absorption)

[Mulser & Bauer, *High Power Laser-Matter Interaction* (Springer, 2010)]





Basis for the kinetic description of a collisionless, classical plasma with fully self-consistent ElectroMagnetic fields

$$\frac{df_a}{dt} = \frac{\partial f_a}{\partial t} + \dot{\mathbf{x}}_a \frac{\partial f_a}{\partial \mathbf{x}} + \dot{\mathbf{p}}_a \frac{\partial f_a}{\partial \mathbf{p}} = 0$$

$$f_a = f_a(\mathbf{x}, \mathbf{p}, t), \qquad a = (e, i)$$

$$\begin{split} \dot{\mathbf{p}}_{a} &= q_{a}(\mathbf{E} + \mathbf{v} \times \mathbf{B}), \qquad \dot{\mathbf{x}}_{a} = \frac{\mathbf{p}_{a}}{m_{a}\gamma_{a}} = \frac{\mathbf{p}_{a}}{\sqrt{\mathbf{p}_{a}^{2} + m_{a}^{2}}}, \\ \rho(\mathbf{x}, t) &= \sum_{a=e,i} q_{a} \int d^{3}p f_{a}, \qquad \mathbf{J}(\mathbf{x}, t) = \sum_{a=e,i} q_{a} \int d^{3}p \mathbf{v} f_{a}, \\ \mathbf{\nabla} \cdot \mathbf{E} &= \rho, \qquad \mathbf{\nabla} \cdot \mathbf{B} = 0, \qquad \mathbf{\nabla} \times \mathbf{E} = -\partial_{t} \mathbf{B}, \qquad \mathbf{\nabla} \times \mathbf{B} = \mathbf{J} + \partial_{t} \mathbf{E} \end{split}$$



NUMERICAL IMPLEMENTATION

Assume an initial value problem (a study of plasma dynamics)

- Discretize phase space:
- $x=i\Delta x$, $i=0,1,2,\ldots$,
- $p_x = j\Delta p$, j = 0, 1, 2, ...,
- 6D Numerical Grid (not necessarily Cartesian!)



xyz

 $P_x P_y P_z$

- Discretize time: $t=n\Delta t$, n=0,1,2,...,
- Find an algorithm (i.e. finite differences) to advance f with the desired accuracy (test conservation laws: mass, energy, ...)
- Write the code, debug, optimize, and run (and if possible check if the results converge ...)



In physical space the number of points on each axis is $N = L/\Delta x$

L = size of the system to be simulated

 $\Delta x < d$ = smallest scale to be resolved: depending on the problem

- $d = \lambda_{D}$, c/ω_{p} , λ (wavelength of a laser pulse), ...
- Rule of thumb $L \sim 10d$, $\Delta x \sim d/10 \to N_a \sim 10^2$

In 3D we get $N_a^3 \sim 10^6$ gridpoints for the spatial sub-grid

If the grid is similar for momentum space $N_{\rm p} \sim 10^2$

total $N \sim N_q^3 N_p^3 \sim 10^{12}$ gridpoints

--> 8 TBytes allocated to represent f as a double precision number



SIZE MATTERS: HOW TO DEAL WITH IT?

"Plasma physics is just waiting for bigger computers" (Anonymous)

- Use ROADRUNNER if you can (needs efficient parallel programming)
- Use a different, memory-saving approach to the Vlasov equation:
 Particle-In-Cell (PIC) method – see next slides
- Restrict yourself to a "model problem": lower dimensionality (1D, 2D), "feasible" parameters, ...
- Remember: **NO simulation can be really "realistic"** i.e. take actual space and time scales with appropriate resolution





Assume a discrete "particle" representation of f:

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$$f(q, p, t) = f_0 \sum_{n=0}^{N_p - 1} g[q - q_n(t)]\delta[p - p_n(t)]$$

By substituting into the Vlasov Eq. we obtain the Equations of Motion for the "characteristics":

$$\dot{p}_n = \bar{F}_n \qquad \dot{q}_n = \frac{p_n}{m}$$

$$ar{F}_n = ar{F}_n(q_n, p_n, t) = \int g(q - q_n) F(q, p_n, t) dq$$

The phase space is represented as an ensemble of particles (delta-like in p and extended in q via the function g(q)): PIC is a "Lagrangian" approach vs. "Eulerian" (also called "Vlasov")

- The plasma is represented by a large (but limited) set of computational particles (simply named "electrons" and "ions")
 The EM fields are allocated on a discrete grid, i.e. "in the cell"
- Each particle (usually extended in space) contributes to the charge and current densities in its parent cell (and its neighbors)
- The Lorentz force is evaluated as an average over the fields in the overlapping cells
- On a supercomputer up to some $\sim 10^9$ particles may be allocated (still typically orders of magnitude smaller than real numbers)





FDTD AND YEE LATTICE

- FDTD : Finite-Difference Time-Domain typical method to solve Maxwell equations in PIC codes
- Yee lattice: typical distribution of EM fields used in the cell of a

Cartesian grid (2D, 3D) Yearly FDTD-Related Publications





Pictures taken from Wikipedia: http://en.wikipedia.org/wiki/ Finite-difference_time-domain_method



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PIC VS VLASOV: PROS AND CONS

EASY HARD	FEASIBLE UNKNOWN	PIC	VLASOV
DEVELOPMENT		easy, quite general, well documented	non trivial, specific
NOISE		significant	negligible
WORKLOAD		saving	very large
DENSITY RESOLUTION		problems with statistics & large gradients	excellent
MOMENTUM SPACE		unbounded	bounded
PARALLELIZATION		well suitable but non trivial load balancing	straightforward for local algorithms
FLEXIBILITY		girokinetic approx., collisions, ionization,	?



Top: self-channeling, breakup and soliton formation by an intense laser pulse Right: momentum vs angle distribution of ions for radiation pressure acceleration of a dense plasma slab Simulations performed at CINECA, Italy



T.V.Liseykina and A.Macchi, IEEE Trans. Pl. Sc. **36**, 1136 (2008), Special Issue on "Images in Plasma Science" INO-CNR Istituto Nazionale I Ottica

SOME LASER-PLASMA PIC-TURES - 3D



Top: anisotropic self-channeling in 3D ⁻² Right: radiation pressure acceleration of a thin foil in 3D



Simulations performed at CINECA, Italy

Presently running: supercomputing project ISCRA-TOFUSEX Italian Super-Computing Resource Allocation -

"TOwards FUII-scale Simulation of EXperiments"

MORE BEAUTIFUL 3D PIC-TURES ...



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Left: radiation pressure acceleration in the extreme intensity regime T.Esirkepov et al,

Phys.Rev.Lett. 92 (2004) 175003

Right: plasma "bubble" formation for laser-plasma electron acceleration Simulation by OSIRIS code L.Fonseca et, *Lect. Notes Comp. Sci.* 2331(2002) 342^{-100}

www.ino.it



- If interested in plasma simulation, begin putting you hands on a simple numerical model (e.g. the plasma sheet)
- If you need kinetic simulations, using PIC or Vlasov depends on the nature of the problem you have (see pros and cons)
- If you like playing with computers, this is a field where skills in parallel programming, algorithm development and optimization, advanced visualization techniques, ..., are highly appreciated

The trend is to increase code capabilities to include additional physics and/or to run on more and more powerful computers for more and more "realistic" simulations (but it's a long way...)